

Title	Collaborative Editing Application in Mobile Ad-hoc Networks
Author(s)	Le, Nam Jr
Citation	
Issue Date	2012-09
Type	Thesis or Dissertation
Text version	author
URL	<a href="http://hdl.handle.net/10119/10764">http://hdl.handle.net/10119/10764</a>
Rights	
Description	Supervisor:Associate Professor Xavier Defago, 情報科学研究科, 修士

# Collaborative Editing Application in Mobile Ad-hoc Networks

By Le, Nam Nguyen Hoai

A thesis submitted to  
School of Information Science,  
Japan Advanced Institute of Science and Technology,  
in partial fulfillment of the requirements  
for the degree of  
Master of Information Science  
Graduate Program in Information Science

Written under the direction of  
Associate Professor Xavier Défago

September, 2012

# Collaborative Editing Application in Mobile Ad-hoc Networks

By Le, Nam Nguyen Hoai (1010227)

A thesis submitted to  
School of Information Science,  
Japan Advanced Institute of Science and Technology,  
in partial fulfillment of the requirements  
for the degree of  
Master of Information Science  
Graduate Program in Information Science

Written under the direction of  
Associate Professor Xavier Défago

and approved by  
Associate Professor Xavier Défago  
Professor Mizuhito Ogawa  
Professor Mikifumi Shikida

August, 2012 (Submitted)

## **Acknowledgements**

I would like to thank Associate Professor Xavier Defago, my supervisor. He introduced me into the world of distributed system and provided great advice during my research. Besides the major knowledge, I have learned many skills from his attitude in the research and teaching.

I was very pleasant and honored to have Dr. Francois Bonnet, Mr. Daiki Higashihara, Mr. Hiroyuki Hiranuma, Mr. Nguyen Dang Thanh, Mr. Nguyen Xuan Huy as my colleagues. They supported me a lot not only in my study but also in my life in JAIST.

I would like to thank my professors and friends in FIVE-JAIST Program.

Last but not least, I would like to say many thanks to my family. They have been always beside me and encouraged me.

# Contents

<b>1</b>	<b>Introduction</b>	<b>5</b>
1.1	Collaborative Editing Application . . . . .	5
1.2	Centralized vs. Decentralized Architecture . . . . .	5
1.2.1	Decentralized Collaborative Editing . . . . .	6
1.2.2	Commutative Replicated Data Type and TreeDoc . . . . .	7
1.3	Contributions . . . . .	7
1.4	Thesis Organization . . . . .	7
<b>2</b>	<b>Background</b>	<b>8</b>
2.1	System Model . . . . .	8
2.1.1	Causality . . . . .	8
2.1.2	State Vector . . . . .	9
2.2	Document Consistency Properties . . . . .	9
2.3	Operational Transformation . . . . .	11
<b>3</b>	<b>Document Consistency with TreeDoc</b>	<b>18</b>
3.1	TreeDoc . . . . .	18
3.1.1	Local Operation . . . . .	19
3.1.2	Remote Operation . . . . .	21
3.1.3	Commutative Replicated Data Type . . . . .	21
3.1.4	Rebalancing . . . . .	22
3.2	Causality Preservation with State Vector . . . . .	24
<b>4</b>	<b>Implementation</b>	<b>26</b>
4.1	System Architecture . . . . .	26
4.2	Class Diagram . . . . .	27
4.3	Sequence Diagram . . . . .	30
<b>5</b>	<b>Evaluation</b>	<b>32</b>
5.1	Experiment Setting . . . . .	33
5.1.1	Operation Time . . . . .	35
5.1.2	Rebalancing Time . . . . .	37
5.1.3	Operation Latency . . . . .	38
5.1.4	Time Usage . . . . .	39

<b>6</b>	<b>Fault Tolerance</b>	<b>41</b>
6.1	Disconnection . . . . .	41
6.2	Related Works . . . . .	41
6.3	Tolerating Missing Messages . . . . .	42
6.3.1	Detection in the Normal Case . . . . .	42
6.3.2	Detection in the Special Case: Missing Joining or Leaving Messages	43
6.3.3	Fault Case 1: Missing All Messages from a User . . . . .	45
6.3.4	Fault Case 2: Silent User . . . . .	47
6.4	Evaluation . . . . .	47
<b>7</b>	<b>Application</b>	<b>50</b>
7.1	Editing Function . . . . .	50
7.2	Collaboration Function . . . . .	56
<b>8</b>	<b>Conclusion</b>	<b>61</b>
8.1	Summary . . . . .	61
8.2	Open Questions . . . . .	62
	<b>Bibliography</b>	<b>63</b>
<b>A</b>	<b>System Architecture</b>	<b>66</b>
<b>B</b>	<b>Class Diagram</b>	<b>67</b>
<b>C</b>	<b>TreeDoc Source Code</b>	<b>68</b>

# List of Figures

2.1	Causality Presevation Property . . . . .	9
2.2	No Causality Presevation Property . . . . .	10
2.3	No Intention Presevation Property . . . . .	11
2.4	Operational Transformation . . . . .	12
2.5	Inconsistency with Operational Transformation of DOPT Algorithm . . . . .	14
2.6	SOCT2 solves the Inconsistent Case in Operational Transformation of DOPT Algorithm . . . . .	14
2.7	Inconsistency with SOCT2 . . . . .	16
2.8	SOCT3 solves the Inconsistent Case in SOCT2 . . . . .	16
3.1	TreeDoc . . . . .	18
3.2	TreeDoc after inserting X at right position of character D . . . . .	19
3.3	TreeDoc after inserting G at right position of character F . . . . .	19
3.4	TreeDoc after deleting B . . . . .	20
3.5	Rebalancing TreeDoc . . . . .	23
4.1	System Architecture . . . . .	26
4.2	Class Diagram . . . . .	29
4.3	Sequence Diagram for the process of Local Operation . . . . .	30
4.4	Sequence Diagram for the process of Remote Operation . . . . .	31
5.1	Best Case of TreeDoc: the tree is balanced, the depth is logarithmic . . . . .	33
5.2	Worst Case of TreeDoc: the tree degenerates as a list with every new character added to the right, the depth is linear. . . . .	33
5.3	Experimental Environment . . . . .	34
5.4	The Empty State in the Begining of Experiment . . . . .	34
5.5	Consistent State in the End of Experiment . . . . .	35
5.6	Operation Time . . . . .	35
5.7	The number of Concurrent Opertions vs. Operation Time without Rebalancing . . . . .	36
5.8	Rebalancing Time . . . . .	37
5.9	The Worst Case of TreeDoc vs. Rebalancing . . . . .	37
5.10	Operation Time vs. The number of Concurrent Opertions with Rebalancing . . . . .	38
5.11	Operation Latency . . . . .	38
5.12	The Worst Case of TreeDoc vs. Operation Latency . . . . .	39

5.13	Completion Time . . . . .	40
5.14	Time Usage of a User during the Collaboration Session . . . . .	40
6.1	Detection in the Normal Case . . . . .	43
6.2	Detection in the Special Case: Missing Joining Messages . . . . .	44
6.3	Detection in the Special Case: Missing Leaving Messages . . . . .	44
6.4	Fault Case when Missing All Messages from a User . . . . .	46
6.5	Solution for Fault Case 1 . . . . .	46
6.6	The number of Packets vs. Loss Probability . . . . .	48
6.7	Detection Time vs. Loss Probability . . . . .	49
6.8	Completion Time vs. Loss Probability . . . . .	49
7.1	Menu File . . . . .	50
7.2	New File (1. “New” in Menu File; 2. “No” in Dialog of Save File) . . . . .	51
7.3	Open File (1. “Open” in Menu File; 2. Select file “Demo” in File Browser) . . . . .	51
7.4	Save as (1. “SaveAs” in Menu File; 2. Select Folder in File Browser; 3. Save in Dialog of Save File) . . . . .	52
7.5	Menu Font . . . . .	53
7.6	Font Style (1. Select text; 2. Select font style) . . . . .	53
7.7	Font Type (1. Select text; 2. Select font type) . . . . .	54
7.8	Text Color (1. Select text; 2. Select text color) . . . . .	54
7.9	Background Color (1. Select text; 2. Select background color) . . . . .	55
7.10	Copy, Cut, Paste (1. Cut; 2. Paste) . . . . .	55
7.11	Users take effect of a collaboration configuration . . . . .	56
7.12	A initial state of users in the collaboration . . . . .	57
7.13	A consistent state of users in the collaboration . . . . .	58
7.14	Join collaboration session . . . . .	59
7.15	Accept joining request . . . . .	59
7.16	The synchronization of joining user and current users in the collaboration session . . . . .	60
A.1	System Architecture . . . . .	66
B.1	Class Diagram . . . . .	67



# Chapter 1

## Introduction

### 1.1 Collaborative Editing Application

Collaborative editing application is a major part of Computer Supported Collaborative Work (CSCW). It enables a group of people to work together on a shared document at the same time by using their devices. Concretely, users can edit a document concurrently and watch changes from each other. Using a collaborative editing application, a group of people can create a final document reflecting group contribution.

Collaborative editing application is best known as a tool for developing software, allowing programmers to write code together. It is also used for members in a meeting to take notes on topics, create and revise a document. In education, collaborative editing application is a demonstration tool.

With the advance of mobile technology, people can use mobile devices as tools for collaborating and working anytime, anywhere. Mobile device gradually becomes more powerful and connected over wireless network. That allows developing applications that enable users, using their own mobile devices, to collaboratively work on the same document without the presence of a fixed network infrastructure. Therefore, collaborative editing application in mobile ad-hoc networks (MANETs) attracts a lot of interest.

### 1.2 Centralized vs. Decentralized Architecture

In a centralized architecture, collaborative editing application has to maintain a central server. All updates of users are sent to the server and, after processing, the server sends the updated document to all clients. The central server keeps the shared document and manages all aspects of the collaboration. Using a centralized architecture, collaborative editing application is easier to handle concurrent updates. Some collaborative editing applications use this architecture such as Rendezvous [1], Dolphin [2], Jupiter [3]. However, it has a number of drawbacks in the context of MANETs. Firstly, the collaboration completely depends on a central server; if the server fails, the collaboration stops immediately. In MANETs, the presence of the server is not always guaranteed. Secondly, in MANETs, user is frequently disconnected from the server because of the mobility, so the

collaboration is less responsive. Consequently, a centralized architecture is less suitable for collaborative editing application in MANETs.

Conversely, in a decentralized architecture, every user carries a copy of the shared document. Each user makes any changes on local document, and after that, sends directly those changes to all other users. Using this architecture, every user is responsible for managing the collaboration in the absence of a central server. Compared to centralized architectures, decentralized architectures have some interesting advantages. Firstly, a central server is not required in a decentralized architecture, so it avoids single point of failure. Secondly, when a user is disconnected from other users, it is still able to work on its local document. When reconnecting, disconnected user can synchronize with other users to update its local document. Thirdly, in a decentralized architecture, all users can concurrently make changes on their local documents anytime regardless of the status of the server and the communication between them and the server. Clearly, that makes decentralized architecture more attentive for collaborative editing application in MANETs. Some examples of group editors using this architecture are Colab [4], GROVE (Group Outline Viewing Editor) [5], REDUCE (Real-time Distributed Unconstrained Cooperating Editing) [6, 7], GroupDesign [8], GRACE (Graphics Collaborative Editing) [9], and Draw together [10].

### 1.2.1 Decentralized Collaborative Editing

Besides greater flexibility and potential, a collaborative editing application in a decentralized architecture has the following major challenges:

- Document consistency: Every user in the collaboration session can update its copy whenever it wants, which promotes concurrency in the collaboration. However, in that context, it is difficult to keep all copies of the shared document to be similar and correctly reflect the intention of every user. Currently, most of collaborative editing applications are based on Operational Transformation approach to solve this problem. However, in MANETs, high latency causes a large number of concurrent operations while according to this approach, every concurrent operation needs processing to be correctly executed, which affects application performance, especially since the processing power of mobile device is still lower than current PC.
- Fault tolerance: In MANETs, mobile device frequently gets disconnected from other devices because of device mobility, fluctuating bandwidth or device failure. Consequently, in the collaboration session, when a user is disconnected, it cannot broadcast and receive messages consisting of joining, leaving, document operation to/from users that it is disconnected from. That affects the process of the joining, leaving, editing in the collaboration session. In the context of MANETs, every user is responsible for tolerating disconnection event without the presence of a central server.

## 1.2.2 Commutative Replicated Data Type and TreeDoc

Commutative replicated data type (CRDT) [26] is a new approach that supports eventually consistent information. This approach focuses on designing data structures such that operations can commute with each other. Therefore, consistency is archived without concurrent control.

TreeDoc [19] is a CRDT in which information is structured by a binary tree. With TreeDoc, the identifier of each node is its path on the tree. Furthermore, during the process of updating the tree, TreeDoc ensures that the path of each node is unique and not changed, so the different orders of the execution of concurrent operations lead the same state.

## 1.3 Contributions

The main contribution of our work is the development of an Android-based application for decentralized collaborative editing based on the concept of TreeDoc. We have adapted TreeDoc to our need in document consistency management. Our application minimizes overhead of TreeDoc by developing rebalancing. In the evaluation, we carry out the the experiment on real environment with Android mobile devices to compare the performance of TreeDoc with Operational Transformation, an approach used by most of collaborative editing applications, and consider rebalancing with application performance in order to determine the most suitable value of rebalancing period.

We provide a solution for fault tolerance in our application in order for a user who went too far outside of the transmission range of other devices can get missing messages when it has reconnected. By experiment, we investigate the effect of message loss probability to application performance.

## 1.4 Thesis Organization

This thesis is organized in the chapters as follow:

- Chapter 2 discusses the properties which the system must satisfy to ensure document consistency and Operation Transformation approach.
- In chapter 3, we present document consistency management in our application with the concept of TreeDoc.
- Chapter 4 is the implementation of our application.
- The performance of our application is analyzed in Chapter 5.
- Chapter 7 demonstrates the functions of our application.
- Chapter 8 provides the conclusion of this thesis and the future work.

# Chapter 2

## Background

### 2.1 System Model

The system consists of a collection of users, each of which maintains a copy of the shared document containing a set of characters. User can make any operations on its local document whenever it wants. In order to enable all users to know the last state of the shared document, any operations generated by any users have to be broadcast to all other users. After receiving the remote operation, each user replays the remote operation on its own copy such that all copies of the shared document are consistent.

Operations on the shared document are of two types:

- $\text{Insert}(posID, newchar)$  inserts a character  $newchar$  at position  $posID$  into the document
- $\text{Delete}(posID)$  deletes the character located by  $posID$  within the document

#### 2.1.1 Causality

For any pair of operations,  $op_i$  and  $op_j$  generated by user  $S_i$  and  $S_j$  respectively,  $op_i$  and  $op_j$  can be either causally related or concurrent in the sense of the Lamport's happened-before relation [11].

**Definition 2.1.** Causal precedence ( $\rightarrow$ )

$op_i$  causally precedes  $op_j$  ( $op_i \rightarrow op_j$ ) if:

- $op_i$  and  $op_j$  are generated by the same user ( $S_i = S_j$ ) and  $op_j$  is generated after  $op_i$ .
- $op_i$  and  $op_j$  are generated by two different users ( $S_i \neq S_j$ ) and  $S_j$  executed  $op_i$  before generating  $op_j$ .
- There exists an operation  $op_k$ , such that  $op_i \rightarrow op_k$  and  $op_k \rightarrow op_j$ .

**Definition 2.2.** Concurrency ( $\parallel$ )

$op_i$  and  $op_j$  are said to be concurrent ( $op_i \parallel op_j$ ) if  $op_i \not\rightarrow op_j$  and  $op_j \not\rightarrow op_i$

## 2.1.2 State Vector

State vector [13] is a technique for capturing causal precedence among all operations in a system. It is a modification to the clock vector introduced by Mattern [18].

**Definition 2.3.** State vector

Let us define the set of cooperative users in a system  $S_1, S_2, \dots, S_N$ . Each user  $S_i$  in the system maintains a state vector which is a vector with  $N$  components  $V_i = (V_i[1], V_i[2], \dots, V_i[N])$  in which  $V_i[j]$  holds the number of operations generated by user  $S_j$  that has been executed by user  $S_i$ . In the beginning,  $V_i[j] = 0$  with  $j=(1, \dots, N)$ . After  $S_i$  executed an operation generated by user  $S_j$ ,  $V_i[j] = V_i[j] + 1$ .

**Definition 2.4.** Causal precedence with state vector

$op_i$  generated by  $S_i$  causally precedes  $op_j$  generated by  $S_j$  ( $op_i \rightarrow op_j$ ) if  $V_{op_i}[i] < V_{op_j}[i]$  where  $V_{op_i}$  is the state vector of  $S_i$  when it generates  $op_i$ ,  $V_{op_j}$  is the state vector of  $S_j$  when it generates  $op_j$

## 2.2 Document Consistency Properties

According to [7], a collaborative editing system is said to be consistent if it respects the following properties:

### Causality preservation property

If  $op_i$  causally precedes  $op_j$  ( $op_i \rightarrow op_j$ ),  $op_i$  is executed before  $op_j$  on all copies of the shared document. In other words, when a remote operation arrives at a user, it is executed only when all operations causally preceding it have been executed at that user. Figure 2.1 shows that when operations are executed in the same causal order, all copies are consistent, while Figure 2.2 shows otherwise.

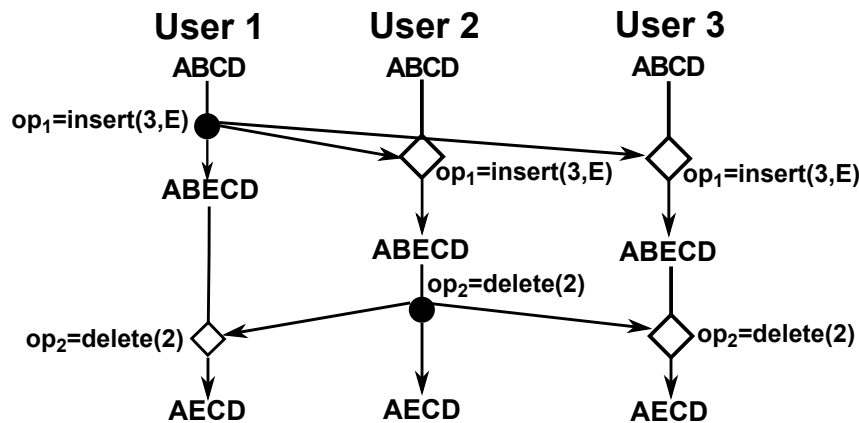


Figure 2.1: Causality Presevation Property

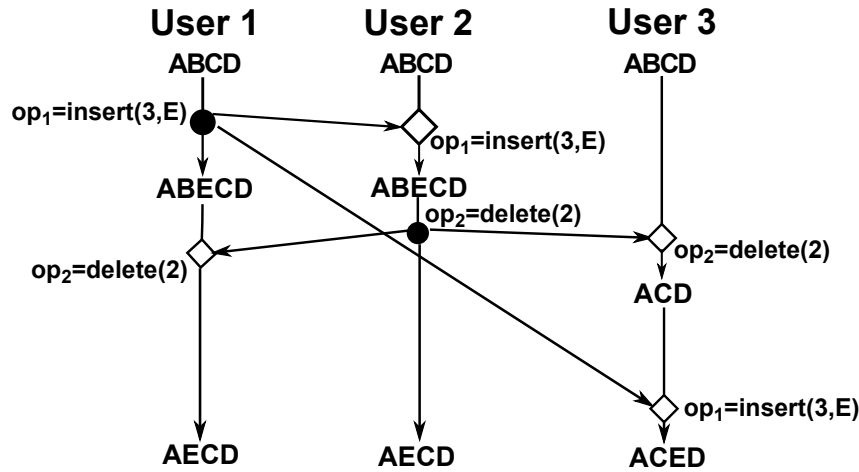


Figure 2.2: No Causality Presevation Property

### Intention preservation property

The effect of an operation at all users must be the same as the intention of the user generated that operation. Operation intention for textual document is:

- Delete: If a character is deleted on a copy, it must be deleted on all other copies.
- Insert: A character inserted on a copy must be inserted on all other copies such that the order relation between it and other document characters is the same on all copies.

The example in Figure 2.3a presents the case in which the intention of a delete operation is not preserved. In this example, user 1 and user 2 generate two concurrent operations  $op_1$  and  $op_2$  on the same object "ABCD". User 1 generates  $op_1 = \text{insert}(2, 'E')$  with the intention of inserting 'E' between 'A' and 'B', and user 2 generates  $op_2 = \text{delete}(3)$  with the intention of deleting 'C'. However, when  $op_2$  is executed at user 1, deleted character is 'B', which is not the intention of  $op_2$ . That causes inconsistency.

The example in Figure 2.3b presents the case in which the intention of a insert operation is not preserved. User 1 generates  $op_1 = \text{insert}(4, 'F')$  with the intention of inserting 'F' between 'C' and 'D', and concurrently, user 2 generates  $op_2 = \text{insert}(2, 'E')$  with the intention of inserting 'E' between 'A' and 'B'. However, when  $op_1$  is executed at user 2, 'F' is inserted between 'B' and 'C', which is not the intention of  $op_1$ . That causes inconsistency.

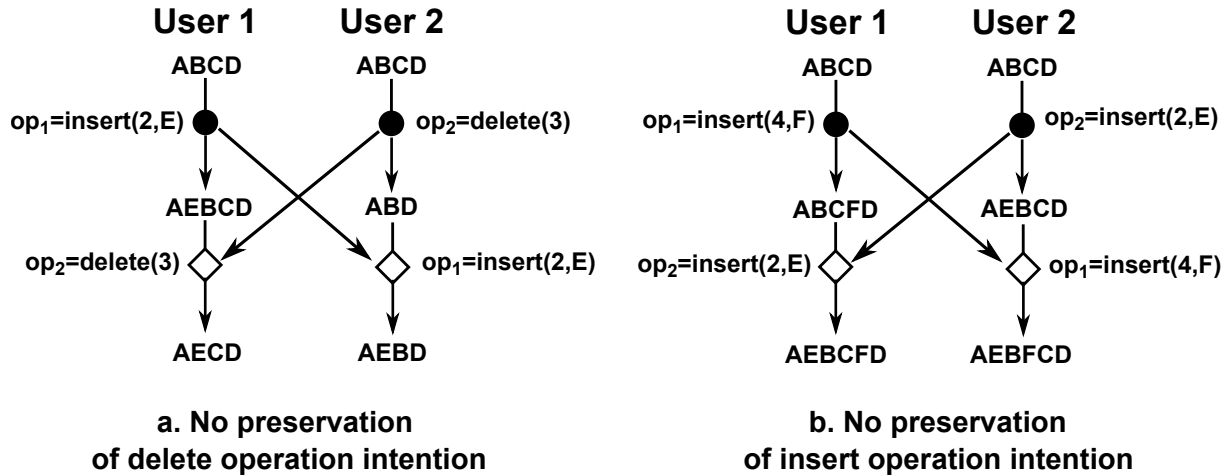


Figure 2.3: No Intention Preservation Property

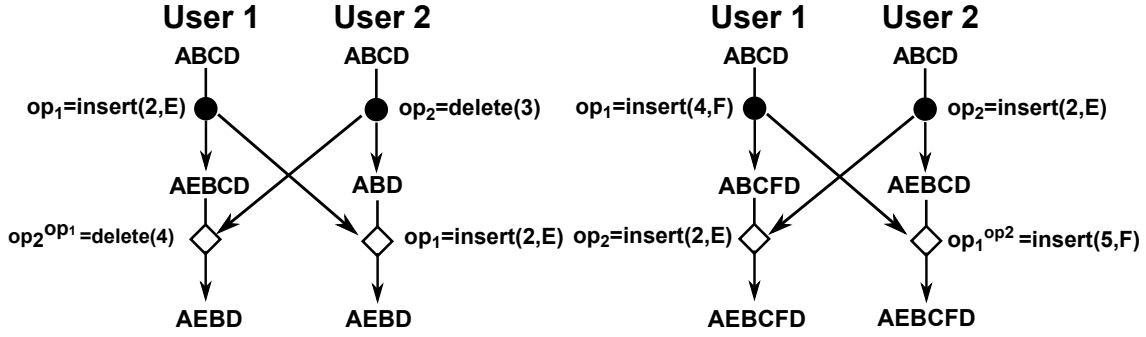
### Convergence property

After executing the same collection of operations, all users reach the same state. That is eventual consistency [12].

