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# Numerical evaluation of electron repulsion integrals for pseudoatomic orbitals and their derivatives

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A numerical method to calculate the four-center electron-repulsion integrals for strictly localized pseudoatomic orbital basis sets has been developed. Compared to the conventional Gaussian expansion method, this method has an advantage in the ease of combination with  $O(N)$  density functional calculations. Additional mathematical derivations are also presented including the analytic derivatives of the integrals with respect to atomic positions and spatial damping of the Coulomb interaction due to the screening effect. In the numerical test for a simple molecule, the convergence up to  $10^{-5}$  hartree in energy is successfully obtained with a feasible cost of computation. © 2009 American Institute of Physics. [DOI: 10.1063/1.3082269]

## I. INTRODUCTION

In *ab initio* electronic structure calculations based on the density functional theory (DFT), the Fock exchange for Kohn–Sham orbitals has been occasionally used as the “exact” exchange in order to improve the poor description of exchange energy by the Dirac exchange, which is the standard functional used in the local density approximation (LDA) and the generalized gradient corrections (GGA). In recent years, various molecular systems have been calculated by using the hybrid functional methods,<sup>1</sup> such as B3LYP<sup>2,3</sup> and PBE0,<sup>4</sup> where a certain amount of the Fock exchange is admixed with the LDA/GGA exchange-correlation functionals. The introduction of the nonlocal Fock exchange significantly improves the delocalization error<sup>5</sup> in the semilocal LDA/GGA functional and presents better thermochemical and structural properties of molecules.

The heavy computational demand to evaluate the Fock exchange is, however, a serious drawback. The studies for large molecules and solids are thus very limited. One solution for this problem is given in the Heyd–Scuseria–Ernzerhof (HSE) hybrid functional<sup>6</sup> where the long tail of the Coulomb interaction is somewhat artificially damped. The successful application of the HSE hybrid functional to extended systems, typified by the surprisingly accurate values of the band-gap energies of semiconductors,<sup>7</sup> implies that the damping scheme they introduced is not just conducive to reducing the computational cost, but reasonable as well to describe the screening of the Coulomb interaction in real materials.

The Fock exchange consists of the four-center electron repulsion integrals (ERI) among basis functions. Since the integration can be performed analytically with the Gaussian-type orbital (GTO) basis functions, the Gaussian-expansion method is conventionally used in the evaluation of ERI where the basis functions are expanded in terms of GTO

basis set.<sup>8–10</sup> However, the Gauss transform of a numerically defined function might require an indirect way such as a fitting process of the function to analytic functions unlike that for the Slater-type orbital (STO) functions.<sup>8</sup> While, in our method, ERI is evaluated directly from arbitrarily defined basis functions. Specifically in the  $O(N)$  DFT calculation codes, such as CONQUEST,<sup>11</sup> SIESTA,<sup>12</sup> and OPENMX,<sup>13–15</sup> the strictly localized pseudoatomic orbital (PAO) basis sets are commonly used since the real space sparsity of the resultant Hamiltonian and overlap matrices enable us to combine the scheme with various  $O(N)$  methods and to parallelize the computation by the domain decomposition in real space. Therefore, toward the implementation of the hybrid functionals or any other methods which utilizes the Fock exchange in the  $O(N)$  DFT calculations, an effective numerical method is required to evaluate the Fock exchange for the nonanalytic PAO basis functions.

In this paper, we present a numerical procedure to calculate the four-center ERI for the numerically defined basis functions. We briefly review the mathematical derivations in the next section. Then, based on the formulations, we derive the analytic derivatives of the integrals with respect to atomic positions, which are required for the calculation of the forces on atoms. Our derivation is fully analytic and consistent with the integrals themselves. We also derive the formulation of ERI when the spatial damping of the Coulomb interaction is introduced as in the HSE hybrid functional.