## 2.3 Operational Transformation

Operational Transformation introduced by Ellis and Gibbs [13] with the DOPT algorithm is well established for concurrency control in group editing. Currently, many products use this approach such as Gobby, SubEthaEdit, ACE and most recently Google Wave. This approach manages the document by a sequential array of characters and uses the index of the character in the array for its *posID*. Using this approach, the local operation is executed immediately on local copy to ensure responsiveness, and then it is broadcast to all other users. When a user receives a remote operation, it is transformed against all of its concurrent operations in the history by forward transformation procedure before it is executed to preserve its intention.

Consider the example in Figure 2.4, to preserve the intention,  $op_2$  in the example shown in Figure 2.3a should be transformed forward against  $op_1$  to become  $op_2^{op_1} = delete(4)$  because after user 1 executes  $op_1$ , 'C' is in position 4 (Figure 2.4a), and  $op_1$  in the example shown in Figure 2.3b should be transformed forward against  $op_2$  to become  $op_1^{op_2} = insert(5, 'F')$  because after user 2 executes  $op_2$ , the position between 'C' and 'D' is position 5 (Figure 2.4b)



a. Preservation of delete operation intention    b. Preservation of insert operation intention

Figure 2.4: Operational Transformation

Specification 1 presents the forward transformation procedure.

---

### Specification 1 Forward Transformation

---

Forward transformation of  $op_1 = \text{delete}(posID_1)$  against  $op_2 = \text{delete}(posID_2)$ .

- 1: **procedure** FWTRANSFORM( $op_1, op_2$ )
- 2:    **if**  $posID_1 > posID_2$  **then**
- 3:      $op_1 = \text{delete}(posID_1 - 1)$ ;
- 4:    **end if**
- 5:    **if**  $posID_1 < posID_2$  **then**
- 6:      $op_1 = \text{delete}(posID_1)$ ;
- 7:    **end if**
- 8:    **if**  $posID_1 = posID_2$  **then**
- 9:      $op_1 = \text{null}$ ;
- 10: **end if**
- 11: **end procedure**

Forward transformation of  $op_1 = \text{delete}(posID_1)$  against  $op_2 = \text{insert}(posID_2, newchar_2)$ .

- 12: **procedure** FWTRANSFORM( $op_1, op_2$ )
  - 13:    **if**  $posID_1 \geq posID_2$  **then**
  - 14:      $op_1 = \text{delete}(posID_1 + 1)$ ;
  - 15:    **else**
  - 16:      $op_1 = \text{delete}(posID_1)$ ;
  - 17:    **end if**
  - 18: **end procedure**
-



---

Forward transformation of  $op_1 = insert(posID_1, newchar_1)$  generated by  $S_{op_1}$  against  $op_2 = insert(posID_2, newchar_2)$  generated by  $S_{op_2}$ .

```

19: procedure FWTRANSFORM( $op_1, op_2$ )
20:   if  $posID_1 > posID_2$  then
21:      $op_1 = insert(posID_1 + 1, newchar_1)$ ;
22:   end if
23:   if  $posID_1 < posID_2$  then
24:      $op_1 = insert(posID_1, newchar_1)$ ;
25:   end if
26:   if  $posID_1 = posID_2$  then
27:     if  $newchar_1 = newchar_2$  then
28:        $op_1 = null$ ;
29:     else
30:       if  $S_{op_1} > S_{op_2}$  then
31:          $op_1 = insert(posID_1, newchar_1)$ ;
32:       else
33:          $op_1 = insert(posID_1 + 1, newchar_1)$ ;
34:       end if
35:     end if
36:   end if
37: end procedure

```

Forward transformation of  $op_1 = insert(posID_1, newchar_1)$  against  $op_2 = delete(posID_2)$

```

38: procedure FWTRANSFORM( $op_1, op_2$ )
39:   if  $posID_1 > posID_2$  then
40:      $op_1 = insert(posID_1 - 1, newchar_1)$ ;
41:   else
42:      $op_1 = insert(posID_1, newchar_1)$ ;
43:   end if
44: end procedure

```

---

However, Ressel et al. in [14] showed that DOPT can not ensure document consistency in all cases. Concretely, inconsistent states happen when current operations are generated on different states. As depicted in Figure 2.5, user 1 generates  $op_1$ ,  $op_3$  and user 2 generates  $op_2$ . When user 2 receives  $op_1$ , it transforms  $op_1$  against  $op_2$  because  $op_1 || op_2$ . Similarly, when user 2 receives  $op_3$ , it transforms  $op_3$  against  $op_2$  because  $op_3 || op_2$  and when user 1 receives  $op_2$ , it transforms  $op_2$  against  $op_1$  and  $op_3$  because  $op_2 || op_1$  and  $op_2 || op_3$ . However, in this case, two users are inconsistent after executing  $op_1$ ,  $op_2$ , and  $op_3$  because transforming  $op_3$  against  $op_2$  at user 2 can not preserve the intention of  $op_3$ . That is because  $op_1$  and  $op_2$  are concurrent and generated on the same state, while although  $op_3$  is concurrent to  $op_2$ , they are generated on the different states. That is a typical case in the sense of partial concurrency [23] when an operation is concurrent to a sequence of operations.

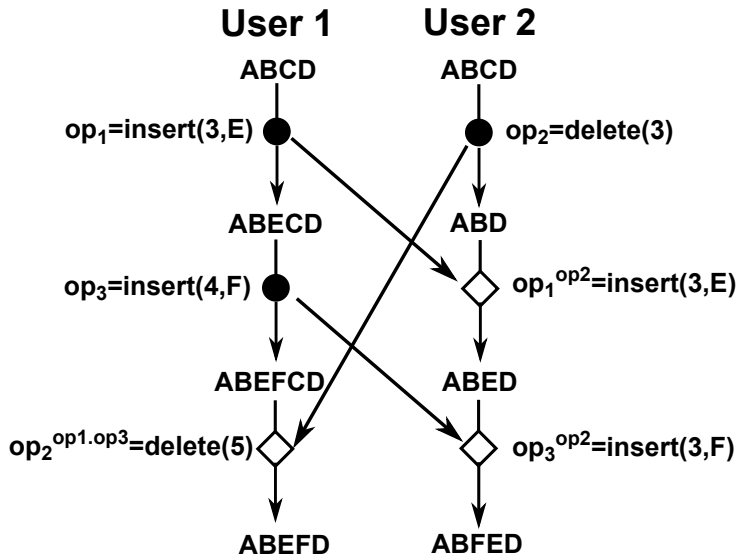


Figure 2.5: Inconsistency with Operational Transformation of DOPT Algorithm

This problem is solved by SOCT2 [15]. Concretely, when a remote operation is executed at a user, SOCT2 uses backward transformation technique to shift all of its preceding operations backward to the beginning of the history and all of its concurrent operations to the end of the history. After separating history, the remote operation will be transformed forward against the set of its concurrent operations. Figure 2.6 presents how SOCT2 solves the inconsistent case in Figure 2.5.

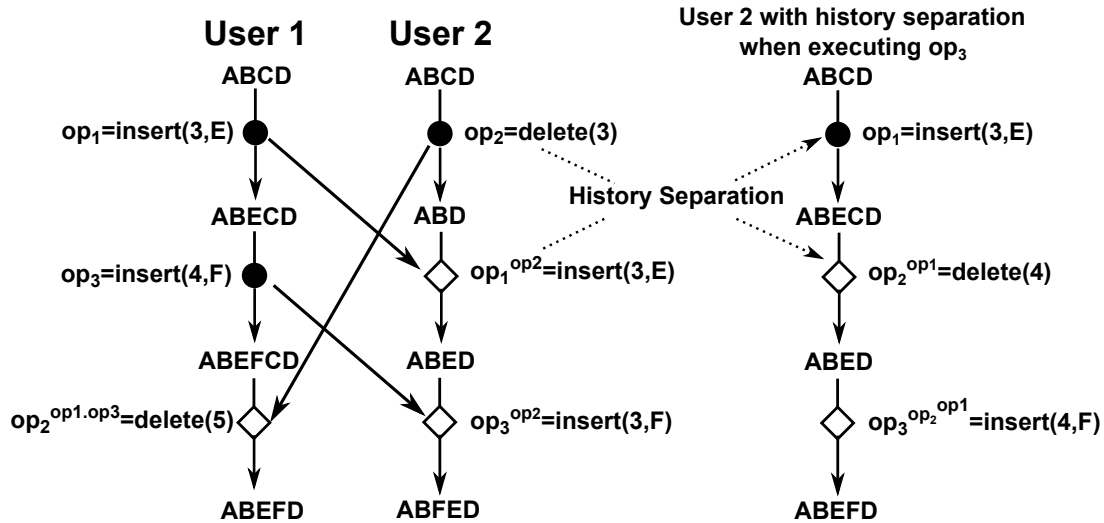


Figure 2.6: SOCT2 solves the Inconsistent Case in Operational Transformation of DOPT Algorithm

Specification 2 presents the backward transformation procedure.

---

**Specification 2** Backward Transformation

---

Backward transformation of  $op_1 = delete(posID_1)$  against  $op_2 = delete(posID_2)$ .

```
1: procedure BWTRANSFORM( $op_1, op_2$ )
2:   if  $posID_1 \geq posID_2$  then
3:      $op_1 = delete(posID_1 + 1)$ ;
4:   else
5:      $op_1 = delete(posID_1)$ ;
6:   end if
7: end procedure
```

Backward transformation of  $op_1 = delete(posID_1)$  against  $op_2 = insert(posID_2, newchar_2)$ .

```
8: procedure BWTRANSFORM( $op_1, op_2$ )
9:   if  $posID_1 > posID_2$  then
10:     $op_1 = delete(posID_1 - 1)$ ;
11:  else
12:     $op_1 = delete(posID_1)$ ;
13:  end if
14: end procedure
```

Back transformation of  $op_1 = insert(posID_1, newchar_1)$  against  $op_2 = insert(posID_2, newchar_2)$ .

```
15: procedure BWTRANSFORM( $op_1, op_2$ )
16:   if  $posID_1 > posID_2$  then
17:     $op_1 = insert(posID_1 + 1, newchar_1)$ ;
18:   else
19:     $op_1 = insert(posID_1, newchar_1)$ ;
20:   end if
21: end procedure
```

Backward transformation of  $op_1 = insert(posID_1, newchar_1)$  against  $op_2 = delete(posID_2)$ .

```
22: procedure BWTRANSFORM( $op_1, op_2$ )
23:   if  $posID_1 > posID_2$  then
24:     $op_1 = insert(posID_1 + 1, newchar_1)$ ;
25:   else
26:     $op_1 = insert(posID_1, newchar_1)$ ;
27:   end if
28: end procedure
```

---

However, Vidot et al. in [16] indicated that SOCT2 is inconsistent under a scenario when concurrent operations are not performed in the same order at all users. Consider the example in Figure 2.7, when operation  $op_3$  generated by user 3 arrives at user 1 and user 2, it is transformed against its concurrent operations. Concretely, at user 1,  $op_3$  is transformed in turn against  $op_1$  and  $op_2$ , while at user 2,  $op_3$  is transformed in turn against  $op_2$  and  $op_1$ . Unfortunately, the results of transforming  $op_3$  at user 1 and user 2 are different, which causes to be inconsistent. Therefore, to reach consistent state, the result of transforming an operation against a sequence of its concurrent operations must not depend on the orders of the execution of those operations at all users.

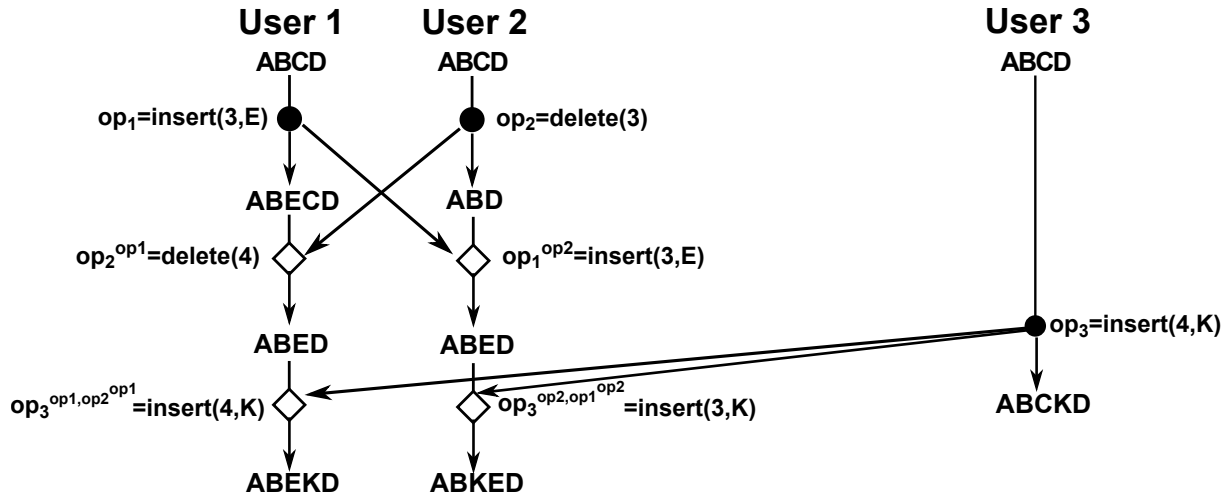


Figure 2.7: Inconsistency with SOCT2

In [16], Vidot et al. introduced SOCT3 to solve the inconsistent scenario of SOCT2 by an additional step to SOCT2. Concretely, like SOCT2 when a remote operation is received, it is transformed forward against the set of its concurrent operations obtained after the step of history separation. After that, operations in the history are rearranged by basing on the total ordering scheme by executing forward transformation and backward transformation. The rearrangement of the history after executing a remote operation ensures the order of operations in the history of all users to be the same. Figure 2.8 presents how SOCT3 solves the inconsistent case in Figure 2.7.

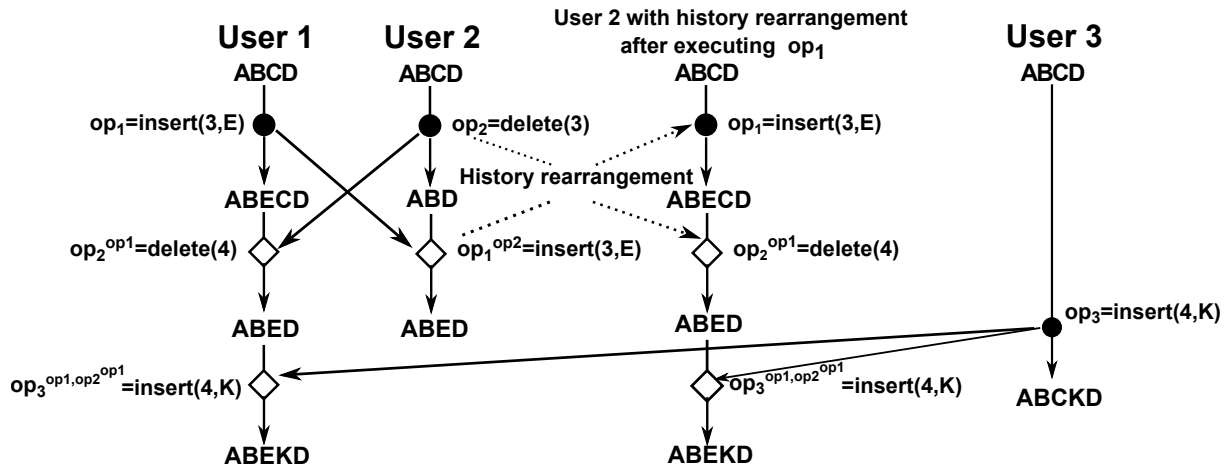


Figure 2.8: SOCT3 solves the Inconsistent Case in SOCT2

However, in SOCT3, the transformation procedure becomes very complex and error-prone [17]. Especially, with the large collaborative editing system in MANETs, many users and high network latency can cause a large number of concurrent operations while according this approach, every remote operation must be transformed against all of its concurrent operations to ensure document consistency. Therefore, it consumes a lot of

processing power, while the process power of mobile device is low. Consequently, it is not suitable for implementation on mobile device in the large collaborative editing application.

# Chapter 3

## Document Consistency with TreeDoc

In this chapter, we present TreeDoc [19], a commutative replicated data type that supports eventually consistent information. In our application, we have adapted the concept of TreeDoc to our need to ensure convergence and intention preservation properties and use state vector technique to preserve causality property.

### 3.1 TreeDoc

TreeDoc [19] is a commutative replicated data type (CRDT) [26] designed for concurrent editing without concurrency control. It manages the document as a binary tree, and the content of document is defined by infix-order visit on the whole tree. Consider the example of Figure 3.1, the content of the document corresponding with TreeDoc is “ABCDEF”.

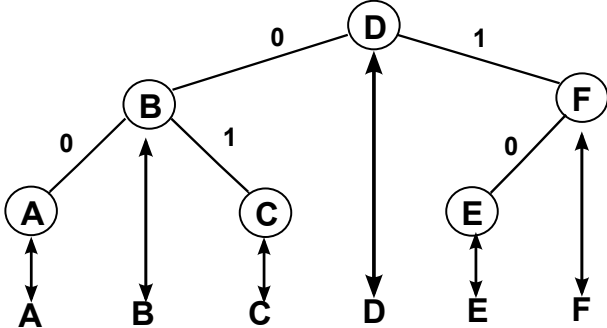


Figure 3.1: TreeDoc

Instead of using an array to manage the document and the index of the character in the array for its identifier like Operational Transformation approach, in TreeDoc, the identifier of the character (*posID*) in the document is the path of the corresponding node in the tree. The path of node is a sequence of bit {0;1} in which 0 stands for left branch and 1 stands for right branch on the tree. For example, *posID* of node A; B; C; E in Figure 3.1 are 00; 0; 01; 10, respectively.

### 3.1.1 Local Operation

When inserting a new character, the generator generates the corresponding node to the tree at the position such that the correlation between its position and the position of all other characters on the tree is guaranteed. After that, the generator sends inserted character and its identifier which is the path of the corresponding node to all other users. For example, to insert character X at right position of character D on the tree of Figure 3.1, because node D has right child, which is node F, node X is inserted at the leftmost position of the subtree rooted at node F, and then the generator gets the path of node X, which is 100. After executing that insert operation, the tree becomes the tree in Figure 3.2.

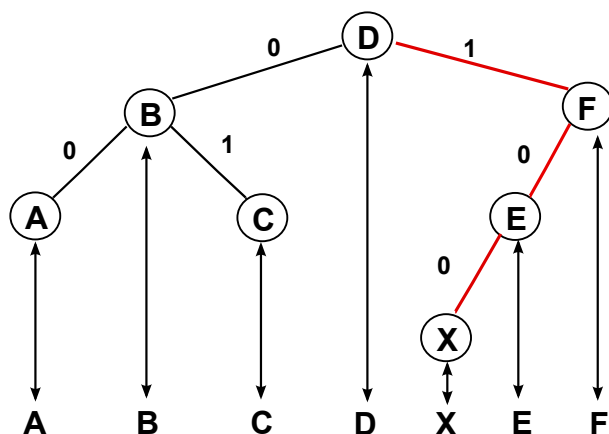


Figure 3.2: TreeDoc after inserting X at right position of character D

Another case is to insert character G at right position of character F on the tree of Figure 3.2. In this case, since node F has not right child, node G is right child of F as shown in Figure 3.3.

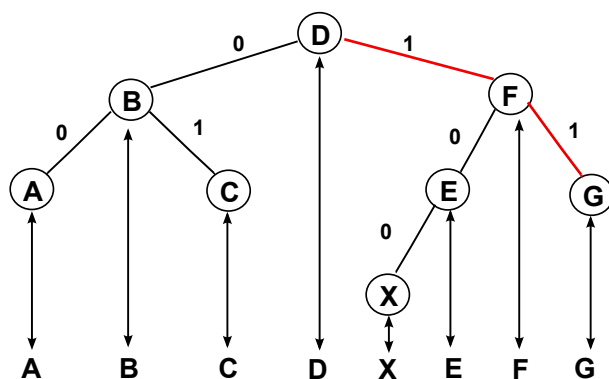


Figure 3.3: TreeDoc after inserting G at right position of character F

When deleting a character, the generator sets the corresponding node to empty node and sends the path of the corresponding node on the tree to all other users. For example,

deleting character B in the tree of Figure 3.3 is executed by setting the corresponding node to empty node, and the tree becomes the tree of Figure 3.4.

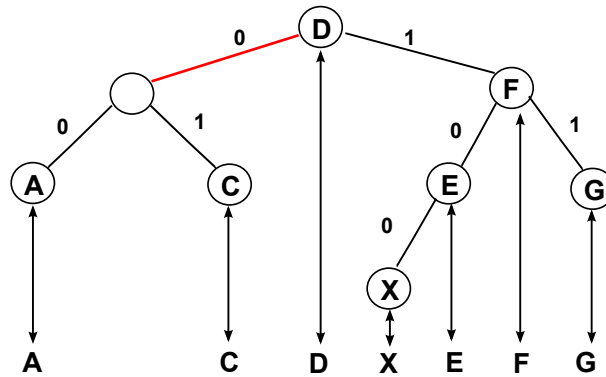


Figure 3.4: TreeDoc after deleting B

Specification 3 describes the procedures for generating node, applying local insert operation and local delete operation to TreeDoc.

---

**Specification 3** Application of local operation to TreeDoc

---

Generation of new node  $N$  at right position node  $M$

- 1: **procedure** GENERATENODE( $M$ )
- 2:   **if**  $M$  has right child **then**
- 3:     Create node  $N$  at the leftmost position of the subtree rooted at right child of node  $M$ ;
- 4:   **else**
- 5:     Create node  $N$  is the right child of node  $M$ ;
- 6:   **end if**
- 7:   **return** node  $N$ ;
- 8: **end procedure**

Application of locally deleting the character corresponding node  $N$  on tree

- 9: **procedure** APPLYLOCALDELETEOPERATION( $N$ )
  - 10:   Set node  $N$  to empty node;
  - 11:   Send the path of node  $N$  to all other users;
  - 12: **end procedure**
-



---

Application of locally inserting character *newchar* in right position of node *M*

- 13: **procedure** APPLYLOCALINSERTOPERATION(*M,newchar*)
  - 14:     Node *N*= GenerateNode(*M*);
  - 15:     Set the content of node *N* to *newchar*;
  - 16:     Send <the path of node *N,newchar* > to all other users;
  - 17: **end procedure**
- 

### 3.1.2 Remote Operation

When executing remote delete operation, user gets the node at the position corresponding with the identifier of deleted character on the tree, and sets it into empty node. When executing remote insert operation, user creates the node at the position on the tree corresponding with the identifier of inserted character, and sets its content into inserted character. Specification 4 describes the procedures for applying remote insert operation and delete operation to tree.

---

#### Specification 4 Application of remote operation to TreeDoc

---

Application of remote delete operation in which the path of deleted node is *posID*.

- 1: **procedure** APPLYREMOTEDELETEOPERATION(*posID*)
- 2:     Get node *N* whose path is *posID*
- 3:     Set node *N* to empty node
- 4: **end procedure**

Application of remote operation in which inserted character is *newchar* and path of inserted node is *posID*.

- 5: **procedure** APPLYREMOTEINSERTOPERATION(*posID,newchar*)
  - 6:     Create node *N* whose path is *posID*;
  - 7:     Set the content of node *N* to character *newchar*;
  - 8: **end procedure**
- 

### 3.1.3 Commutative Replicated Data Type

For any pair of concurrent operations  $op_1$  and  $op_2$ ,  $op_1$  is said to be commutative with  $op_2$  if on an initial state, both the execution of  $op_1$  before  $op_2$  and the execution of  $op_2$  before  $op_1$  result in the same state in which the intention of  $op_1$  and  $op_2$  are preserved. To prove that with the execution of update operation on TreeDoc under the way as above, two concurrent operations  $op_1$  and  $op_2$  can commute with each other, we investigate the following cases:

**Two concurrent insert operations** ( $op_1 = insert(posID_1, newchar_1)$ ,  
 $op_2 = insert(posID_2, newchar_2)$ )

When a user finishes executing  $op_1$  and  $op_2$ , the share document contains both  $newchar_1$  and  $newchar_2$ . Because inserting a node to a tree does not change the path of all other nodes on that tree,  $posID_1$  and  $posID_2$  is unique and does not depend on the orders of the execution of  $op_1$  and  $op_2$ . In other word, the intention of  $op_1$  and  $op_2$  are always preserved. Hence, the final state is the same.

**Two concurrent delete operations** ( $op_1 = delete(posID_1)$ ,  $op_2 = delete(posID_1)$ )

To delete a character on the document, TreeDoc sets the corresponding node on the tree to empty node, so executing delete operation does not change the path of all other nodes on the tree. Furthermore, the  $posID$  of a node on the tree is unique, so the existence of two nodes having the same  $posID$  is impossible. Therefore, after executing  $op_1$  and  $op_2$ , the share document does not contain  $deletedchar_1$  which is the deleted character of  $op_1$  and  $deletedchar_2$  which is the deleted character of  $op_2$ , and  $posID$  of all other characters is the same as before. In other words, the final state is the same.

**Concurrent delete operation and insert operation** ( $op_1 = delete(posID_1)$ ,  $op_2 = insert(newchar_2, posID_2)$ )

As indicated above, the  $posID$  of a node on the tree is unique during the execution of insert and delete operation. After executing  $op_1$  and  $op_2$ , the share document includes  $newchar_2$  at the unique position  $posID_1$  and does not contain  $deletedchar_1$  which is the deleted character of  $op_1$ . Therefore, the final state is the same.

### 3.1.4 Rebalancing

Besides the advantages, TreeDoc has the problem of overhead. Firstly, during the execution of insert operations, the tree can become unbalanced, which causes the path of inserted node to grow indefinitely. For instance, if a user always appends to the end, the path of node will grow with each new character. Secondly, the tree can accumulate many empty nodes because of executing delete operations. To alleviate this problem, rebalancing is executed periodically to make the tree balanced and discard empty nodes.

#### Rebalancing TreeDoc

Rebalancing process creates a binary balanced tree whose document content is the same as document content of initial tree. Concretely, with initial tree corresponding to the document consisting of  $k$  characters, this process operates on the initial tree by generating nodes, removing nodes, assigning again the content of each node such that it becomes complete binary tree including  $k$  nodes, whose height is equal to  $\lceil \log_2(k+1) \rceil - 1$ , and document content is not changed. Figure 3.5 shows the example of rebalancing TreeDoc in Figure 3.5a to TreeDoc in Figure 3.5b.

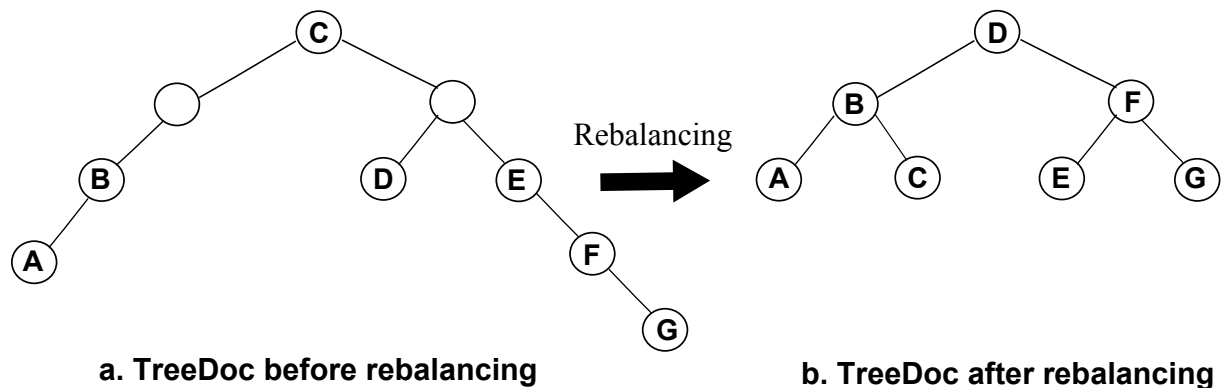


Figure 3.5: Rebalancing TreeDoc

Specification 5 describes the procedure for rebalancing TreeDoc.

---

**Specification 5** Rebalancing TreeDoc

---

Rebalancing TreeDoc  $t$

- 1: **procedure** REBALANCE( $t$ )
  - 2:      $k$  = the number of nonempty nodes in  $t$ ;
  - 3:      $h = \lceil \log_2(k + 1) \rceil - 1$ ;
  - 4:     Visit  $t$  in infix order to create nodes, remove nodes to reach complete binary tree whose height is equal  $h$ , and assign again the content of  $t$  to be the same as before;
  - 5: **end procedure**
- 

### Rebalancing TreeDoc in the large-scale system

Although the sequential order of characters in the document is preserved after rebalancing, their identifiers is changed. Consequently, only updates on the copies executing rebalancing on the same state can be exchanged with each other. Therefore, before rebalancing tree, all users must agree on the same state of their trees by running a commitment protocol. However, it is difficult for commitment protocol to execute quickly, successfully in MANETs, which causes update operations to be blocked a long time.

### Two-tier architecture

The solution for rebalancing tree in large-scale system is two-tier architecture introduced by Zawirski et al. in [20] in which all nodes are divided into two set, core set and nebular set:

- The core set includes well-connected nodes. Only core nodes participate in commitment protocol. With above property of core set, commitment protocol is executed quickly.
- Nebular set is dynamic, weakly connected, even disconnected. They only generate tree updates and do not participate in commitment protocol.

Every node defines an epoch  $e$  numbered sequentially for each rebalance.

### Rebalance in core set

When a core node initiates rebalancing, it executes a commit protocol in which it plays the role of the coordinator to agree on the same final state in core set before executing rebalancing. If all core nodes agree on the same final state in epoch  $e$ , they execute rebalancing on that final state in epoch  $e$  to create the initial state in epoch  $e + 1$ .

### Rebalance in nebular set

Because nebular nodes are not allowed to participate in commitment protocol for rebalancing, after core nodes execute rebalancing, nebula nodes are behind with core nodes at one or more epochs. Consequently, all updates generated by nebular nodes cannot be replayed at core nodes, although they can be replayed on all other nebular nodes in the same epoch. The catch-up protocol updates a nebula node to the next epochs.

### Catch-up protocol

When a nebular node  $N$  in epoch  $e$  contacts a node  $C$  in epoch  $e + 1$ ,  $N$  executes catch-up protocol to update its copy. Concretely,  $C$  sends all updates in epoch  $e$  to  $N$ . After receiving all updates in epoch  $e$ , noted by  $u_e$ , from  $C$ ,  $N$  applies  $u_e$  to the initial state in epoch  $e$  to create the final state in epoch  $e$  and executes rebalancing on the final state in epoch  $e$  to create initial state in epoch  $e + 1$ . After that,  $N$  translates updates which it executed in epoch  $e$  but not belong to  $u_e$  into epoch  $e + 1$ , then applies and broadcasts them. Specification 6 describes the procedure for catching up.

---

#### Specification 6 Catching up nebular node in epoch $e$ to epoch $e+1$

---

Catching-up nebular node  $N$  in epoch  $e$  after receiving all update in epoch  $e$ , noted by  $u_e$ , from node  $C$  in epoch  $e + 1$

- 1: **procedure** CATCH-UP( $u_e$ )
  - 2:   Apply  $u_e$  to the initial state in epoch  $e$  to reach the final state in epoch  $e$ ;
  - 3:   Rebalance the final state in epoch  $e$  to create the initial state in epoch  $e + 1$ ;
  - 4:   Translate updates which is not in  $u_e$  into epoch  $e + 1$ , then apply and send them to all other user;
  - 5: **end procedure**
- 

## 3.2 Causality Preservation with State Vector

When a user updates its local copy, it sends the operation to all other users with the current value of its local vector. In [13], if each component of the state vector  $V_i$ , which is the state vector of user  $S_i$ , is greater than or equal to the corresponding component of the state vector  $V_j$ , which is the state vector of user  $S_j$ , user  $S_i$  has already executed

all operations that have been executed by user  $S_j$ . Furthermore, to preserve causality property, when a remote operation  $op$  arrives at user  $S_i$ ,  $op$  is only executed if all operations causally preceding it have already been executed by user  $S_i$ . Consequently, user  $S_i$  only executes  $op$  when each component of its state vector is greater than or equal to the corresponding component of the state vector  $V_{op}$ . Specification 7 presents the procedures for executing local operation and remote operation to preserve causality property by state vector technique.

---

**Specification 7** Causality Preservation with State Vector technique

---

Execution of local operation  $op$  at user  $S_i$  with state vector  $V_i$ .

- 1: **procedure** EXECUTELocalOperation( $op$ )
- 2:     ApplyLocalOperation( $op$ );
- 3:      $V_{op} = V_i$ ;
- 4:      $V_i[i] ++$ ;
- 5:     Send  $\langle op, V_{op} \rangle$  to all other user;
- 6: **end procedure**

Execution of remote operation  $op$  with state vector  $V_{op}$  generated by user  $S_j$  at user  $S_i$  with state vector  $V_i$ .

- 7: **procedure** EXECUTERemoteOperation( $op, V_{op}, S_j$ )
  - 8:     **if**  $V_i[k] \geq V_{op}[k]$  , ( $k: 1 \leq k \leq N$ ) **then**
  - 9:         ApplyRemoteOperation( $op$ );
  - 10:          $V_i[j] ++$ ;
  - 11:     **else**
  - 12:         Wait until  $V_i[k] \geq V_{op}[k]$  , ( $k: 1 \leq k \leq N$ );
  - 13:     **end if**
  - 14: **end procedure**
-

# Chapter 4

## Implementation

### 4.1 System Architecture

In this section, we present the system architecture for every collaborative user. Each collaborative user consists of the following components as shown in Figure 4.1:

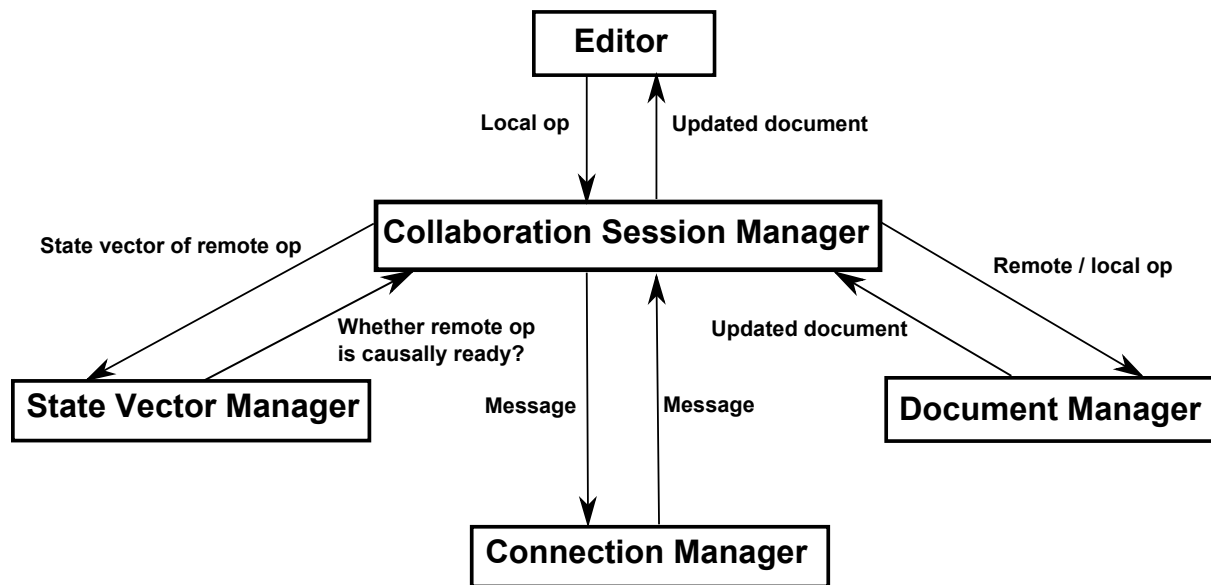


Figure 4.1: System Architecture

- Document manager is responsible for applying local operation/remote operation on the document structure, then returning new content of the document. In order to edit, rebalance in the collaboration, document management of user  $S_i$  in epoch  $n$  has to include as follow:
  - For ensuring document consistency on current document, current state  $x_n$ , structured by TreeDoc, is required.

- For helping other users to perform catch-up protocol to update their documents to epoch  $n$ ,  $S_i$  has to keep all operations that it executed before.
- If  $S_i$  is a nebular user, in the future, it will perform catch-up protocol to update its document to the document in the epoch of core set. In order to do that, a nebular user in epoch  $n$  needs to keep the initial state and all operations that it is executed in the epoch  $n$ . Because the initial state of an epoch is balanced tree, it is managed by an array structure of characters to reduce overhead.
- State vector manager is responsible for determining whether remote operation is causally ready.
- Collaboration session manager is responsible for managing the entire collaboration. Concretely, when it receives a message related to the remote operation, it forwards state vector to state vector manager, operation to document manager, and the new content of the document to editor after executing the remote operation. Furthermore, when it receives local operation from editor, it forwards operation to document manager, updates state vector, and sends message related to that local operation to all other users through connection manager.
- Connection Manager is responsible for sending and receiving messages to and from all other uses
- Editor is the tool for editing the shared document.

## 4.2 Class Diagram

Figure 4.2 shows the class diagram of our collaborative editing application. In general, *TreeDoc* structure contains a *Node root*. *Node* in *TreeDoc* includes:

- *atom* is the character corresponding with the node
- *leftChild* is left child node
- *rightChild* is right child node
- *parent* is parent node.
- *Font* is the font of the node

In a *Node*, *Font* consists:

- *fontStyle* are Bold, Italic, Underline, Quote, Strike-through.
- *fontType* are Normal, Monospace, Serif, Sans-Serif.
- *fontSize* is the size of the character.
- *fonttextColor* is the color of the character.

- *fontbgColor* is the color of background of the character.

*StateVector* is structured by an array of states corresponding with an array of users in the system. When a remote operation is causally ready, the state corresponding with the user generating that operation will be incremented.

*Epoch* contains a list of executed operations to perform catch-up protocol in the future.



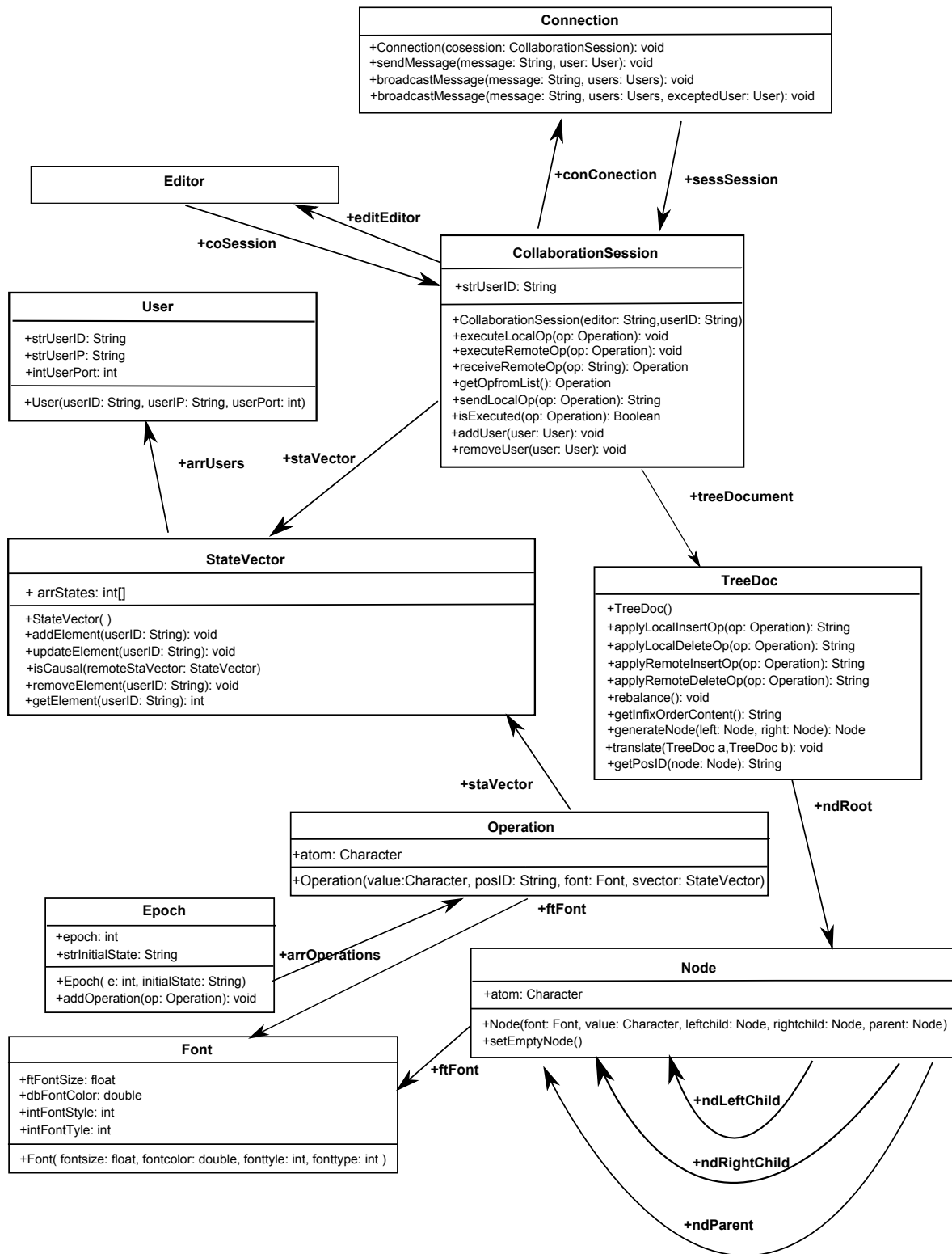


Figure 4.2: Class Diagram

### 4.3 Sequence Diagram

Figure 4.3 shows the sequence diagram for the process of a local operation. Concretely, when user  $S_i$  edits a character on local *Editor*, *CollaborationSession* gets that operation ( $op$ ) from *Editor*, applies it to *TreeDoc*, and updates the element related to user  $S_i$  in *StateVector*. Finally,  $op$  and state vector of  $S_i$  when it generates  $op$  is sent asynchronously to all other users through *Connection*.

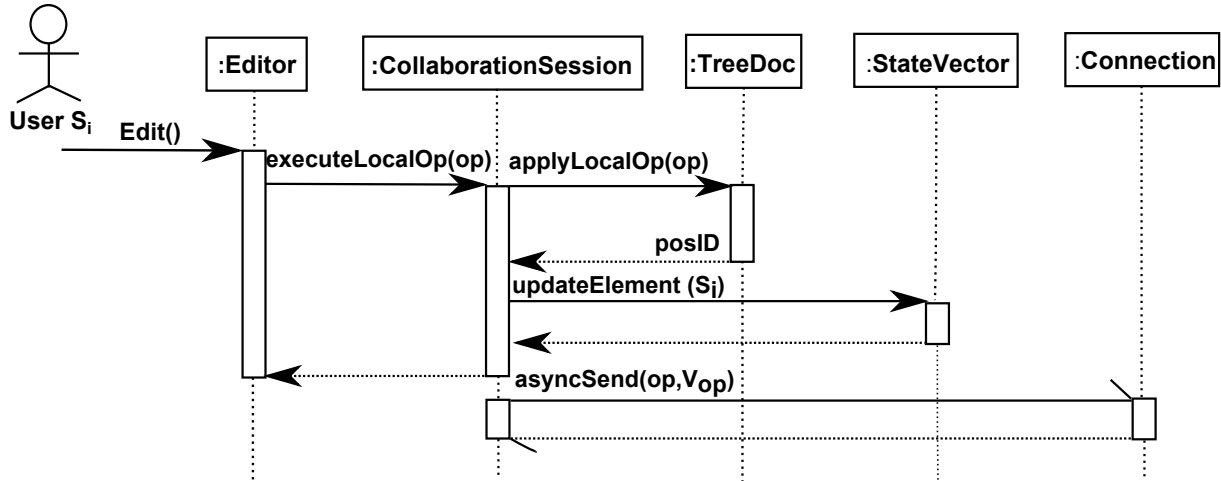


Figure 4.3: Sequence Diagram for the process of Local Operation

Figure 4.4 shows the sequence diagram for the process of a remote operation. When *Connection* receives a remote operation from other user, it is added to a list of operations that is waiting for be executed. *CollaborationSession* gets a operation from the list of operations, checks whether it is causally ready, and execute it on *TreeDoc* in the case of causal condition. After that, *CollaborationSession* sets the new content of the shared document to *Editor*.

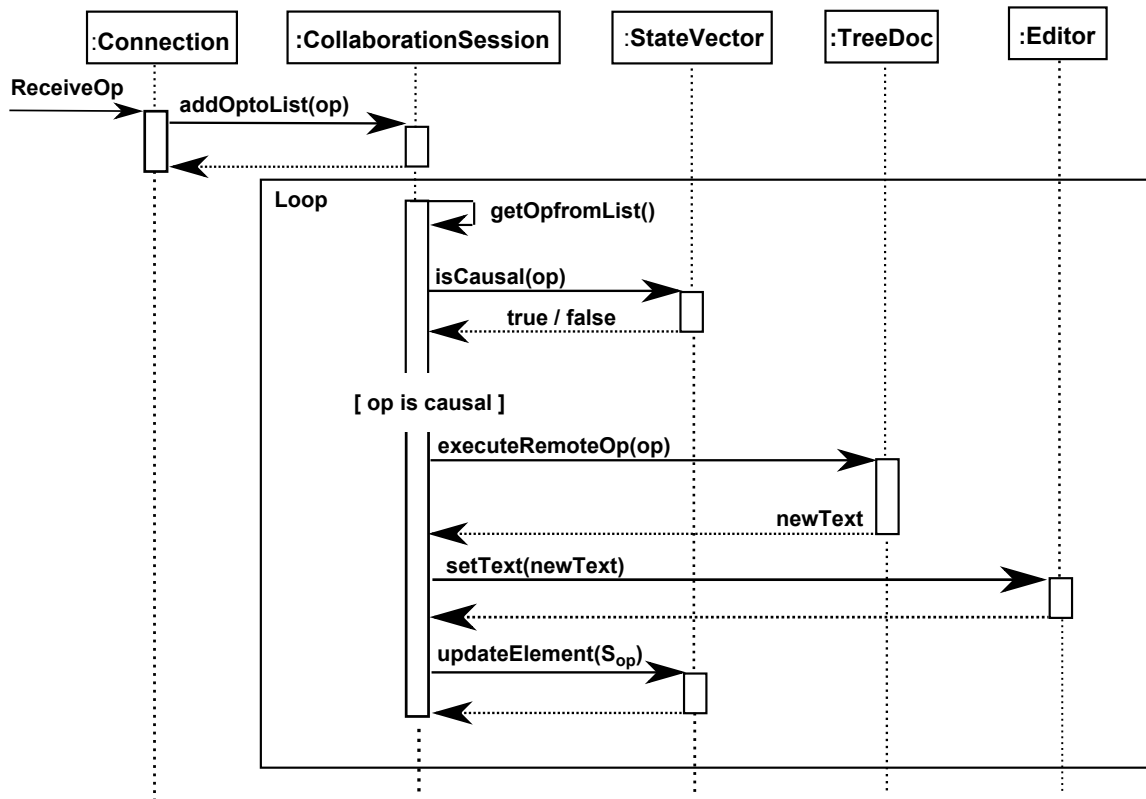


Figure 4.4: Sequence Diagram for the process of Remote Operation

# Chapter 5

## Evaluation

In this chapter, we compare the performance of two approaches for ensuring document consistency, TreeDoc and Operational Transformation in SOCT3 [16]. After that, we investigate the effect of rebalancing to the performance of TreeDoc.

In Operational Transformation approach, each remote operation must be transformed against all its concurrent operations in the history to preserve its intention. Therefore, operation time of this approach depends on the number of concurrent operations in the system.