## II. FORMULATION

### A. Numerical evaluation of ERI

The essential mathematical analysis described in this section is provided by Talman.<sup>16</sup> The considered wave functions are expressed as the linear combination of the PAO basis functions and each basis function is a product of a numerically defined radial function  $f(r)$  and an eigenfunction of angular momentum, i.e., the spherical harmonic function  $Y_L(\hat{r})$  for given angular momentum  $L=(\ell, m)$ ,

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$$\varphi(\mathbf{r}) = f(r)Y_L(\hat{r}). \quad (1)$$

The Fock exchange for the wave functions is then expressed as the sum of ERI for the basis functions. In general, ERI for four basis functions centered at different positions,  $\mathbf{a}_1$ ,  $\mathbf{a}_2$ ,  $\mathbf{a}_3$  and  $\mathbf{a}_4$ , is defined as follows:

$$I_4 \equiv \int \int \varphi_1^*(\mathbf{r} - \mathbf{a}_1) \varphi_2(\mathbf{r} - \mathbf{a}_2) \times \frac{1}{|\mathbf{r} - \mathbf{r}'|} \varphi_3(\mathbf{r}' - \mathbf{a}_3) \varphi_4^*(\mathbf{r}' - \mathbf{a}_4) d^3r d^3r'. \quad (2)$$

$I_4$  is also denoted as  $(\varphi_1\varphi_2|\varphi_3\varphi_4)$  to make the order of the basis functions clear. This integral is quite difficult to compute since the integration has to be performed over six-dimensional space coordinates. To reduce the dimensionality of the coordinates, one first needs to describe the overlap of the basis functions,  $\varphi_1$  and  $\varphi_2$ , as a function centered at an arbitrarily chosen center  $\mathbf{c}$ , which will be referred to as the overlap function later, and, similarly, the overlap of  $\varphi_3$  and  $\varphi_4$  at another center  $\mathbf{c}'$ ,

$$F^{12}(\mathbf{r} - \mathbf{c}) = \varphi_1(\mathbf{r} - \mathbf{a}_1) \varphi_2^*(\mathbf{r} - \mathbf{a}_2), \quad (3)$$

$$F^{34}(\mathbf{r} - \mathbf{c}') = \varphi_3(\mathbf{r} - \mathbf{a}_3) \varphi_4^*(\mathbf{r} - \mathbf{a}_4). \quad (4)$$

Then, the integral Eq. (2) becomes

$$I_4 = \int \int (F^{12}(\mathbf{r} - \mathbf{c}))^* \frac{1}{|\mathbf{r} - \mathbf{r}'|} F^{34}(\mathbf{r}' - \mathbf{c}') d^3r d^3r'. \quad (5)$$

By using the Fourier transform of the Coulomb interaction  $1/r$ ,

$$\int \frac{e^{i\mathbf{k}\cdot\mathbf{r}}}{r} d^3r = \frac{4\pi}{k^2}, \quad (6)$$

the integral in reciprocal space is expressed as a single-center integral,

$$I_4 = \frac{1}{2\pi^2} \int \frac{1}{k^2} (\tilde{F}^{12}(\mathbf{k}))^* \tilde{F}^{34}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{R}} d^3k, \quad (7)$$

where  $\mathbf{R} \equiv \mathbf{c}' - \mathbf{c}$  and  $\tilde{F}^{ij}$  are the Fourier transformed functions of Eqs. (3) and (4). Since  $\tilde{F}^{ij}$  is also expanded in terms of the spherical harmonic functions,

$$\tilde{F}^{ij}(\mathbf{k}) = 4\pi \sum_L i^\ell \tilde{P}_L^{ij}(k) Y_L(\hat{\mathbf{k}}), \quad (8)$$

the angular part of the integral in Eq. (7) can be performed analytically, where the overlap coefficient,  $\tilde{P}_L^{ij}(k)$ , is a radial function of  $k$ , which will be discussed later on. Finally, the integral Eq. (2) is broken down to a sum of single-dimensional integrals as follows:

$$I_4 = 32\pi \sum_L \sum_{L'} \sum_{\Lambda=(\lambda,\mu)} i^{\ell' - \ell + \lambda} G_{L',\Lambda}^L Q_{LL'}^\Lambda(R) (Y_\Lambda(\hat{\mathbf{R}}))^*, \quad (9)$$

$$Q_{LL'}^\Lambda(R) \equiv \int_0^\infty j_\lambda(kR) (\tilde{P}_L^{12}(k))^* \tilde{P}_{L'}^{34}(k) dk, \quad (10)$$

where  $G_{L',\Lambda}^L$  is the Gaunt coefficients defined by

$$G_{L',\Lambda}^L \equiv \int (Y_L(\hat{r}))^* Y_{L'}(\hat{r}) Y_\Lambda(\hat{r}) d\Omega_r. \quad (11)$$