In contrast to Operational Transformation, using TreeDoc, concurrent operations can commute with each other. Consequently, operation time of TreeDoc does not depend on the number of concurrent operations in the system and only depends on editing context of every operation. Concretely, in TreeDoc, time for executing local operation depends on time for walking on tree from the corresponding node to root to get *posID*, while time for executing remote operation depends on time for walking on tree from root to the node corresponding with *posID*. In other words, operation time of TreeDoc depends on the height of the node corresponding with every operation. Furthermore, total height of all nodes on the tree is minimal if the tree is always balanced during the execution of operations (operation  $i$  at height  $h = \lceil \log_2(i + 1) \rceil - 1$ ,  $i = 1 \dots n$ ) like Figure 5.1. That is the best case for operation time of TreeDoc. The worst case for operation time of TreeDoc is the case of only editing at a certain position. In that case, the height of node increases steadily during the execution of operations (operation  $i$  at height  $h = i - 1$ ,  $i = 1 \dots n$ ) like Figure 5.2, and total height of all nodes on the tree is maximal.

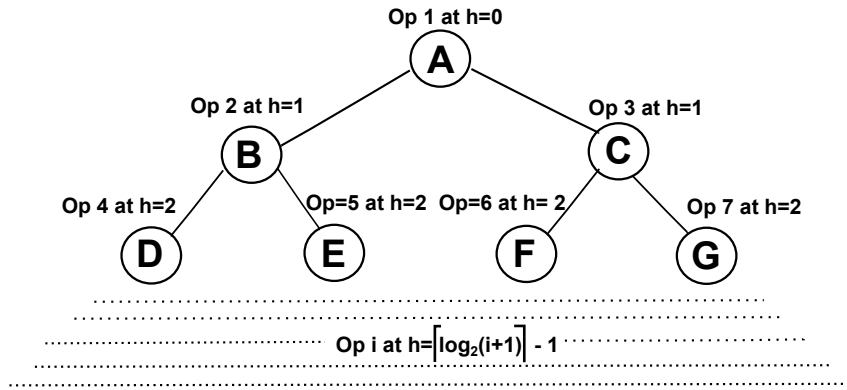


Figure 5.1: Best Case of TreeDoc: the tree is balanced, the depth is logarithmic

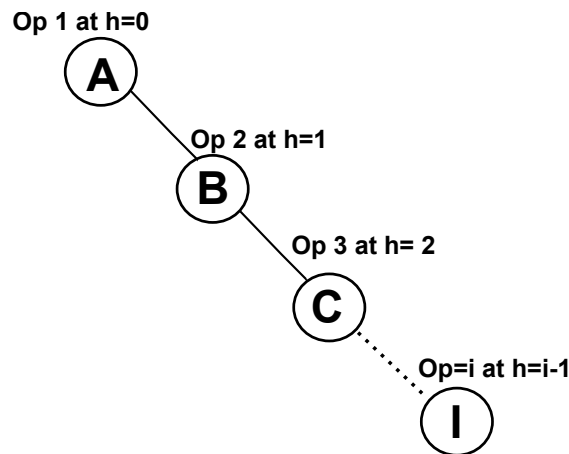


Figure 5.2: Worst Case of TreeDoc: the tree degenerates as a list with every new character added to the right, the depth is linear.

## 5.1 Experiment Setting

We experiment on 4 real android device Samsung GT-I9250 Galaxy Nexus (CPU speed: 1.2 GHz Dual Core Processor; Internal phone storage: 16GB; RAM: 1GB; OS version: Android OS 4.0). Because Android 4.0 does not officially build the ability to connect to ad-hoc networks, in our experiments, every mobile device is connected with each other by wireless network in which AirMac Extreme 802.11n Wi-Fi is the based station as shown in Figure 5.3. All mobile devices are located at the same position, and we use Ping command, we estimate network delay in this position by 77 ms.

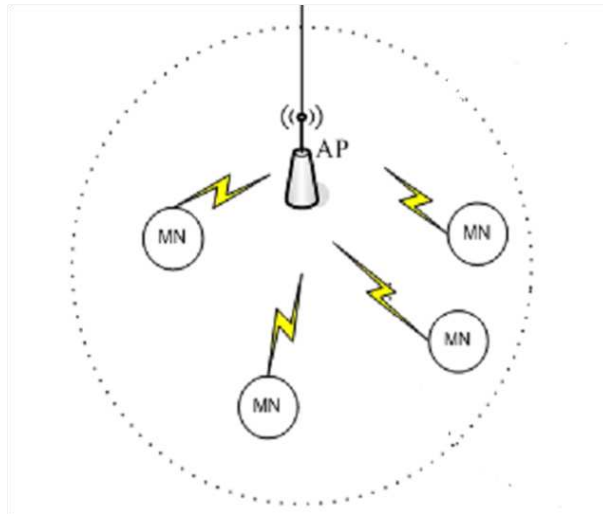


Figure 5.3: Experimental Environment

In our experiments, every device begins with the empty shared document as presented in Figure 5.4 and generates 250 operations. Therefore, every device will execute 1000 operations consisting of 250 local operations generated by it and 750 remote operations generated by all other devices. Figure 5.5 shows a consistent state of all devices after experiment. In every experiment, we get 30 measurements of each sample.



Figure 5.4: The Empty State in the Beginning of Experiment



Figure 5.5: Consistent State in the End of Experiment

### 5.1.1 Operation Time

Firstly, we consider average operation time for a device to finish all operations as shown in Figure 5.6 (operation time = time for executing 250 local operations + time for executing 750 remote operations) in 4 cases:

- Operational Transformation
- The best case of TreeDoc
- The worst case of TreeDoc without rebalancing
- The average case of TreeDoc where editing positions are generated randomly in uniform distribution without rebalancing

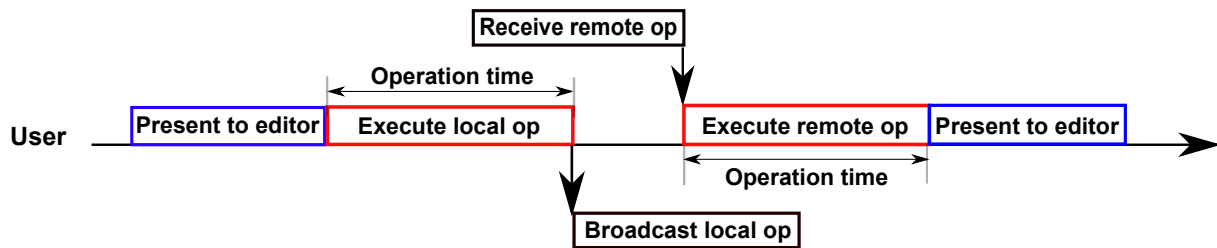


Figure 5.6: Operation Time

In this experiment, we manipulate the number of concurrent operations in the system by increasing operation interval, that is the period between two consecutive operations, in

order to investigate the trend of operation time when the number of concurrent operations increases. Because every operation carries the state vector to reflect the status of the user generated it, we base on the state vector of every operations to compute the number of current operations in the system.

Figure 5.7 shows the result of this experiment. The number of concurrent operations decreases as operation interval increases, which results in the decrease of operation time of Operational Transformation, while operation time of TreeDoc is not changed. That is because for Operational Transformation, the more the number of concurrent operations is, the more the transformation procedure is executed, while for TreeDoc, concurrent operations can commute with each other without control. Especially, when operation interval is close to or greater than network delay, the number of current operations is fixed by 4 operations and operation time of Operational Transformation is 1553.3 ms. In the best case, operation time of TreeDoc is 457.57 ms, that is always better than operation time of Operational Transformation. However, in the worst case, operation time of TreeDoc is 3571.63 ms, that is only better than operation time of Operation Transformation if the number of current operations is greater than 10 operations.

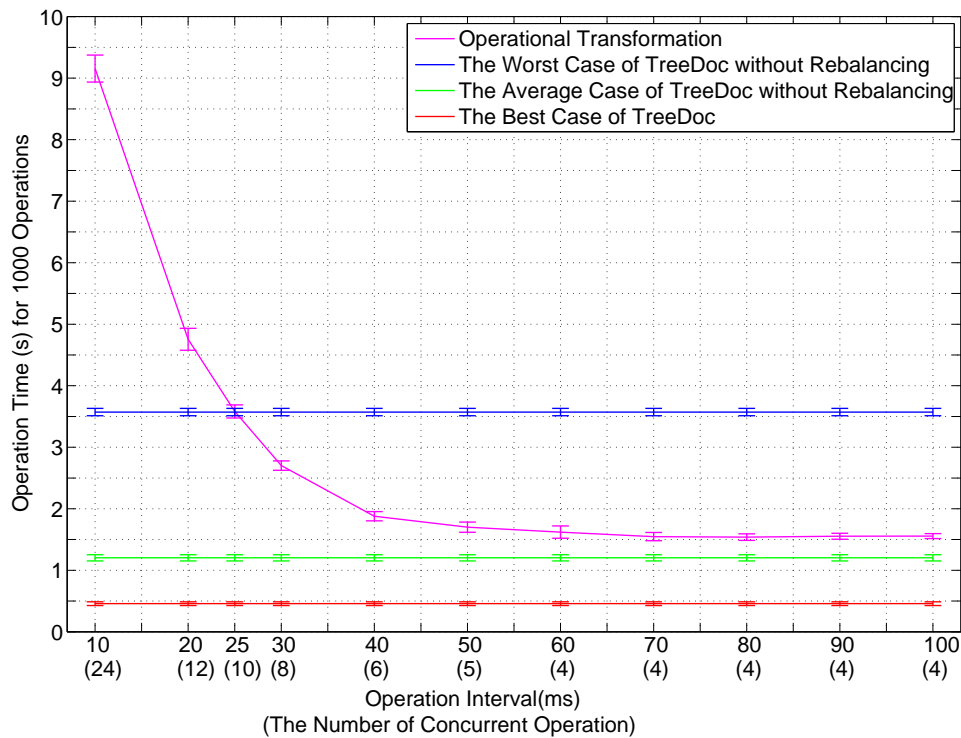


Figure 5.7: The number of Concurrent Operations vs. Operation Time without Rebalancing



## 5.1.2 Rebalancing Time

With periodically executing rebalancing, the height of tree decreases, which results in the decrease of operation time in the worst case of TreeDoc. Next, we consider rebalancing time, operation time (2 cores, 2 nebulars) as depicted in Figure 5.8 in the worst case of TreeDoc when manipulating rebalancing period, that is the number of operations for a repeating rebalancing.

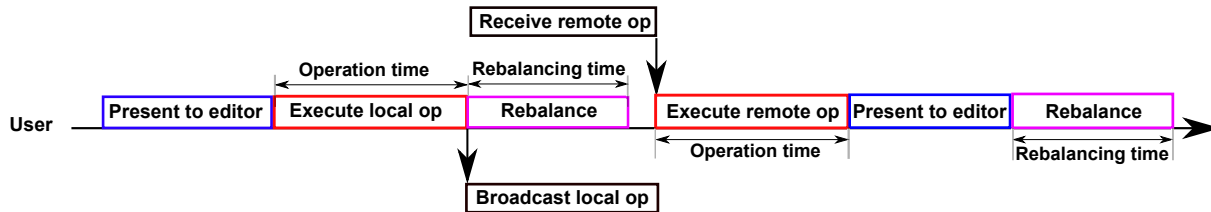


Figure 5.8: Rebalancing Time

The more the execution of rebalancing is, the more operation time decreases (Figure 5.9). However, the more the execution of rebalancing is, the more rebalancing time increases (Figure 5.9). With rebalancing period of 200 operations, total time consisting of rebalancing time and operation time is minimal (Figure 5.9) and operation time in the worst case of TreeDoc is reduced by 1100.26 ms that is always better than operation time of Operational Transformation (Figure 5.10). Therefore, 200 operations is the best value of rebalancing period in our experiments.

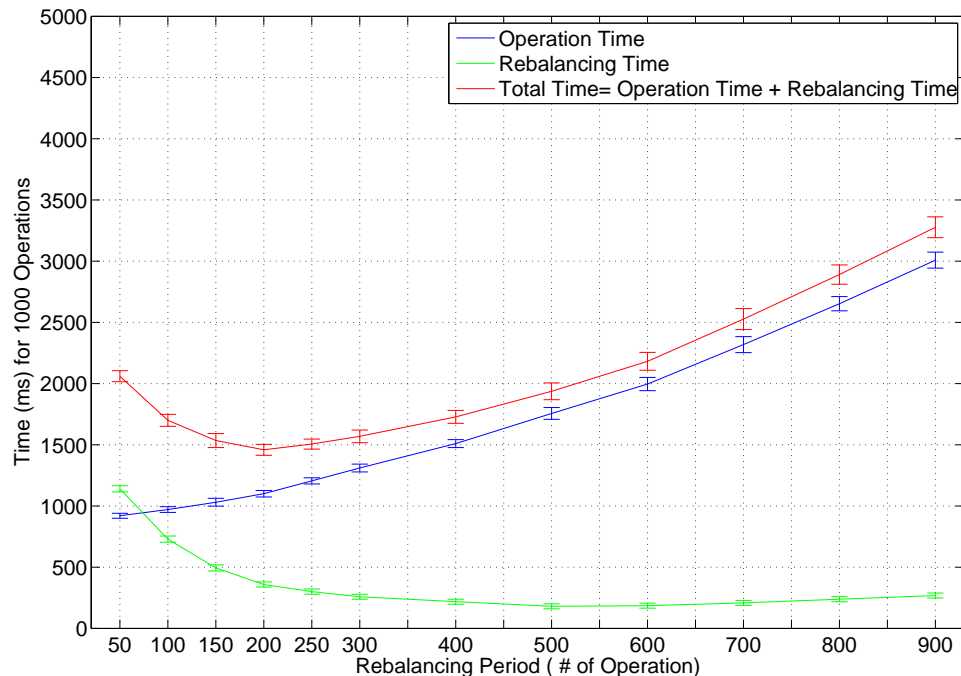


Figure 5.9: The Worst Case of TreeDoc vs. Rebalancing

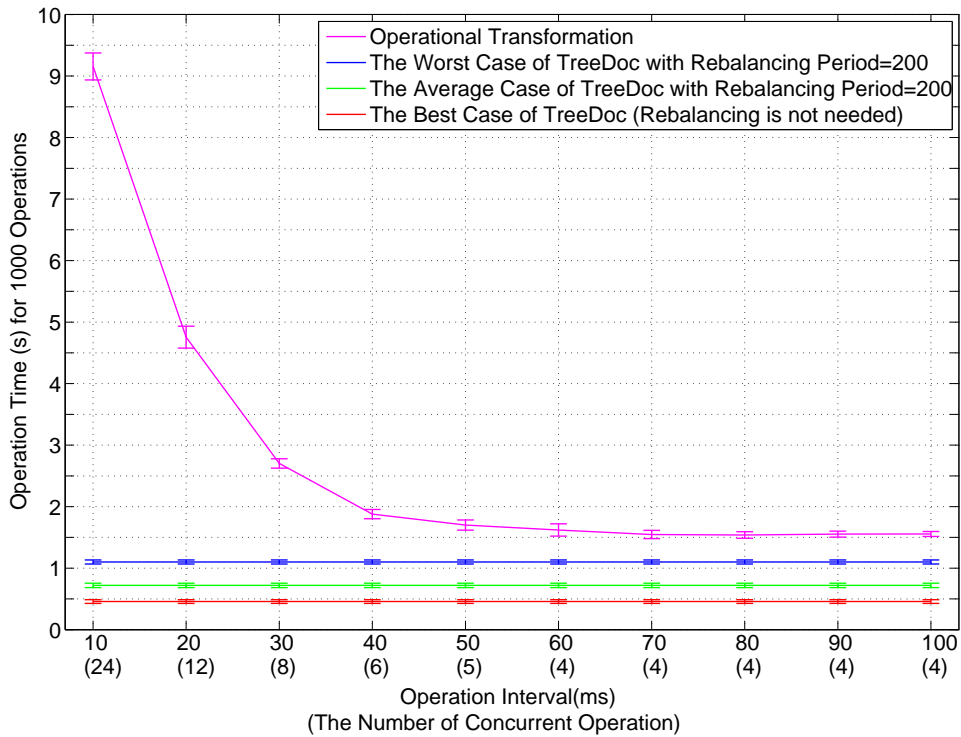


Figure 5.10: Operation Time vs. The number of Concurrent Operations with Rebalancing

### 5.1.3 Operation Latency

Next, we consider latency of every operation, that is the interval from the time when a user edits a character on its editor to time when other user takes effect of that character like Figure 5.11.

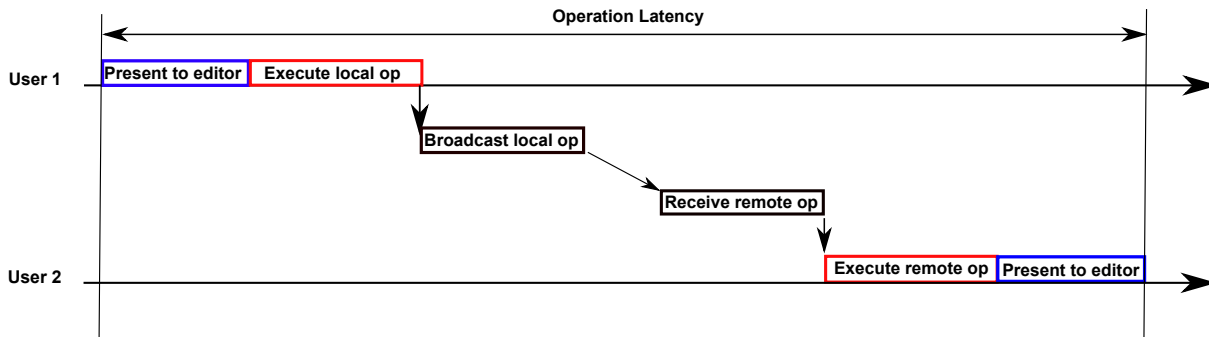


Figure 5.11: Operation Latency

In the worst case of TreeDoc, latency of every operation increases with operation ID because the height of the node corresponding with every operation increases steadily (operation  $i$  at height  $h = i - 1$ ,  $i = 1 \dots n$ ). However, with rebalancing period of 200 operations, rebalancing is executed at operation ID:200; 400; 600; 800, which leads the

height of nodes corresponding with operation ID: 201;401;601;801 to be reduced by 7;8;9;9, respectively and the decrease of nodes corresponding with operations after rebalancing. Therefore, latency falls dramatically after rebalancing as shown in Figure 5.12, and the maximum of operation latency is 146.4 ms.

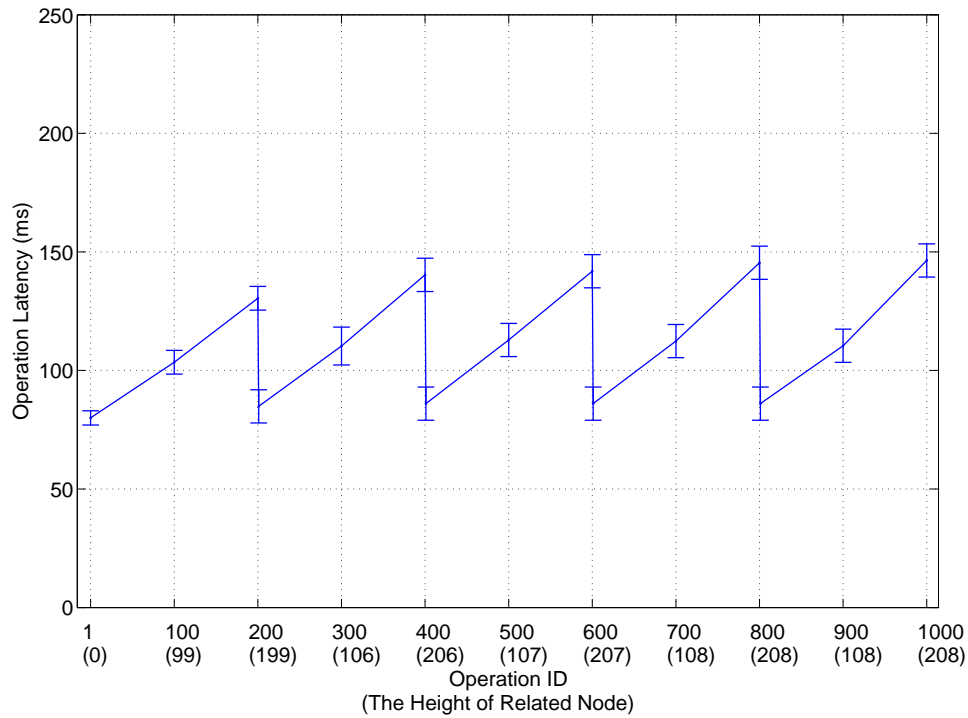


Figure 5.12: The Worst Case of TreeDoc vs. Operation Latency

### 5.1.4 Time Usage

Finally, Figure 5.14 shows time usage of a user during the collaboration session with rebalancing period of 200 operations and operation interval of 100 ms. Completion time is average time for a user to finish the collaboration session. It includes the following components as presented in Figure 5.13:

- Present time is time for updating editor
- Operation time is time for executing local/remote operation
- Rebalancing time is time for executing rebalancing
- Idle time

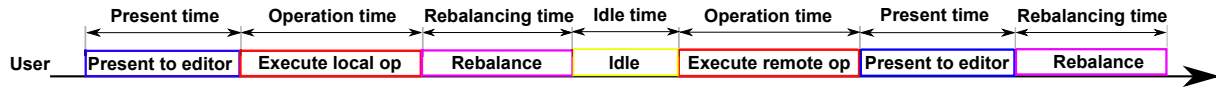


Figure 5.13: Completion Time

In the best case of TreeDoc, the tree is always balanced during the collaboration session, so it does not need rebalancing. Furthermore, in this case, operation time is minimal because total height of all nodes in the tree is minimal. Therefore, process time of this case only consists of present time and operation time and is relatively small at 3.3% (operation time=1.8%; present time=1.5%; rebalancing time=0%) of completion time.

Conversely, in the worst case of TreeDoc, the tree has to be periodically rebalanced to reduce operation time. Hence, process time of this case consists of present time, operation time, and rebalancing time. In this case, process time is the largest of three cases of TreeDoc and up to 7.3% (operation time=4.4%; present time=1.5%; rebalancing time=1.4%) of completion time.

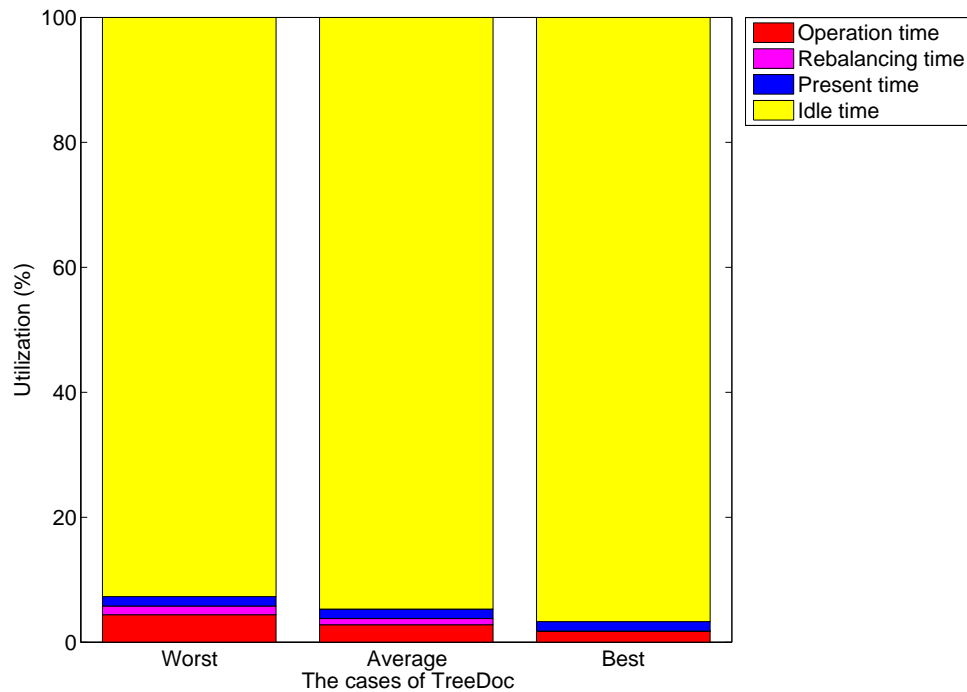


Figure 5.14: Time Usage of a User during the Collaboration Session

# Chapter 6

## Fault Tolerance

### 6.1 Disconnection

In MANETs, mobile device frequently gets disconnected from other devices. In the scope of this thesis, we concentrate on the disconnection in the case when mobile device goes too far out of the transmission range of other devices. When a site is disconnected, it can not receive and broadcast messages from/to sites that it is disconnected. Consequently, in the collaboration session, not only disconnected user misses messages but also other users miss messages generated by disconnected user during disconnection period.

Because of disconnection event, every user in the collaboration session has its own state which may be different from the state of other users. Therefore, when a user joins the collaboration session, it may miss some document operations to reach the last state of the collaboration session. Furthermore, when a user joins the collaboration session, if a current user in the collaboration session is disconnected from the joining user, it will miss the joining message broadcast by the joining user and document operations generated by the joining user before joining the collaboration session.

Furthermore, collaboration session has to ensure causality preservation property. Consequently, a message may be blocked at a user, if that user misses messages causally preceding it.

In order to tolerate disconnection event, the system has to solve missing messages (operation document, leaving, joining). Concretely, the system must satisfy the following property:

- If a user  $S_i$  generates a message  $m$  (operation document, leaving, joining), eventually, all users receive  $m$  either directly from  $S_i$  or indirectly from other users.

### 6.2 Related Works

One approach is that all users have to agree on the same state when a user joins the collaboration session. Therefore, joining user is able to get the last state of the share document easily and all current users in the collaboration session do not miss joining

message and document operation messages generated by joining user before joining the collaboration session.

Concretely, in Ycab [21], all users agree on the same state by running commitment protocol in which joining user is the coordinator. Concretely, when a user joins the collaboration session, it broadcasts joining message and its current state to all users of the collaboration session and waits for the replies about their states. If all users have the same state, joining users broadcasts the message to notify all users of processing joining messages and adding joining user to their participant list.

Contrary to Ycab, in CoWord [22], all users agree on the same state by broadcasting synchronization message to push all operations to arrive, be executed at their destinations, and temporarily block users from generating new operations, which is called quiescence state. If all users reach quiescence state, they have identical document state. Therefore, in order to join the collaboration session successfully, joining user only needs to get the document and state vector of a current user.

This approach requires all users to be well-connected together during the process of joining session to ensure that all messages arrive at their destinations. Therefore, the agreement is executed correctly, quickly and the collaboration session is not blocked a long time. However in MANETs, above condition is not always guaranteed. In this chapter, we will provide a solution to solve missing message problem for the process of joining, leaving, editing in a collaboration session.

## 6.3 Tolerating Missing Messages

Each message in a collaboration session consisting of joining message, document operation message, leaving message has to carry a state vector to reflect the status of the user generated it. For each user, the first message is a joining message, the next messages are document operation messages, and the last message is a leaving message. In the collaboration session, every user has to ensure the execution of those messages in causal order by basing on their state vectors to detect which of the message is causally ready.

Consequently, when a message  $m$  generated by user  $S_i$  arrives user  $S_j$ ,  $S_j$  compares the state vector of  $m$ , which shows the state of  $S_i$  when it generated  $m$ , and the state vector of  $S_j$ , which shows the state of  $S_j$  when  $m$  arrives  $S_j$ , in order to detect message  $k$  that causally precedes  $m$  and  $S_j$  misses, and then,  $S_j$  sends a request to ask  $S_i$  to resend missing message  $k$ .

The following sections discuss how user  $S_j$  detects missing message by comparing its state vector and the state vector of received message  $m$  generated by user  $S_i$  in two cases. After that, we specify two error cases in which the comparison between state vectors cannot detect missing message and provide solutions for those cases.

### 6.3.1 Detection in the Normal Case

In this case,  $S_j$  detects missed messages generated by user  $S_i$  whose related component appears in both the state vector of  $S_j$ , noted by  $V_{S_j}$ , and the state vector of message  $m$ ,

noted by  $V_m$ . As described in the section 2.3,  $V_{S_j}[S_t]$  indicates the number of messages generated by  $S_t$  that has already been executed by  $S_j$ , while  $V_m[S_t]$  indicates the number of messages generated by  $S_t$  that has already been executed by user  $S_i$  when it generates  $m$ . Therefore, if  $V_m[S_t]$  is greater than  $V_{S_j}[S_t]$ ,  $S_j$  realizes that it misses messages  $k = \langle V_m[S_t] - 1, V_m[S_t] - 2, \dots, V_{S_j}[S_t] \rangle$  generated by  $S_t$ .

Figure 6.1 shows the example in which user  $S_j$  is disconnected from  $S_t$ ,  $S_i$ . Therefore,  $S_j$  can not receive  $op_{S_{i_0}}$ ,  $op_{S_{i_1}}$  generated by  $S_t$ ,  $S_i$ , respectively during disconnection period of  $S_j$ . When  $S_j$  reconnects, it receives  $op_{S_{i_1}}$  generated by  $S_i$ . By basing on state vector of  $op_{S_{i_1}}$ , that is state vector of  $S_i$  when it generates  $op_{S_{i_1}}$ ,  $S_j$  knows that  $S_i$  has already executed one operation generated by  $S_t$  because  $V_{S_i}[S_t] = 1$  and one operation generated by  $S_i$  because  $V_{S_i}[S_i] = 1$ , while  $S_j$  has yet to execute any operations generated by  $S_t$  and  $S_j$ . Consequently,  $S_j$  detects missing messages consisting of message 0 generated by  $S_t$  ( $op_{S_{i_0}}$ ), message 0 generated by  $S_i$  ( $op_{S_{i_0}}$ ), and sends a request to ask  $S_i$  to resend those messages.

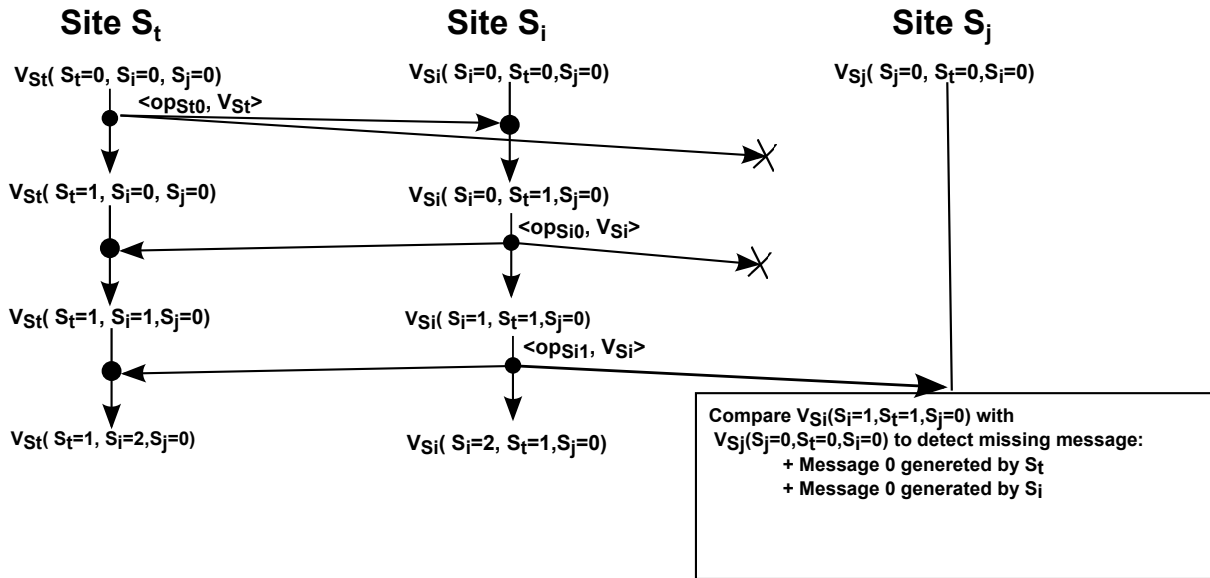


Figure 6.1: Detection in the Normal Case

### 6.3.2 Detection in the Special Case: Missing Joining or Leaving Messages

In this case,  $S_j$  detects missing messages generated by  $S_t$  whose related component appears in state vector of  $S_j$  and disappears in state vector of message  $m$ . This case happens because two reasons:

- The first reason is that  $S_i$  executed joining message of  $S_t$  before generating  $m$ , while  $S_j$  missed joining message of  $S_t$ . In this case,  $S_j$  misses all of message generated by

$S_t: \langle V_m[S_t]-1, V_m[S_t]-2, \dots, 0 \rangle$ . This context is shown in the example in Figure 6.2. In this example, when receiving  $op_{S_{i_0}}$  generated by  $S_i$ ,  $S_j$  knows that it misses all messages generated by  $S_t$  consisting of joining message and  $op_{S_{t_1}}$  of  $S_t$ .

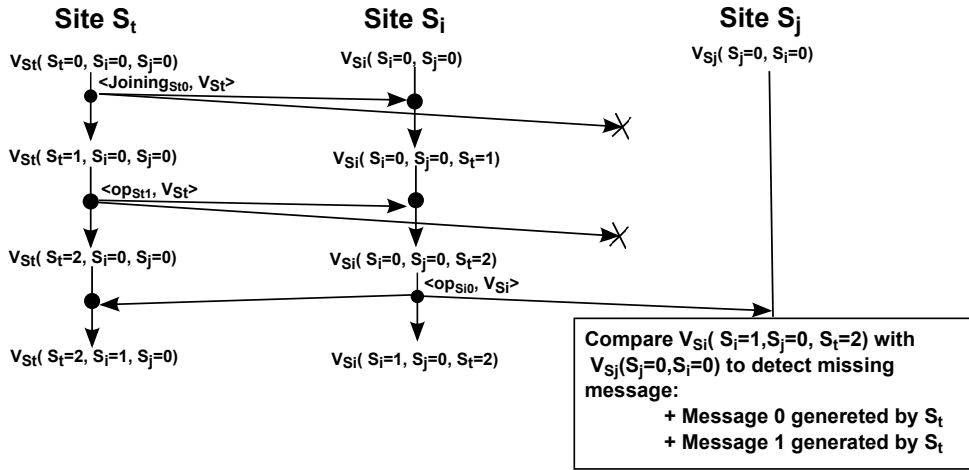


Figure 6.2: Detection in the Special Case: Missing Joining Messages

- The second reason is that  $S_i$  missed leaving message of  $S_t$  before generating  $m$ , while  $S_j$  executed leaving message of  $S_t$ . In this case,  $S_j$  does not miss any messages generated by  $S_t$ . Consider the example in Figure 6.3, when receiving  $op_{S_{i_0}}$  generated by  $S_i$ ,  $S_j$  knows that it does not miss any messages generated by  $S_t$  because it executed leaving message of  $S_t$ .

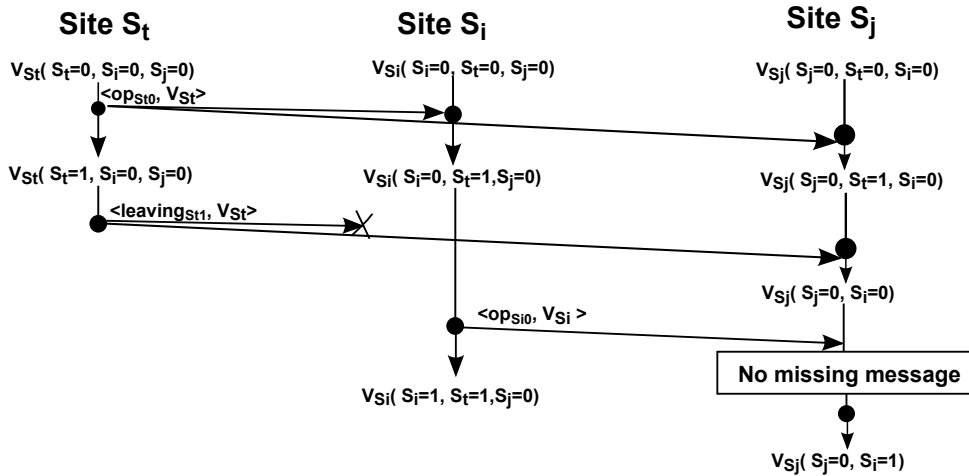


Figure 6.3: Detection in the Special Case: Missing Leaving Messages

Specification 8 describes the procedure for detecting missing messages.



---

**Specification 8** Detection of Missing Messages

---

$S_j$  with state vector  $V_{S_j}$  detects missing message after receiving message  $m$  with state vector  $V_m$  generated by  $S_i$ .

```
1: procedure DETECTMISSINGMESSAGES( $m, V_m, S_i$ )
2:   for all  $S_t \in V_m$  do
3:     if  $S_t \in V_{S_j}$  then
4:       if  $V_{S_j}[S_t] < V_m[S_t]$  then
5:         Send a request to  $S_i$  for asking missing messages  $k = \langle V_m[S_t] - 1, V_m[S_t] -$ 
6:            $2, \dots, V_{S_j}[S_t] \rangle$ ;
7:       end if
8:     else
9:       if  $S_j$  already executed leaving message of  $S_t$  then
10:        No missing messages;
11:      else
12:        Send a request to  $S_i$  for asking missing messages  $k = \langle V_m[S_t] - 1, V_m[S_t] -$ 
13:           $2, \dots, 0 \rangle$ ;
14:      end if
15:    end for
16: end procedure
```

---

### 6.3.3 Fault Case 1: Missing All Messages from a User

Consider the context in Figure 6.4 where user  $S_j$  misses all messages (joining, operation, leaving) generated by  $S_t$  from the time when  $S_t$  joins the collaboration to the time when  $S_t$  leaves the collaboration, while user  $S_i$  receives and executes all of those messages. In this context, when message  $op_{S_{i_0}}$  generated by  $S_i$  arrives  $S_j$ ,  $S_j$  can not detect missing messages generated by  $S_t$  by comparing its state vector with state vector of  $S_i$  because now, the component associated with  $S_t$  is no longer in state vector of  $S_i$  after  $S_i$  executed leaving message of user  $S_t$ .

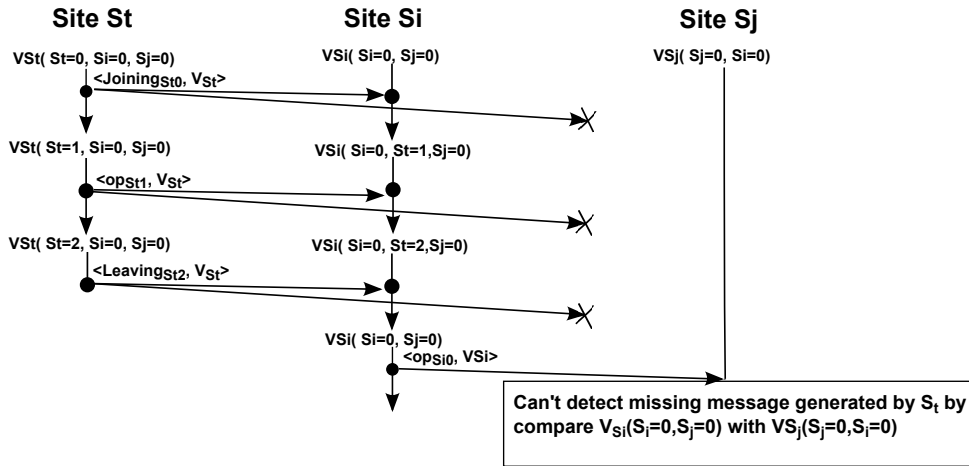


Figure 6.4: Fault Case when Missing All Messages from a User

In order to take into account this context,  $S_i$  has to delay executing leaving message until it generates a message. Concretely, in Figure 6.5, after receiving leaving message of  $S_t$ ,  $S_i$  does not execute that leaving message immediately. After  $S_i$  generates message  $op_{S_{i0}}$  and sends it to all other users,  $S_i$  executes leaving message of  $S_t$  by removing  $S_t$  from its state vector. Consequently, when  $S_j$  receives message  $op_{S_{i0}}$ , it can detect missing messages generated by  $S_t$  because the component associated with  $S_t$  is still in the state vector of  $op_{S_{i0}}$ . In the worst case where  $S_j$  misses message  $op_{S_{i0}}$ , although the next messages generated  $S_i$  do not help  $S_j$  to detect missing messages generated by  $S_t$ , they help  $S_j$  to detect missing message  $op_{S_{i0}}$  and after that,  $S_j$  bases on state vector of  $op_{S_{i0}}$  to detect missing messages generated by  $S_t$ .

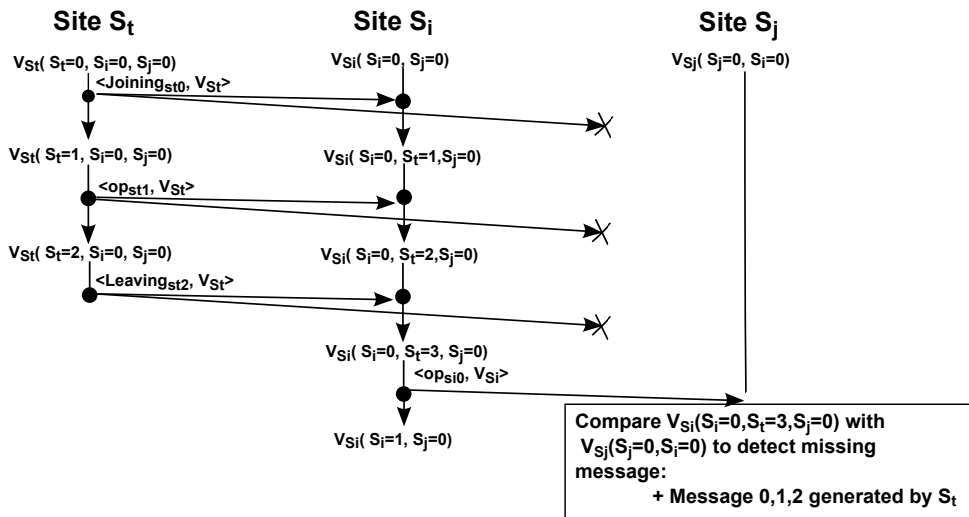


Figure 6.5: Solution for Fault Case 1

### 6.3.4 Fault Case 2: Silent User

In the context that if requests for asking to resend messages are lost, missing messages will not be resent. Especially, if users fall to silent status in which they do not generate any messages, other users can not detect missing messages.

Our solution is that if a user does not generate any messages for a period  $T$ , it has to broadcast a state notification containing its state vector to all other users to detect missing messages.

## 6.4 Evaluation

In this section, we continue considering the experiment as presented in the Chapter 2. In order for a message which is operation document, leaving or joining to arrive its destination under disconnection event, the system may send many packets including original or resent message, requests for asking to resend message, state notifications for detecting missing message. Therefore, in our experiment, when a user generates a message, for that message to arrive at 3 other users, in the case of no disconnection, it needs to send 3 packets, each of which is for every user, while under disconnection event, it has to send more than 3 packets.

In this experiment, we manipulate loss probability, which is the probability for a packet not arriving at its destination. We simulate packet loss by randomly generating a number in uniform distribution and, after that, we base on the generated number and the loss probability to determine whether a packet is lost. For example, with loss probability of 50%, before a user sends a packet, it generates a random number in the range of 1 to 100. If the random number is less than or equal to 50, the user makes the packet lost by not sending this packet to its destination.

We investigate the following metrics in the worst case of TreeDoc (2 core, 2 nebular) with rebalancing period of 200 operations, operation interval of 100 ms, and period  $T$  for broadcasting state notification of 500 ms:

- The average number of packets sends by a user for all other users to receive all messages (the number of packets generated)
- Average completion time for a user to finish the collaboration session (completion time)
- Average time for a user to detecting its missing messages (detection time)

If loss probability is equal to 0%, the number of packets is equal to the number of messages, detection time is 0, and completion time is 27.3 s. The more loss probability is, the more the number of missing messages is, so a user has to send more packets, and take more detection time in order for all users to get their missing messages, which results in the increase of the number of packets, detection time, and completion time as shown in Figure 6.6, Figure 6.7, Figure 6.8. Furthermore, the increase of detection time leads to the increase of operation latency which is delay between time when a user presses a character

and time when this character appears on the screen of other user. In practice, there are some studies about acceptable latency for users. For multiplayer games, latency affects significantly the play and result. The acceptability of more or less latency for a game depends on its type. For example, with First Person Shooters (FPS) which is a game for shooting, Claypool et al. in [24] showed that the accuracy of shooting is greatly decreased with even modest (75-100 ms) amounts of latency, while RTS (real time strategy) games has a fairly acceptable performance even at latency of 500 ms [25]. Obviously, acceptable latency in collaborative text editing is higher than acceptable latency in game. When loss probability reaches 75%, the number of sent messages reaches is 11021 packets (955.8% of the number of messages needed to be sent), detection time is 73.7 s and completion time is 2559.5 s. The difference between the completion time in the case of the loss probability of 0% and that of 75%, which corresponds to 2532.2s ( $2559.5 - 27.3$ ), is the period that a user detects the missing messages and waits for these messages being resent. In other words, that is the increasing of total latency of all operations in those two cases. Because every user in our experiment has to execute 1000 operations, average latency for every operation is greater than 2.5322 s calculated by  $2532.2 \text{ s}/1000$ , which is very far larger than operation interval (100 ms). Especially, when loss probability is greater than 75%, the collaboration session cannot terminate within 2 hours.