The remaining problem is how to calculate the overlap coefficients  $\tilde{P}_L^{ij}$  in Eq. (8). In order to calculate them, the translation of the expansion center of a basis function is considered based on the investigation by Löwdin<sup>17</sup> as follows:

$$\varphi_i(\mathbf{r} - \mathbf{a}) = \sum_\Lambda \alpha_\Lambda^i(r, \mathbf{a}) Y_\Lambda(\hat{r}). \quad (12)$$

The coefficients  $\alpha_\Lambda^i$ , often referred to as  $\alpha$ -function, are given by

$$\alpha_\Lambda^i(r, \mathbf{a}) = 4\pi \sum_{\Lambda'} i^{\ell_i - \lambda + \lambda'} G_{\Lambda\Lambda'}^{L_i} \Gamma_{\Lambda\Lambda'}^i(r, \mathbf{a}) Y_{\Lambda'}(\hat{\mathbf{a}}), \quad (13)$$

with a function of  $r$  and  $\mathbf{a}$  defined by

$$\Gamma_{\Lambda\Lambda'}^i(r, \mathbf{a}) = \frac{2}{\pi} \mathcal{S}^{(\lambda)}[j_{\lambda'}(ka) \tilde{f}_i(k)]. \quad (14)$$

Here,  $\mathcal{S}^{(\lambda)}$  is the  $\lambda$ th order spherical Bessel transform (SBT) and  $\tilde{f}_i(k)$  is the transformed radial function given by

$$\tilde{f}_i(k) = \mathcal{S}^{(\ell_i)}[f_i(r)] \equiv \int_0^\infty j_{\ell_i}(kr) f_i(r) r^2 dr. \quad (15)$$

The overlap functions, Eqs. (3) and (4), are described in terms of  $\alpha$ -functions [Eq. (13)]:

$$F^{ij}(\mathbf{r}) = \varphi_i(\mathbf{r} - (\mathbf{a}_i - \mathbf{c})) \varphi_j^*(\mathbf{r} - (\mathbf{a}_j - \mathbf{c})) = \sum_L P_L^{ij}(r, \mathbf{a}_i - \mathbf{c}, \mathbf{a}_j - \mathbf{c}) Y_L(\hat{\mathbf{r}}), \quad (16)$$

where

$$P_L^{ij}(r, \mathbf{a}_i - \mathbf{c}, \mathbf{a}_j - \mathbf{c}) = \sum_\Lambda \sum_{\Lambda'} G_{\Lambda\Lambda'}^L \alpha_\Lambda^i(r, \mathbf{a}_i - \mathbf{c}) (\alpha_{\Lambda'}^j(r, \mathbf{a}_j - \mathbf{c}))^*. \quad (17)$$

Finally, by transforming Eq. (17), the overlap coefficients in reciprocal space are obtained as

$$\tilde{P}_L^{ij}(k) = \mathcal{S}^{(\ell)}[P_L^{ij}(r, \mathbf{a}_i - \mathbf{c}, \mathbf{a}_j - \mathbf{c})]. \quad (18)$$

Let us summarize how to compute ERI in the present approach: The first step is to transform the radial part of the basis functions by Eq. (15). Then, for every pair of orbitals,  $\alpha$ -functions are calculated through Eqs. (13) and (14). From those  $\alpha$ -functions, the overlap coefficients [Eq. (17)] and the transformed ones [Eq. (18)] are obtained. Finally, the integral [Eq. (9)] is calculated by summing up the radial integrals [Eq. (10)].

## B. Fast spherical Bessel transform

In the above approach, the SBT is performed at three different places, namely, Eqs. (14), (15), and (18). Since the orders of the transforms are different and high at each step, this process can be a source of numerical errors as well as a bottleneck in the computation speed. A careful examination is therefore required in implementing the numerical method of SBT.