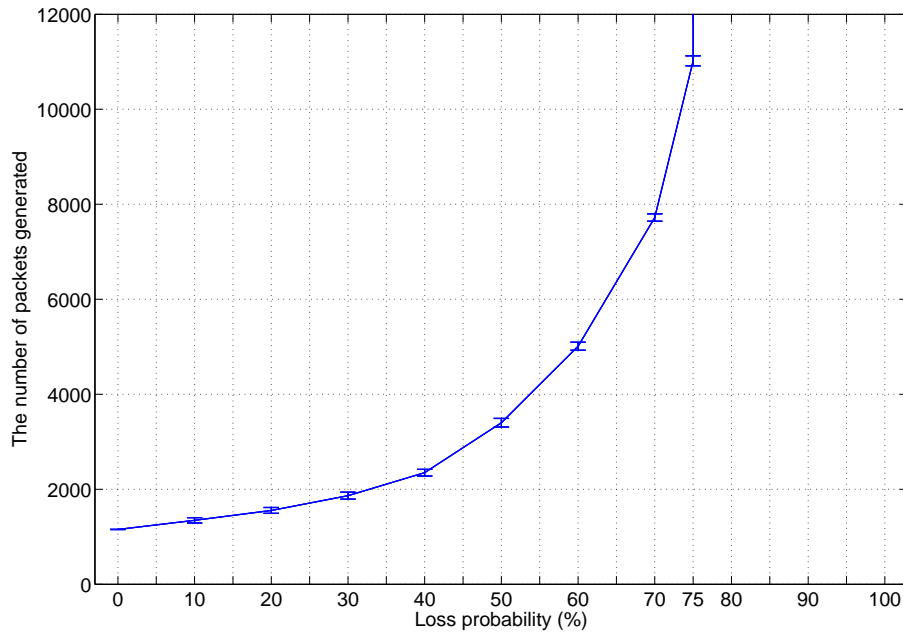


Figure 6.6: The number of Packets vs. Loss Probability

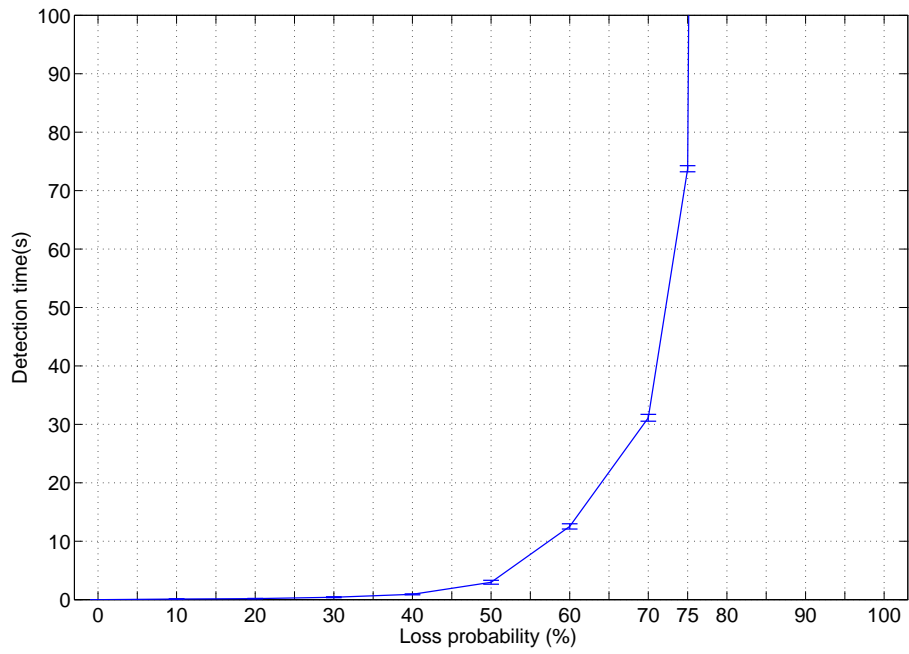


Figure 6.7: Detection Time vs. Loss Probability

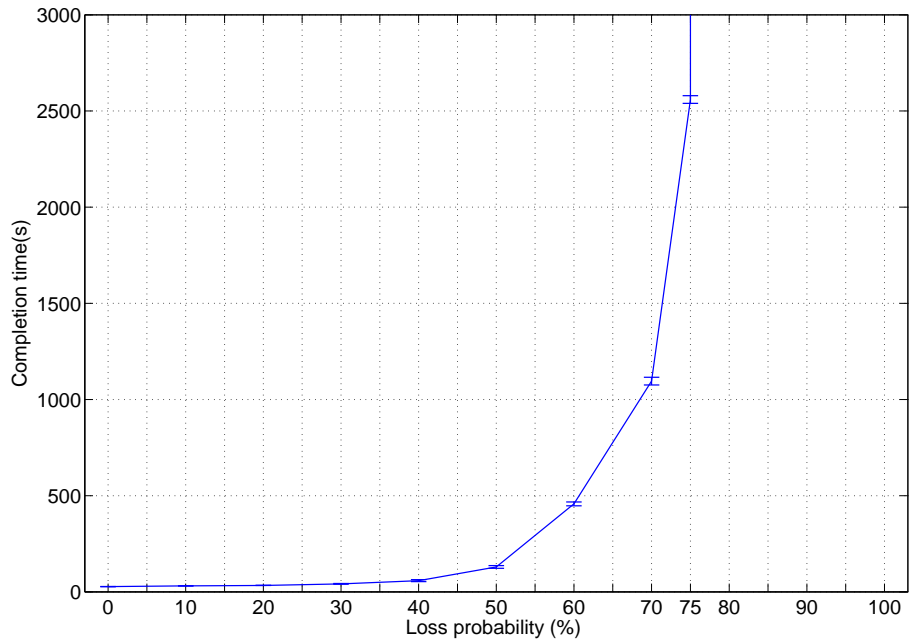


Figure 6.8: Completion Time vs. Loss Probability

# Chapter 7

## Application

### 7.1 Editing Function

In this section, we show the photos of editing functions in our application. Every photo demonstrates a sequence of consecutive actions of a function. Our application provides the following editing functions for user:

- File (Figure 7.1)
  - New: Create new document (Figure 7.2).
  - Open: Open a existing document (Figure 7.3).
  - Save/Save As: Save a document (Figure 7.4).

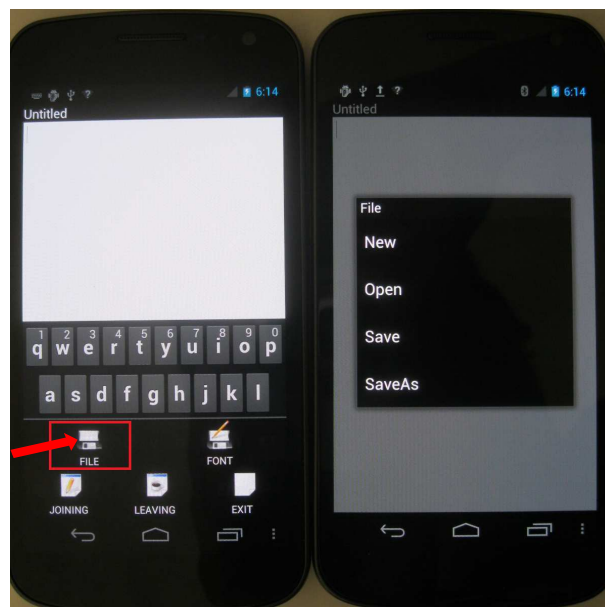


Figure 7.1: Menu File

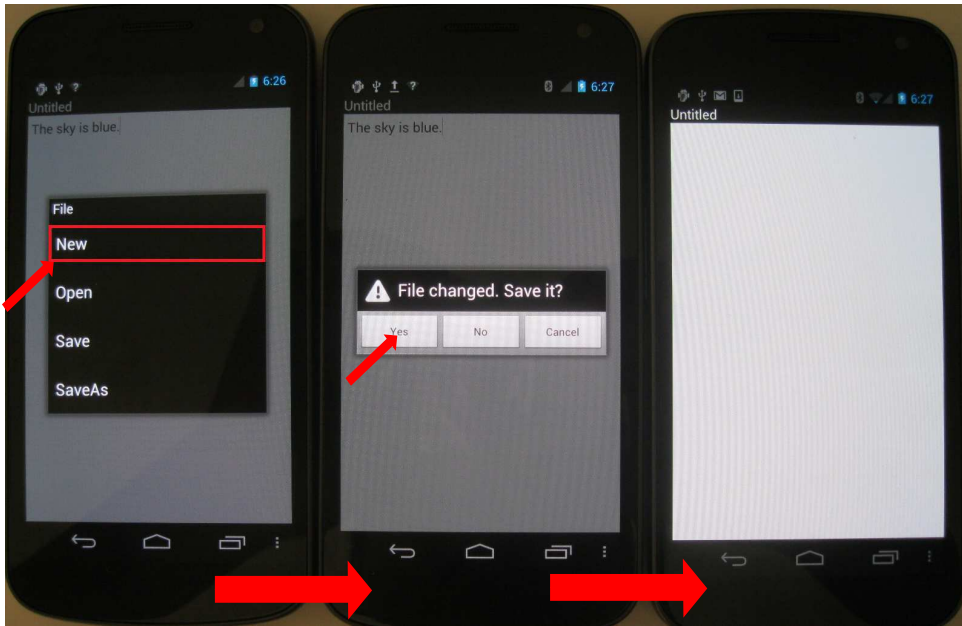


Figure 7.2: New File (1. “New” in Menu File; 2. “No” in Dialog of Save File)

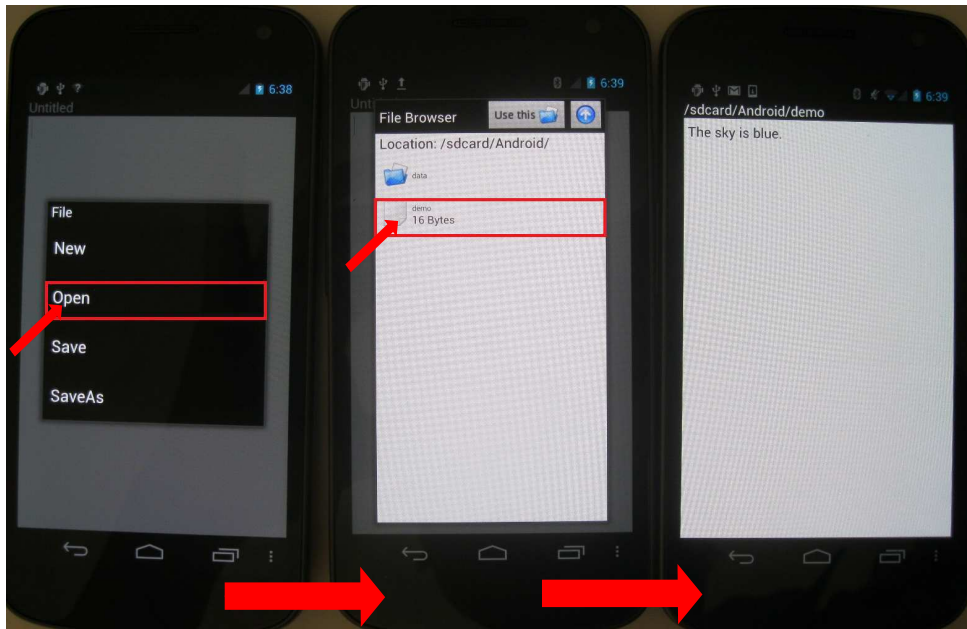


Figure 7.3: Open File (1. “Open” in Menu File; 2. Select file “Demo” in File Browser)

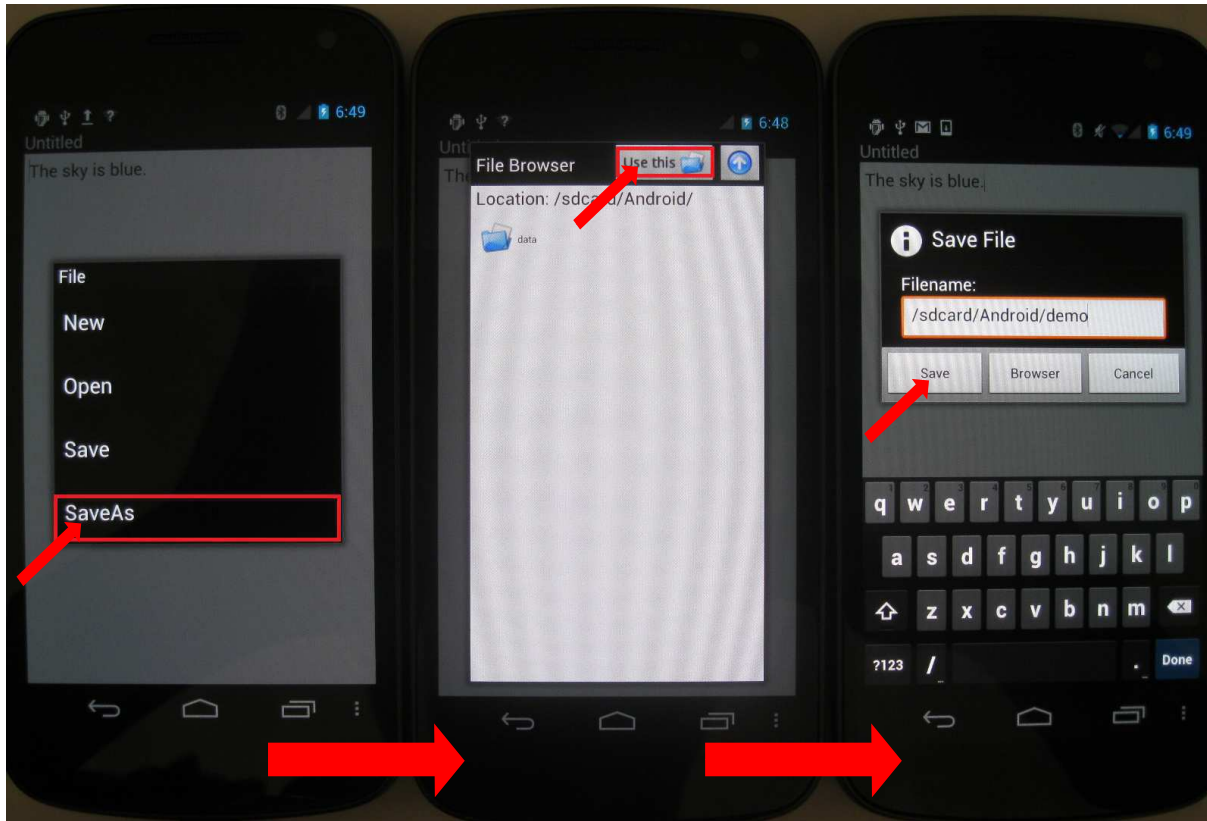


Figure 7.4: Save as (1. “SaveAs” in Menu File; 2. Select Folder in File Browser; 3. Save in Dialog of Save File)

- Font (Figure 7.5)

- Font Style: Change font style (Bold/Italic/Underline/Quote/Strike-through) of selected text (Figure 7.6).
- Font Type: Change font type (Normal/Monospace/Serif/Sans-Serif) of selected text (Figure 7.7).
- Text Color: Change text color of selected text (Figure 7.8).
- Background Color: Change background color of selected text (Figure 7.9).



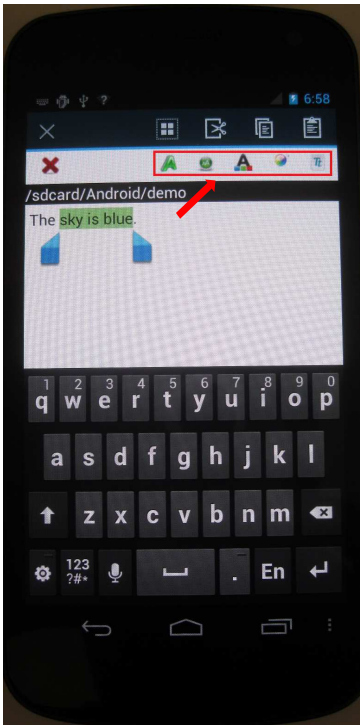


Figure 7.5: Menu Font

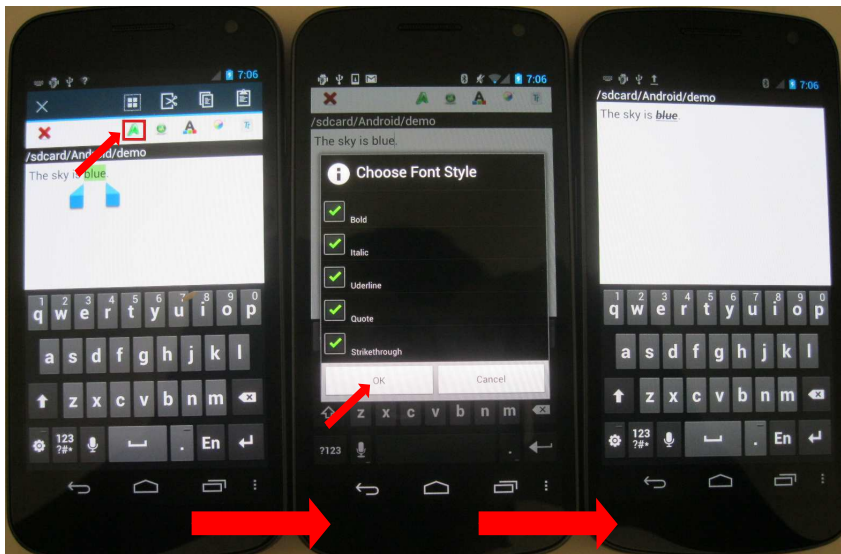


Figure 7.6: Font Style (1. Select text; 2. Select font style)

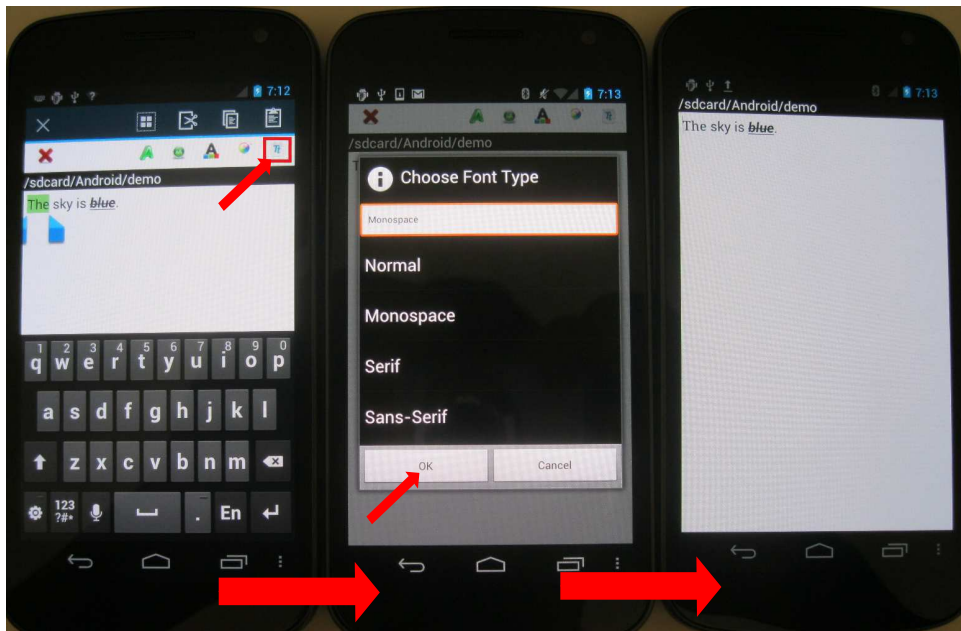


Figure 7.7: Font Type (1. Select text; 2. Select font type)

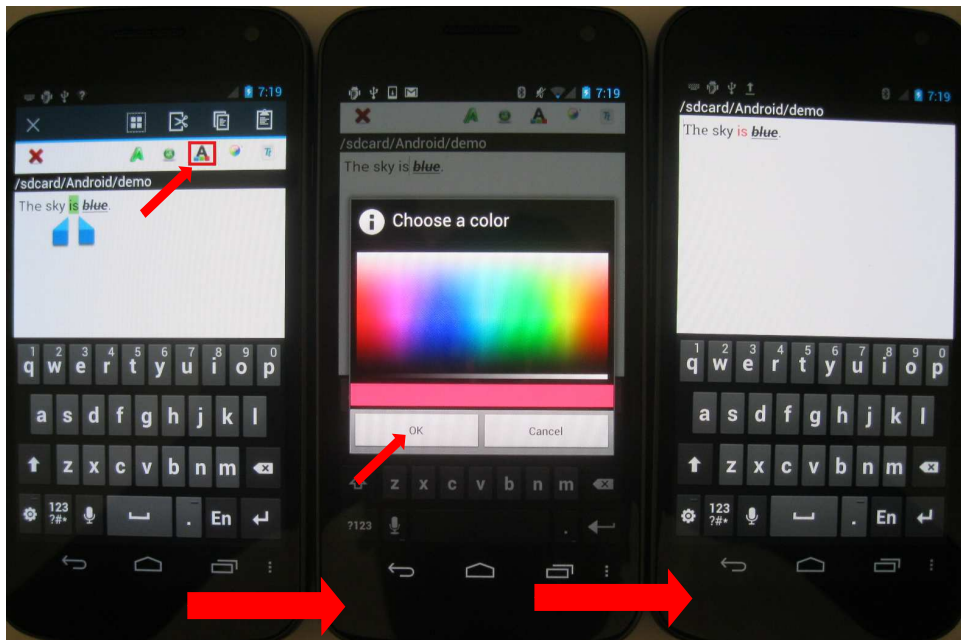


Figure 7.8: Text Color (1. Select text; 2. Select text color)

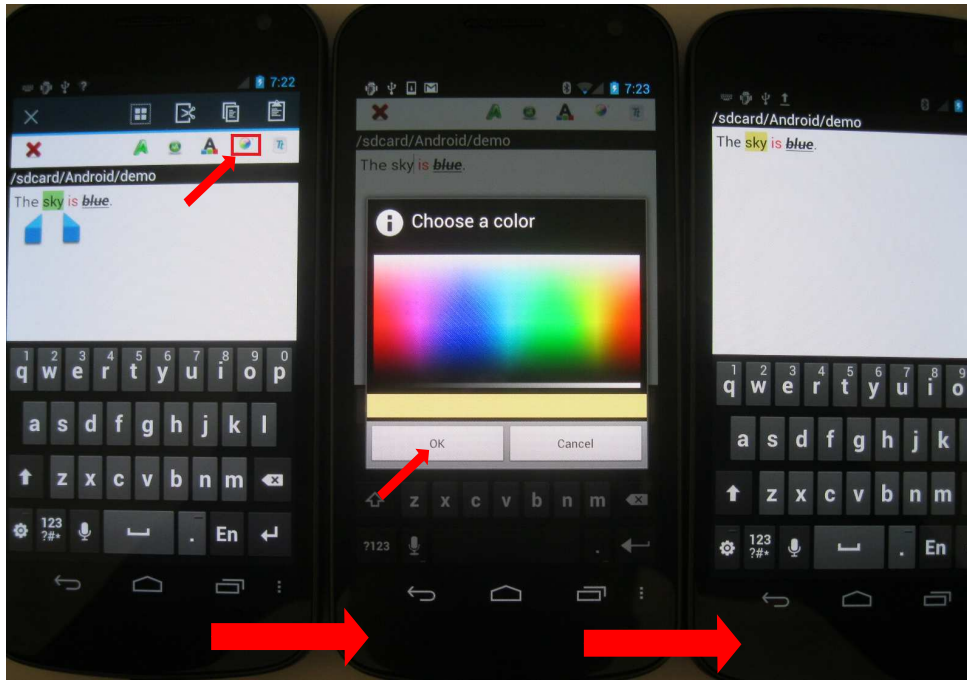


Figure 7.9: Background Color (1. Select text; 2. Select background color)

- Copy, Cut, Paste (Figure 7.10)

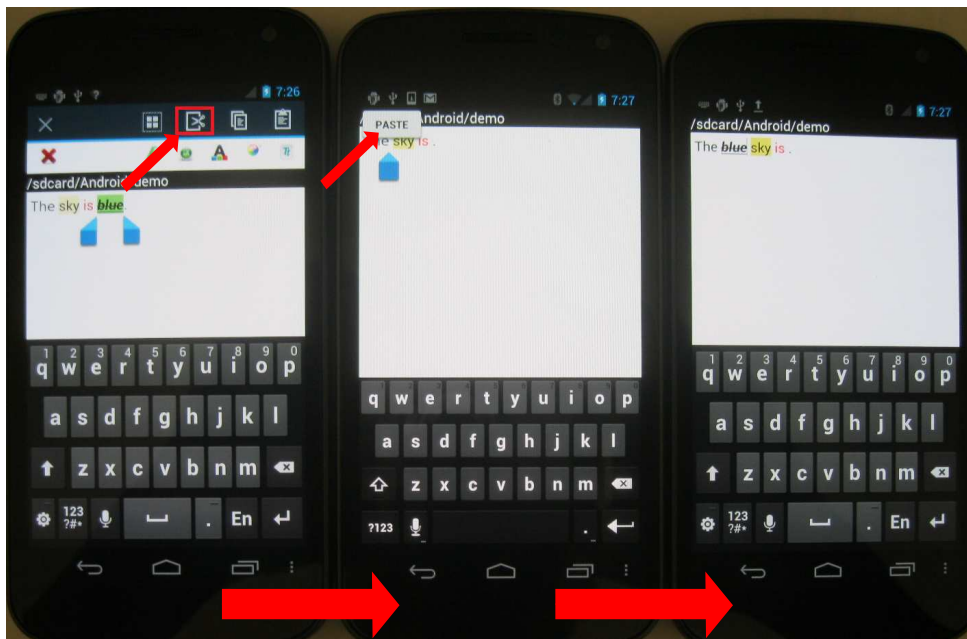


Figure 7.10: Copy, Cut, Paste (1. Cut; 2. Paste)

## 7.2 Collaboration Function

In order to start a collaboration session, every user who wants to join the collaboration session in the future will be distributed a configuration file for that session. Configuration file describes the name, the initial content of the shared document, the list of users in core set for the collaboration session.

Initially, collaboration session only consists of the users in core set described in its configuration file. Other sites taking the effect of the configuration file of the collaboration session can separately edit the initial content of the shared document of the collaboration session without sending and receiving updates to/from other users until they join the collaboration session to become nebular users. Figure 7.11 shows that 3 users, user A, B, and C, take effect of the configuration file of a collaboration session named “demo” in which user A and B are core users.

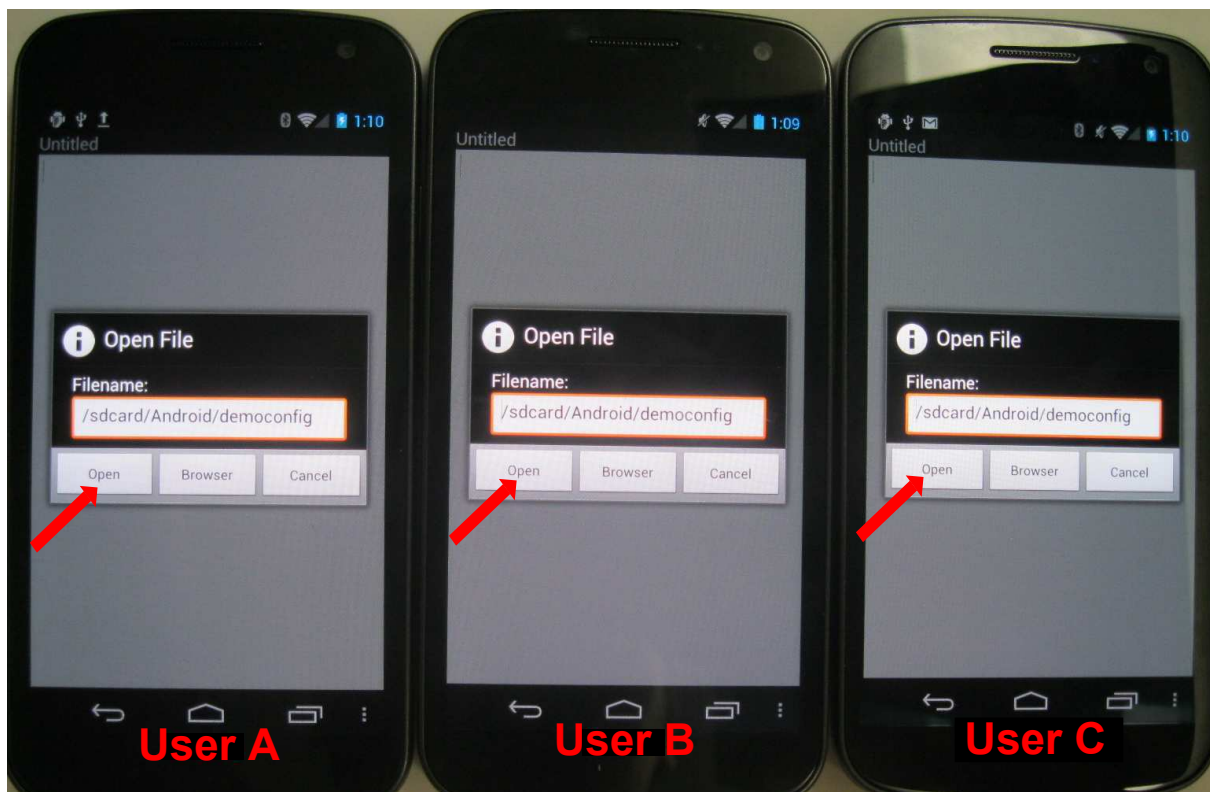


Figure 7.11: Users take effect of a collaboration configuration

Initially, user A, B, and C begin at the same state described in the configuration file as shown in Figure 7.12.

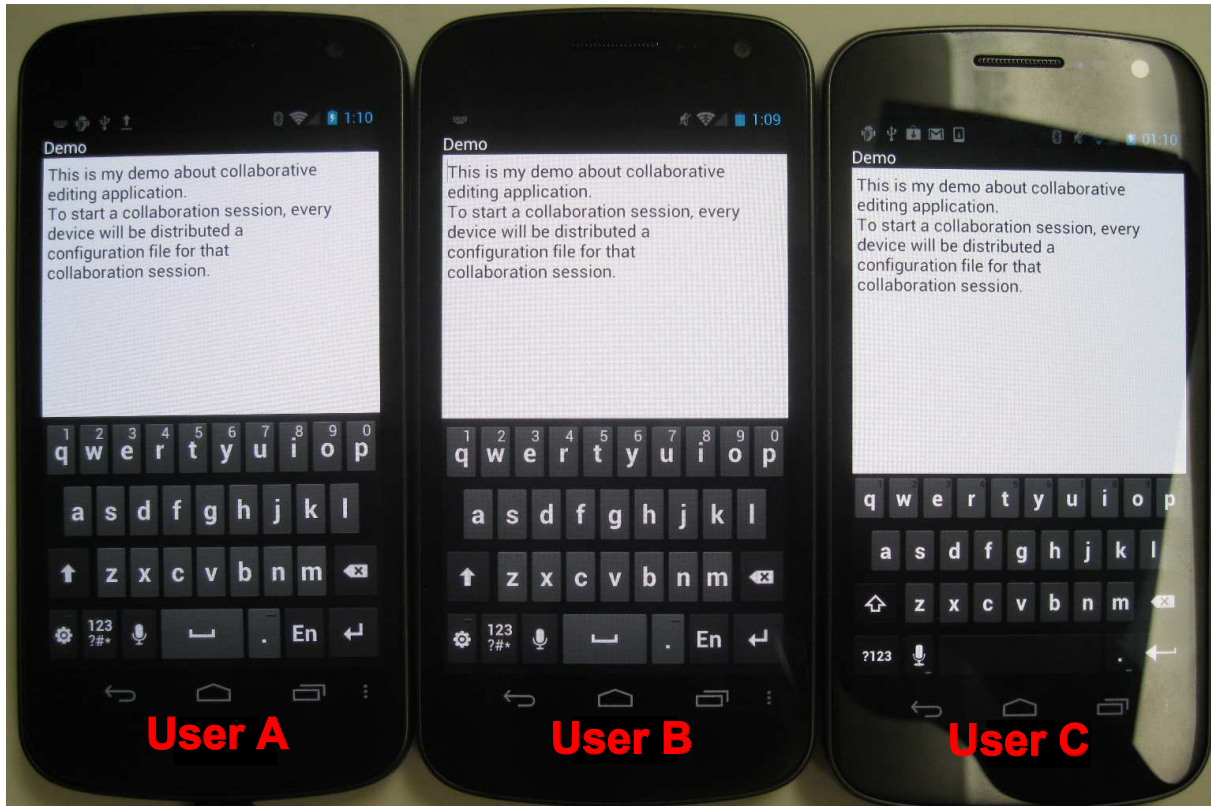


Figure 7.12: A initial state of users in the collaboration

Now, user A and B become core users of the collaboration session “Demo” and every update of A and B will be sent to each other to maintain a consistent state, while user C has yet to be a user in the collaboration session, and it is able to edit the shared document of that session separately. Figure 7.13 shows the states of user A, B and C after a while.

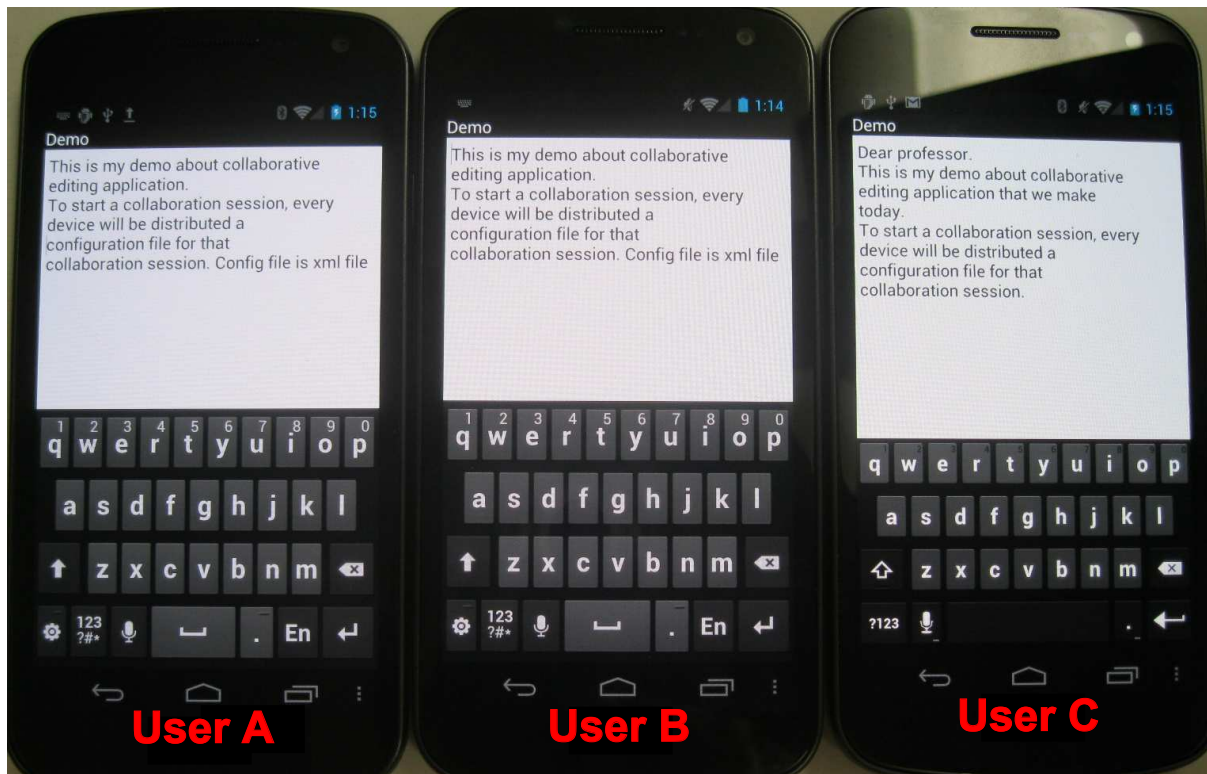


Figure 7.13: A consistent state of users in the collaboration

A user can join a collaboration session whenever it is connected with a current user of that collaboration session. At that time, its document is synchronized with the document of the user that it is connected with and gradually reaches the last state of the collaboration session. To continue considering the scenario in Figure 7.13, user C decides to join the collaboration session “Demo” via user A as depicted in Figure 7.14 and Figure 7.15. Figure 7.16 shows the consistent state after synchronizing the document of C with the current document of the collaboration session “Demo”.

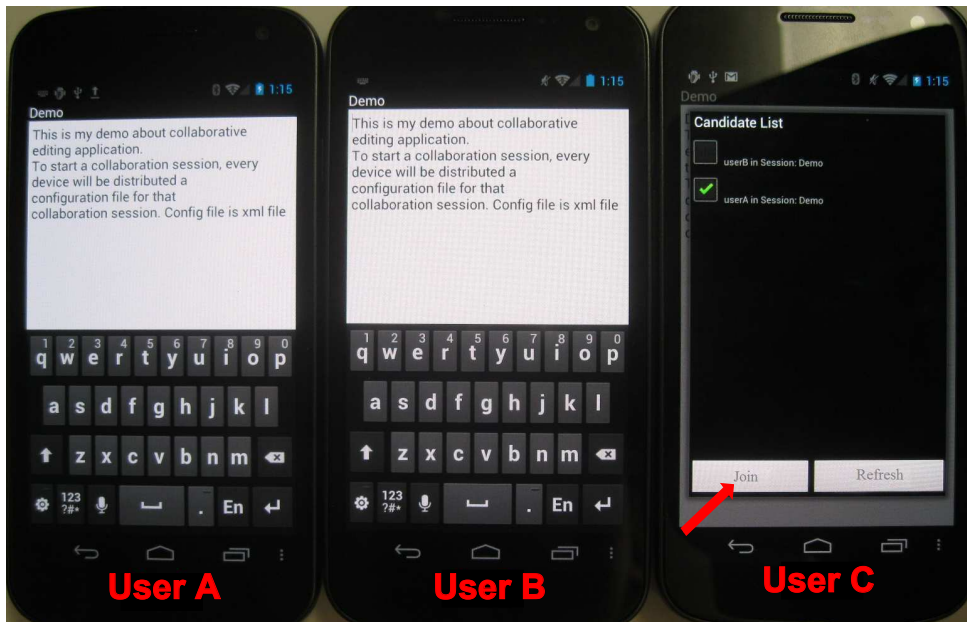


Figure 7.14: Join collaboration session

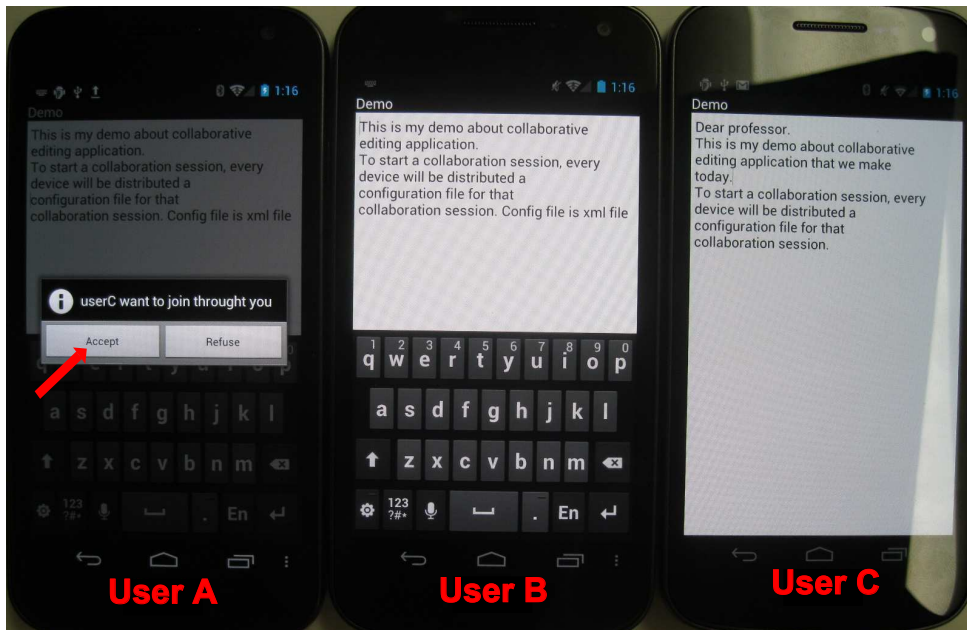


Figure 7.15: Accept joining request

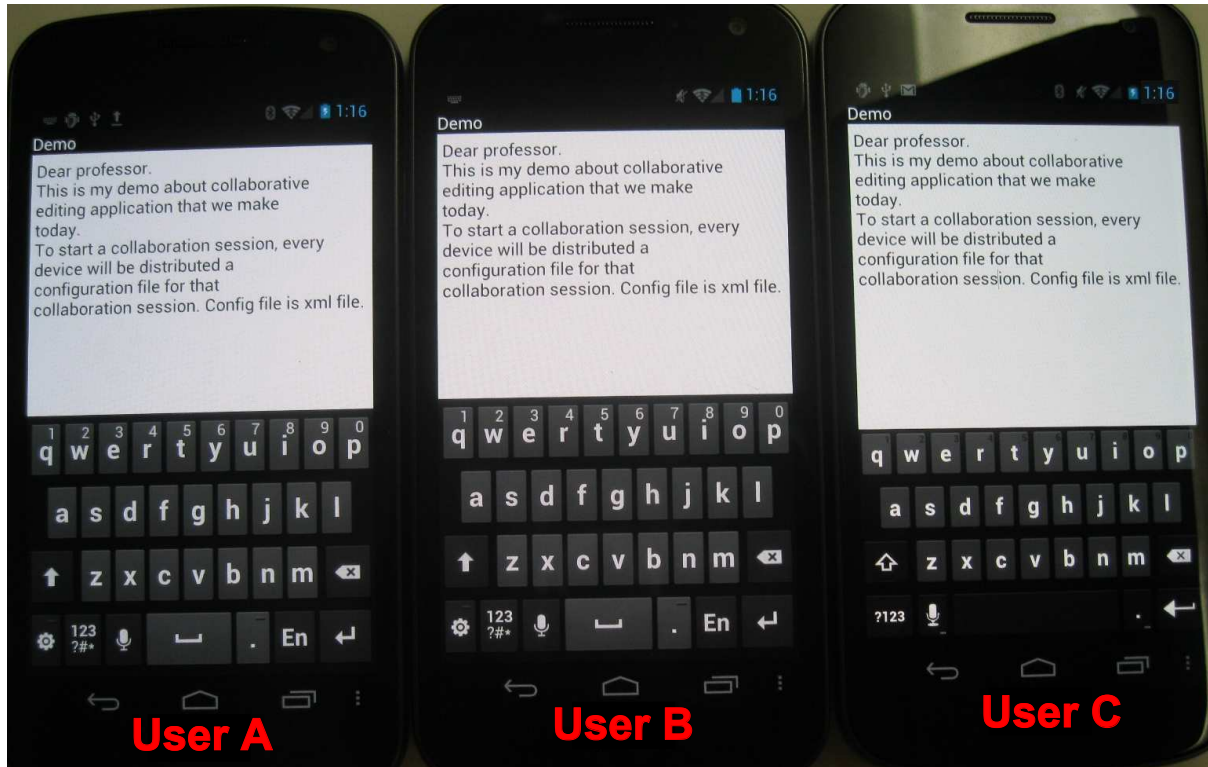


Figure 7.16: The synchronization of joining user and current users in the collaboration session



# Chapter 8

## Conclusion

### 8.1 Summary

In our thesis, we concern collaborative editing application in MANETs. With the limitations of MANETs, decentralized architecture is more appropriate for collaborative editing application in MANETs because using this architecture, collaborative editing application does not need a dedicated server to manage all aspects of the collaboration. That promotes the concurrency, independence and operation in the case of disconnection. However, besides greater flexibility, decentralized collaborative application in MANETs has many problems because mobile device can move freely outside of the transmission range of other devices, while it have to receive and process correctly all updates from other devices especially concurrent updates. The major contribution of our work is the development of an Android-based application for collaborative editing in which we address the problems on document consistency and fault tolerance.

Firstly, our application replies the concept of TreeDoc, a data structure that supports eventually consistent information to ensure document consistency. With TreeDoc, concurrent operations can commute with each other, so a large number of concurrent operations do not affect application performance. We carry out the experiment on real environment with 4 android mobile devices, each of which executes 1000 operations (250 local operations + 750 remote operations). In this experiment, we compare operation time of TreeDoc with Operation Transformation, an approach used by many collaborative editing applications. In the best case and average case, operation time of TreeDoc is always better than operation time of Operational Transformation. However, in the worst case, operation time of TreeDoc is only better than operation time of Operational Transformation if the number of current operations is greater than 10 operations. With periodically executing rebalancing, the height of TreeDoc decreases, which results in the decrease of operation time in the worst case of TreeDoc. By experimenting, we determine that the best value of rebalancing period is equal to 200 operations because with that rebalancing period, total time in the worst case of TreeDoc consisting of rebalancing time and operation time is minimal. With rebalancing period of 200 operations, operation time in the worst case of TreeDoc is reduced and always better than operation time of Operational

Transformation.

Secondly, we consider disconnection event in MANETs. In MANETs, mobile device frequently gets disconnected from other devices. In the scope of this thesis, we concentrate on the disconnection in the case where mobile device goes too far out of the transmission range of other devices. When a site is disconnected, it stops receiving and broadcasting message from/to sites that it is disconnected. Consequently, in the collaboration session, when a user is disconnected, it misses messages consisting of joining messages, leaving messages, and document operation messages from/to users that it is disconnected from. However, disconnected user is still able to work on local document. In order to tolerating disconnection event, we provide a solution in which every user bases on state vector appended with each message to detect its missing messages. Consequently, every message generated by a user in the collaboration session eventually arrives at all others users regardless of disconnection event.

## **8.2 Open Questions**

### **Optimizations on TreeDoc**

The main problem of TreeDoc is unbalance, which causes the path of node to grow indefinitely. Therefore, it is necessary to provide optimizations to TreeDoc in order to reduce the degree of unbalance or even design new commutative replicated data type to alleviate this problem. Besides insert, delete operation, TreeDoc needs to provide more kinds of operation such as undo, redo, lock, unlock...

### **User Identification**

In a wider environment, the configuration for collaboration session could be information provided by a document server. In that case, user should be identified by some credentials rather than IP address. Because IP address can change in a mobile environment, identifying a user (a device) by its IP address is a poor choice.

### **Message Broadcast on MANETs**

In our current application, we did not pay attention on the message broadcast scheme on MANETs. In the future, it is necessary to develop mechanisms for broadcasting message on MANETs to reduce message loss in the system.

# Bibliography

- [1] J. F. Patterson, R. D. Hill, S. L. Rohall and S. W. Meeks, Rendezvous: an architecture for synchronous multi-user applications, In Proceedings of the 1990 ACM conference on Computer Supported Cooperative Work, pp. 317-328, Los Angeles, California, United States. ACM Press, 1990.
- [2] N. A. Streitz, J. Geiler, J. M. Haake and J. Hol, DOLPHIN: integrated meeting support across local and remote desktop environments and LiveBoards, In Proceedings of the 1994 ACM conference on Computer Supported Cooperative Work, Chapel Hill, North Carolina, United States. ACM Press, 1994.
- [3] D. A. Nichols, P. Curtis, M. Dixon and J. Lamping, High-latency, low-bandwidth windowing in the Jupiter collaboration system, In Proceedings of the 8th annual ACM symposium on User interface and software technology, pp. 111-120, Pittsburgh, Pennsylvania, United States. ACM Press, 1995.
- [4] M. Stefik, G. Foster, D. G. Bobrow, K. Kahn, S. Lanning and L. Suchman, Beyond the chalkboard: computer support for collaboration and problem solving in meetings, Communications of the ACM, 30(1): 32-47, 1987.
- [5] C. A. Ellis, S. J. Gibbs and G. L. Rein, Design and Use of a Group Editor, In Proceedings of the IFIP TC2/WG2.7 Working Conference on Engineering for Human-Computer Interaction, pp. 13-28, Napa Valley, California. Elsevier, 1989.
- [6] C. Sun and C. Ellis, Operational transformation in real-time group editors: issues, algorithms, and achievements, In Proceedings of the 1998 ACM conference on Computer Supported Cooperative Work, pp. 59-68, Seattle, Washington, United States. ACM Press, 1998.
- [7] C. Sun, X. Jia, Y. Zhang, Y. Yang and D. Chen, Achieving convergence, causality preservation, and intention preservation in real-time cooperative editing systems, ACM Transactions on Computer-Human Interaction (TOCHI), 5(1): 63-108, 1998.
- [8] A. Karsenty, C. Tronche and M. Beaudouinlafon, GroupDesign: shared editing in a heterogeneous environment, Usenix Journal of Computing Systems, 6(2): 167-195, 1993.

- [9] C. Sun and D. Chen, Consistency maintenance in real-time collaborative graphics editing systems, *ACM Transactions on Computer-Human Interaction (TOCHI)*, 9(1): 1-41, 2002.
- [10] C. L. Ignat and M. C. Norrie, Draw-together: graphical editor for collaborative drawing, In *Proceedings of the 2006 20th anniversary conference on Computer supported Cooperative Work*, pp. 269-278, Banff, Alberta, Canada. ACM Press, 2006.
- [11] L. Lamport, Time, Clocks, and the Ordering of Events in a Distributed System, *Comm. ACM*, vol. 21, no. 7, pp. 558-565, 1978.
- [12] P.R. Johnson and R.H. Thomas, RFC 677: Maintenance of Duplicate Databases, <http://www.faqs.org/rfcs/rfc677.html>, Jan. 1975.
- [13] C. A. Ellis and S. J. Gibbs, Concurrency control in groupware systems, In *Proceedings of the 1989 ACM SIGMOD international conference on Management of data*, pp. 399-407, Portland, Oregon, United States. ACM Press, 1989.
- [14] M. Ressel, D. Nitsche-Ruhland and R. Gunzenhuser, An integrating, transformation-oriented approach to concurrency control and undo in group editors, In *Proceedings of the 1996 ACM conference on Computer Supported Cooperative Work*, pp. 288-297, Boston, Massachusetts, United States. ACM Press, 1996.
- [15] M. Suleiman, M. Cart and J. Ferri, Serialization of concurrent operations in a distributed collaborative environment, In *Proceedings of the international ACM SIGGROUP conference on Supporting group work: the integration challenge*, pp. 435-445, Phoenix, Arizona, United States. ACM Press, 1997.
- [16] N. Vidot, M. Cart, J. Ferri and M. Suleiman, Copies convergence in a distributed real-time collaborative environment, In *Proceedings of the 2000 ACM conference on Computer Supported Cooperative Work*, pp. 171-180, Philadelphia, Pennsylvania, United States. ACM Press, 2000.
- [17] G. Oster, P. Urso, P. Molli, and A. Imine, Proving correctness of transformation functions in collaborative editing systems, LORIAINRIA Lorraine, Rapport de recherche RR-5795, Dec. 2005.
- [18] F. Mattern, Virtual time and global states of distributed systems, In *Proceedings of the International Workshop on Parallel and Distributed Algorithms*, pp. 215-276. Elsevier Pub., 1989.
- [19] Nuno Preguia, Joan Manuel Marqus, Marc Shapiro, and Mihai Letia, A commutative replicated data type for cooperative editing, In *Int. Conf. on Distributed Comp. Sys. (ICDCS)*, pages 395403, Montral, Canada, June 2009.
- [20] Marek Zawirski, Marc Shapiro, and Nuno Preguia, Asynchronous rebalancing of a replicated tree, *Conference Franaise de Systmes d'Exploitation (CFSE)*, Saint-Malo, France, May 2011.

- [21] D. Buszko, W. Lee and A. Helal, Decentralized ad-hoc groupware API and framework for mobile collaboration, In Proceedings of the 2001 International ACM SIGGROUP Conference on Supporting Group Work, pp. 5-14, Boulder, Colorado, USA. ACM Press, 2001.
- [22] C. Sun, S. Xia, D. Sun, D. Chen, H. Shen and W. Cai, Transparent adaptation of single-user applications for multi-user real-time collaboration, ACM Transactions on Computer-Human Interaction (TOCHI), 13(4): 531 - 582, 2006.
- [23] Allison C., Concurrency Control for Real Time Groupware, CE94: Concurrent Engineering Research and Applications, pp. 163170, A global Perspective, Pittsburg, August 1994.
- [24] Mark Claypool, Tom Beibeder, Rory Coughlan, Corey Lusher, John Plunkett, Emmanuel Agu, The Effects of Loss and Latency on User Performance in Unreal Tournament 2003, Computer Science Department at Worcester Polytechnic Institute, 2004.
- [25] Paul Bettner, Mark Terrano, 1500 archers on a 28.8: Network programming in Age of Empires and beyond, the game developer conference proceedings, 2001.
- [26] Marc Shapiro, Nuno Pregoica, Carlos Baquero, and Marek Zawirski, A comprehensive study of Convergent and Commutative Replicated Data Types, Rapport de recherche 7506, Institut Nat. de la Recherche en Informatique et Automatique (INRIA), Rocquencourt, France, January 2011.

# Appendix A

## System Architecture

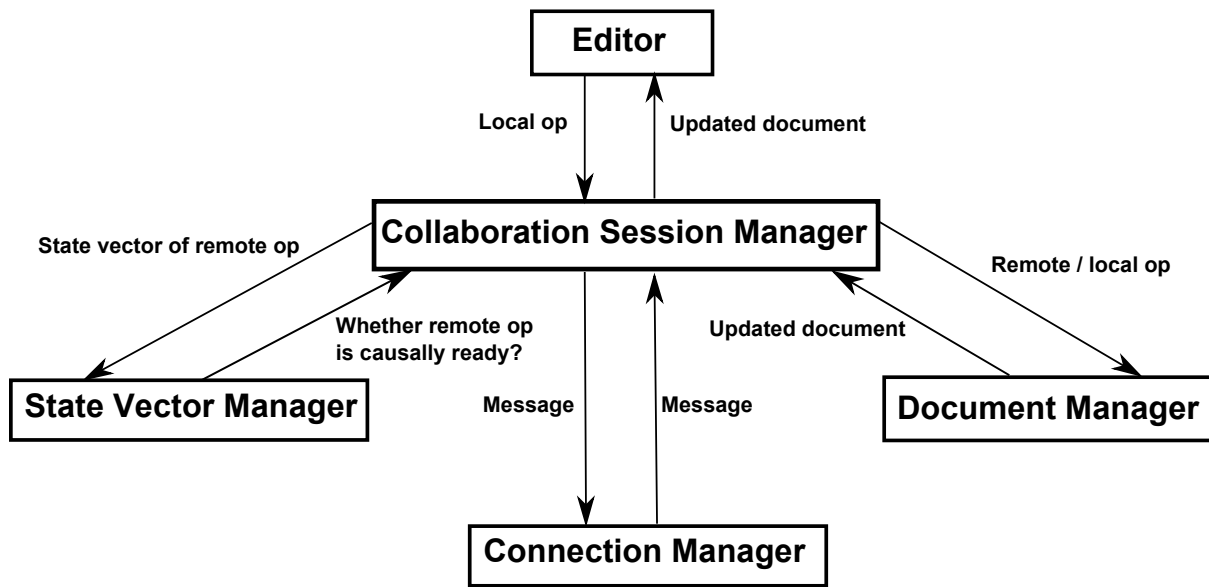


Figure A.1: System Architecture

# Appendix B

## Class Diagram

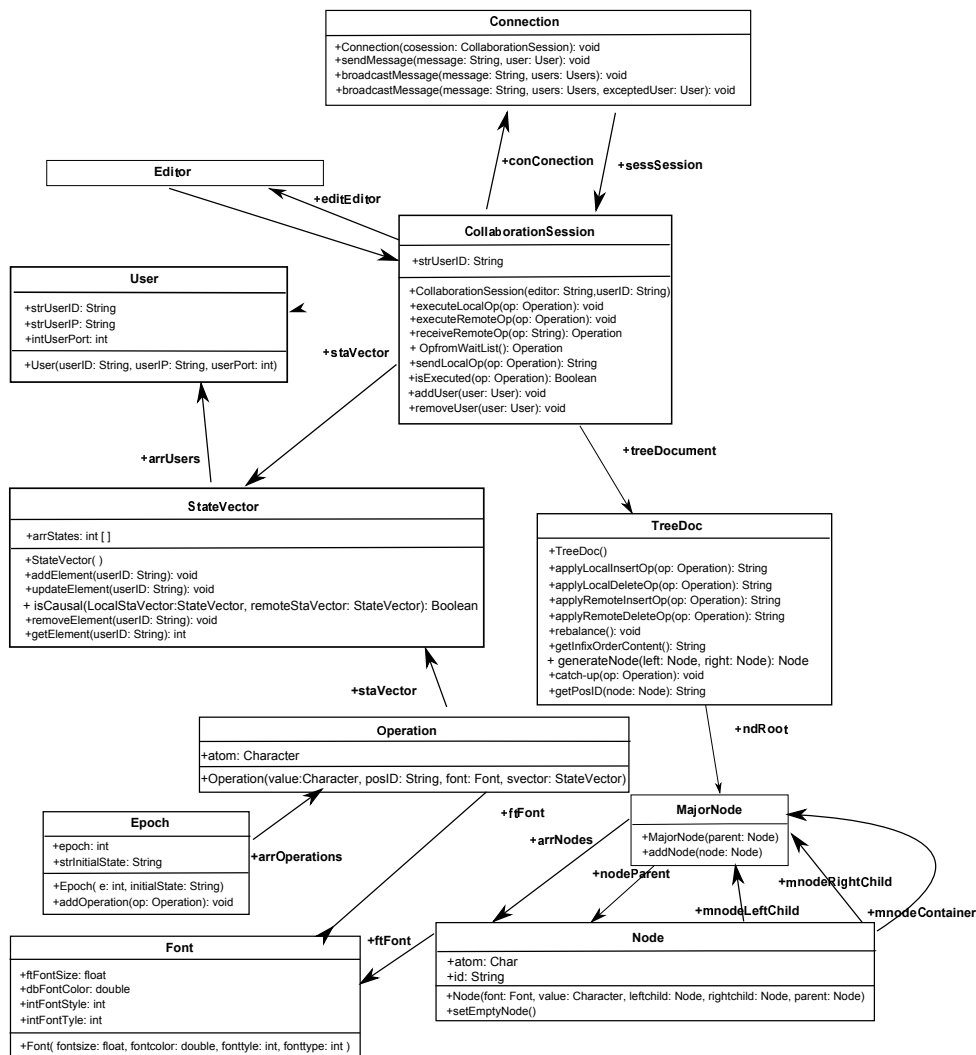


Figure B.1: Class Diagram

# Appendix C

## TreeDoc Source Code

```
1 import java.util.ArrayList;
2 public class TreeDoc {
3     MajorNode root;
4     /**
5      * Apply remote delete operation
6      * @param op: delete operation
7      * @return deleted node
8      */
9     public DocNode applyRemoteDeleteOp(Operation op) {
10         DocNode u = getNode(op.posID);
11         u.setAtom(null);
12         return u;
13     }
14     /**
15      * Apply remote insert operation
16      * @param op: insert operation
17      * @return inserted node
18      */
19     public DocNode applyRemoteInsertOp(Operation op) {
20         DocNode u = getNode(op.posID);
21         u.setAtom(op.atom);
22         u.setFont(op.font);
23         return u;
24     }
25     /**
26      * Get the node corresponding with a posID
27      * @param posID: posID of the node
28      * @return the node corresponding with posID
29      */
30     public DocNode getNode(String posID) {
31         ArrayList<String> pathdis = new ArrayList<String>();
32         ArrayList<Integer> pathbit = new ArrayList<Integer>();
33         decodePosID(posID, pathdis, pathbit);
34         DocNode u = null;
35         for (int i = 0; i < pathdis.size(); i++) {
36             String disg = pathdis.get(i);
37             int direction = pathbit.get(i);
```



```

    u = gotoNode(u, direction , disg);
39 }
    return u;
41 }
public DocNode gotoNode(DocNode u, int direction , String disg) {
43 MajorNode tempMj = null;
    if (u == null)
45     tempMj = root;
    else {
47     if (direction == 1)
        tempMj = u.right;
49     else
        tempMj = u.left;
51 }
    if (tempMj != null) {
53     int i;
        for (i = 0; i < tempMj.arrDocNode.size(); i++) {
55         if (disg.equals(tempMj.arrDocNode.get(i).id) == true) {
            u = tempMj.arrDocNode.get(i);
57             break;
            }
59         if (tempMj.arrDocNode.get(i).id.compareTo(disg) > 0) {
            DocNode uu = new DocNode(null , false , null , disg);
61             uu.majorNode = tempMj;
            tempMj.arrDocNode.add(i , uu);
63             u = uu;
            break;
65         }
        }
67     if (i == tempMj.arrDocNode.size()) {
        DocNode uu = new DocNode(' ', false , null , disg);
69         uu.majorNode = tempMj;
        tempMj.arrDocNode.add(uu);
71         u = uu;

73     }
    } else {
75     DocNode uu = new DocNode(' ', false , null , disg);
        MajorNode mm = new MajorNode();
77         mm.add(uu);
        mm.parrent = u;
79         if (direction == 1)
            u.right = mm;
81         else if (direction == 0)
            u.left = mm;
83         else
            root = mm;
85         u = uu;
    }
87     return u;
}
89 /**

```

```

91  * Generate a node on the tree
92  * @param left: the node at right position of inserted node
93  * @param right: the node at left position of inserted node
94  * @param u: inserted node
95  * @return inserted node
96  */
97  public DocNode generateNode(DocNode left , DocNode right , DocNode u) {
98      if (root == null) {
99          MajorNode um = new MajorNode();
100         um.add(u);
101         root = um;
102         return u;
103     }
104     if (left == null && right == null) {
105         u = addToMajorNode(root, u);
106         return u;
107     }
108     if (left == null) {
109         if (right.left == null) {
110             MajorNode um = new MajorNode();
111             um.add(u);
112             right.left = um;
113             um.parrent = right;
114         } else {
115             u = addToMajorNode(right.left, u);
116         }
117         return u;
118     }
119     if (right == null) {
120         if (left.right == null) {
121             MajorNode um = new MajorNode();
122             um.add(u);
123             left.right = um;
124             um.parrent = left;
125         } else {
126             u = addToMajorNode(left.right, u);
127         }
128         return u;
129     }
130     if (left.isancestor(right) == true) {
131         if (right.left == null) {
132             MajorNode um = new MajorNode();
133             um.add(u);
134             right.left = um;
135             um.parrent = right;
136         } else {
137             u = addToMajorNode(right.left, u);
138         }
139     }
140     else {
141         if (left.right == null) {

```

```

143     MajorNode um = new MajorNode();
144     um.add(u);
145     left.right = um;
146     um.parrent = left;
147   } else {
148     u = addToMajorNode(left.right, u);
149   }
150 }
151 return u;
152 }
153 /**
154  * Apply local insert operation
155  * @param before: the node at right position of inserted node
156  * @param after: the node at left position of inserted node
157  * @param u: inserted node
158  * @return posID of inserted node
159  */
160 public String applyLocalInsertop(DocNode before, DocNode after, DocNode u
161 )
162 {
163   u=generateNode(before, after, u);
164   String p = getPosID(u);
165   return p;
166 }
167 /**
168  * Apply local delete operation
169  * @param u: deleted node
170  * @return posID of deleted node
171  */
172 public String applylocalDeleteOperation(DocNode u) {
173   u.setAtom(null);
174   String p = getPosID(u);
175   return p;
176 }
177 public DocNode addToMajorNode(MajorNode mj, DocNode u) {
178   int i;
179   for (i = 0; i < mj.arrDocNode.size(); i++) {
180     if (mj.arrDocNode.get(i).id.compareTo(u.id) > 0) {
181       u.majorNode = mj;
182       mj.arrDocNode.add(i, u);
183       return u;
184     }
185   }
186   if (i == mj.arrDocNode.size()) {
187     u.majorNode = mj;
188     mj.arrDocNode.add(u);
189     return u;
190   }
191   return null;
192 }
193 long desiredheight;
194 long extranode;

```

```

193 static int currentheight;
194 int addedindex;
195 /**
196  * Rebalance Tree
197  * @param NodeList: the list of nodes of rebalanced Tree
198  */
199 public void rebalancing(ArrayList<DocNode> NodeList) {
200     for (int y = NodeList.size() - 1; y >= 0; y--) {
201         if (NodeList.get(y).getAtom() == null) {
202             NodeList.remove(y);
203         }
204     }
205     desiredheight = ((long) (Math.log(NodeList.size() + 1) / Math.log(2)))
206         - 1;
207     extranode = (long) (NodeList.size() - Math.pow(2, desiredheight + 1) +
208         1);
209     if (extranode > 0) {
210         desiredheight++;
211     }
212     currentheight = 0;
213     addedindex = 0;
214     Rebalancing(root, NodeList);
215 }
216 void Rebalancing(MajorNode u, ArrayList<DocNode> NonemptyNdList) {
217     while (u.arrDocNode.size() > 1) {
218         u.arrDocNode.remove(1);
219     }
220     if (u.arrDocNode.get(0).left != null) {
221         if (currentheight < desiredheight) {
222             currentheight++;
223             Rebalancing(u.arrDocNode.get(0).left, NonemptyNdList);
224             currentheight--;
225         } else {
226             u.arrDocNode.get(0).left = null;
227         }
228     } else {
229         if (currentheight < desiredheight) {
230             DocNode uu = new DocNode(' ', true, null, "1");
231             MajorNode mm = new MajorNode();
232             mm.add(uu);
233             mm.parrent = u.arrDocNode.get(0);
234             u.arrDocNode.get(0).left = mm;
235             currentheight++;
236             Rebalancing(u.arrDocNode.get(0).left, NonemptyNdList);
237             currentheight--;
238         }
239     }
240     u.arrDocNode.get(0).id = "1";
241     u.arrDocNode.get(0).setAtom(NonemptyNdList.get(addedindex).getAtom());
242     u.arrDocNode.get(0).rebalance = true;
243     addedindex++;
244     if (currentheight == desiredheight && extranode > 0) {

```

```

243     extranode--;
244     if (extranode == 0) {
245         desiredheight--;
246     }
247 }
248 if (u.arrDocNode.get(0).right != null) {
249     if (currentheight < desiredheight) {
250         currentheight++;
251         Rebalancing(u.arrDocNode.get(0).right, NonemptyNdList);
252         currentheight--;
253     } else {
254         u.arrDocNode.get(0).right = null;
255     }
256 } else {
257     if (currentheight < desiredheight) {
258         DocNode uu = new DocNode(' ', true, null, "1");
259         MajorNode mm = new MajorNode();
260         mm.add(uu);
261         mm.parrent = u.arrDocNode.get(0);
262         u.arrDocNode.get(0).right = mm;
263         currentheight++;
264         Rebalancing(u.arrDocNode.get(0).right, NonemptyNdList);
265         currentheight--;
266     }
267 }
268 }
269 static int nonEmptyNode;
270 static int EmptyNode;
271 /**
272  * Translate nodes that don't belong to epoch n to epoch n+1
273  * @param a: Tree before rebalancing
274  * @param b Tree after rebalancing
275  */
276 public static void Translate(TreeDoc a, TreeDoc b) {
277     currentheight = 0;
278     nonEmptyNode = -1;
279     EmptyNode = 0;
280     ArrayList<String> posIDPair = new ArrayList<String>();
281     visitforTranslate(a, a.root, b, posIDPair);
282 }
283 static public void visitforTranslate(TreeDoc a, MajorNode r, TreeDoc b,
284     ArrayList<String> posIDPair) {
285     for (int i = 0; i < r.arrDocNode.size(); i++) {
286         if (r.arrDocNode.get(i).rebalance == true
287             && r.arrDocNode.get(i).left != null) {
288             currentheight++;
289             visitforTranslate(a, r.arrDocNode.get(i).left, b, posIDPair);
290             currentheight--;
291         }
292         DocNode temp = r.arrDocNode.get(i);
293         if (temp.rebalance == true) {
294             if (temp.getAtom() != null) {

```

```

295         nonEmptyNode++;
296         EmptyNode = 0;
297     } else {
298         EmptyNode++;
299     }
300 } else {
301     String oldPosID = a.getPosID(temp);
302     applytoRebalanceTree(currentheight, EmptyNode, nonEmptyNode,
303         temp, b);
304     String newPosID = b.getPosID(temp);
305     posIDPair.add(oldPosID);
306     posIDPair.add(newPosID);
307 }
308 if (r.arrDocNode.get(i).rebalance == true
309     && r.arrDocNode.get(i).right != null) {
310     r.arrDocNode.get(i).right.parrent = r.arrDocNode.get(i);
311     currentheight++;
312     visitforTranslate(a, r.arrDocNode.get(i).right, b, posIDPair);
313     currentheight--;
314 }
315 }
316 }
317 public static void applytoRebalanceTree(int Height, int IndexEmptyNode,
318     int NotEmptyNode, DocNode temp, TreeDoc b) {
319     String dis = "0";
320     char strHeigh = (char) Height;
321     if (IndexEmptyNode == 0) {
322         dis = dis + "|" + "+" + strHeigh;
323     } else {
324         char strindexEmptyNode = (char) IndexEmptyNode;
325         dis = dis + "|" + "-" + strindexEmptyNode + "|" + "+" + strHeigh;
326     }
327     if (temp.id.equals("") == false) {
328         dis = dis + "|" + temp.id;
329     }
330     temp.id = dis;
331     if (NotEmptyNode == -1) {
332         DocNode u = b.FindNode(0);
333         if (u.left == null) {
334             MajorNode mm = new MajorNode();
335             mm.add(temp);
336             temp.majorNode = mm;
337             u.left = mm;
338             mm.parrent = u;
339         } else {
340             temp.majorNode = u.left;
341             if (u.left.arrDocNode.get(u.left.arrDocNode.size() - 1).id
342                 .equals("1") == true) {
343                 u.left.arrDocNode.add(u.left.arrDocNode.size() - 1, temp);
344             } else {

```

```

347         u.left.arrDocNode.add(temp);
349     }
} else {
351 DocNode u = b.FindNode(NotEmptyNode);
353 if (u.right == null) {
355     MajorNode mm = new MajorNode();
357     mm.add(temp);
359     temp.majorNode = mm;
361     u.right = mm;
363     mm.parrent = u;
365 } else {
367     temp.majorNode = u.right;
369     if (u.right.arrDocNode.get(u.right.arrDocNode.size() - 1).id
371         .equals("1") == true) {
373         u.right.arrDocNode.add(u.right.arrDocNode.size() - 1, temp);
375     } else {
377         u.right.arrDocNode.add(temp);
379     }
381 }
383 }
385 /**
387  * Get posID of a node on the tree
389  * @param u: the node on the tree
391  * @return posID of parameter node
393  */
395 public String getPosID(DocNode u) {
397     String pathbit = "";
399     String pathdis = "";
401     byte a = 1;
403     byte onebyte = 0;
405     int numberofbit = 0;
407     DocNode temp = u;
409     while (temp.majorNode != root) {
411         String d = temp.id;
413         MajorNode majorNode = temp.majorNode;
415         temp = temp.majorNode.parrent;
417         if (temp.right == majorNode) {
419             onebyte = (byte) (onebyte | a);
421         }
423         numberofbit++;
425         a = (byte) (a << 1);
427         if (numberofbit % 8 == 0) {
429             pathbit = pathbit + (char) ((onebyte << 8) >>> 8);
431             a = 1;
433             onebyte = 0;
435             numberofbit = 0;
437         }
439         pathdis = d + "-" + pathdis;

```

```

399     }
400     return pathbit + ":" + pathdis;
401 }
402 /**
403  * Decode posID
404  * @param receivedposID
405  * @param pathdis: the array of disambiguos of posID
406  * @param pathbit: the array of bit of posID
407  */
408 void decodePosID(String posID, ArrayList<String> pathdis,
409                 ArrayList<Integer> pathbit) {
410     int index = posID.indexOf(":");
411     String disarr = posID.substring(0, index);
412     String bitarr = posID.substring(index + 1, posID.length());
413     index = disarr.indexOf("-");
414     while (index != -1) {
415         pathdis.add(disarr.substring(0, index));
416         disarr = disarr.substring(index + 1, disarr.length());
417         index = disarr.indexOf("-");
418     }
419     pathdis.add(disarr);
420     kk = bitarr;
421     ii = -1;
422     for (int i = 0; i < pathdis.size() - 1; i++) {
423         pathbit.add(0, getNext());
424     }
425 }
426 String kk;
427 int ii, jj;
428 byte dd;
429 public int getNext() {
430
431     int k = 0;
432     if (ii == -1) {
433         jj = 0;
434     }
435     if (ii == 8 || ii == -1) {
436         dd = (byte) kk.charAt(jj);
437         jj++;
438         ii = 0;
439     }
440     if (ii < 8) {
441         k = (int) (dd & 1);
442         dd = (byte) (dd >> 1);
443         ii++;
444     }
445     return k;
446 }
447 }

```

Listing C.1: TreeDoc.class



```

import java.util.ArrayList;
2 public class MajorNode {
    public DocNode parrent;
4    public ArrayList<DocNode> arrDocNode;
    public MajorNode() {
6        arrDocNode = new ArrayList<DocNode>();
        parrent = null;
8    }
    /**
10     * add a DocNode to major node
    * @param u: DocNode
12     */
    public void add(DocNode u) {
14        u.majorNode = this;
        arrDocNode.add(u);
16    }
}

```

Listing C.2: MajorNode.class

```

1 public class DocNode {
    public Character atom;
3    public String id;
    public MajorNode majorNode;
5    public MajorNode left;
    public MajorNode right;
7    public boolean rebalance;
    public Font font;
9    public DocNode(Character a, boolean s, Font ft, String dis) {
        atom = a;
11        left = null;
        right = null;
13        id=dis;
        font=ft;
15        rebalance=false;
17    }
}

```

Listing C.3: DocNode.class

```

1 import java.util.ArrayList;
public class Operation {
3    public int epoch;
    public String generator;
5    public StateVector stateVector;
    public int optype;
7    public String posID;
    public Character atom;
9    public Font font;
    public Operation(String gen, StateVector vers, int optype, String pos, Font
        f, int e, Character a)
11    {

```

```
13     generator=gen;  
14     stateVector=vers;  
15     optype=opetype;  
16     atom=a;  
17     font=f;  
18     epoch=e;  
19     posID=pos;  
    }  
}
```

Listing C.4: Operation.class