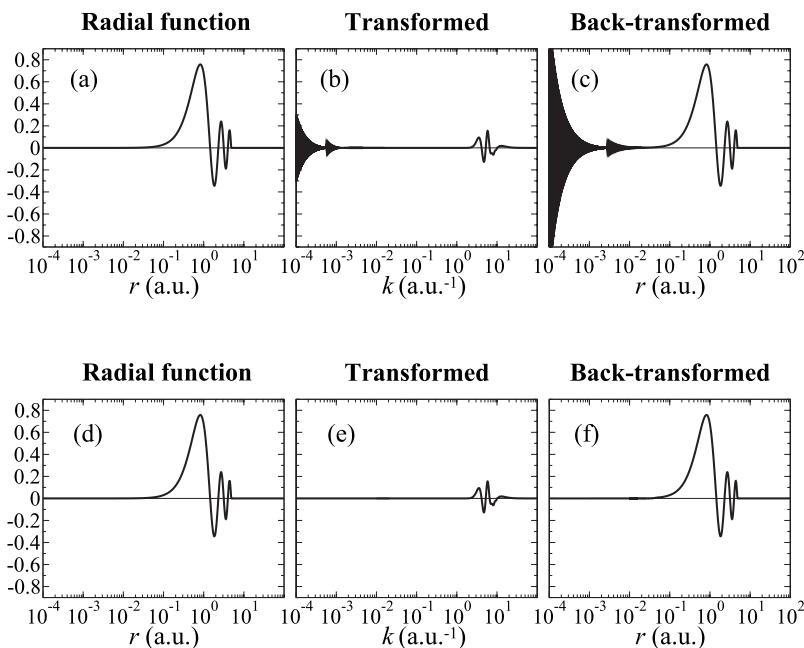


FIG. 1. Accumulation of numerical error by back-and-forth transforms with the fast SBT method. The input function (a) is a PAO orbital of H atom for  $\ell=2$  and  $n_{\text{node}}=4$ . The transformed function of (a) is plotted in the panel (b) and the back-transformed of (b) is in panel (c). In the lower panels (d), (e), and (f), accumulation of numerical error by back-and-forth transforms with our modified fast SBT method is shown, where the error is substantially suppressed.

In the preceding works by Talman, the fast SBT technique is used, which is proposed by Siegman<sup>18</sup> and Talman.<sup>19</sup> In our experience of testing several numerical techniques, such as the discrete Bessel transform<sup>20</sup> and the asymptotic expansion method,<sup>21</sup> we reached the conclusion that the Siegman–Talman fast SBT method is actually the most stable and fast for the present approach.<sup>22</sup> It, however, still suffers from oscillating numerical error in high-order transforms. The error becomes more significant for the PAO basis functions than the analytic basis functions because of their finite truncation. Fortunately, the oscillating error can be substantially suppressed by applying a simple correction to the fast SBT method as we describe later in this section.

In the fast SBT method, the radial variables  $r$  and  $k$  are changed to their logarithms,

$$\rho = \ln(r), \quad \kappa = \ln(k). \quad (19)$$

Then SBT of a function  $f(r)$  with order  $\ell$ ,

$$\tilde{f}(k) = \mathcal{S}^{(\ell)}[f(r)] \equiv \int_0^\infty j_\ell(kr)f(r)r^2 dr, \quad (20)$$

becomes a convolution-type integral as follows:

$$\tilde{f}(k) = \tilde{f}(e^\kappa) = e^{(m-3/2)\kappa} \mathcal{F}[M_{\ell,m}(t)\mathcal{F}[e^{(m+3/2)\rho}f(e^\rho)]], \quad (21)$$

where  $m=0, 1, 2, \dots, \ell$  is an arbitrarily chosen parameter and  $\mathcal{F}$  is the Fourier transform.

The function  $M_{\ell,m}(t)$  is the Fourier transformed spherical Bessel function,

$$M_{\ell,m}(t) = \frac{1}{2\pi} \int_{-\infty}^\infty e^{-it\rho} e^{(3/2-m)\rho} j_\ell(e^\rho) d\rho, \quad (22)$$

where the integral can be performed analytically.<sup>19</sup> The transform, Eq. (21), is thus reduced to a couple of consecutive one-dimensional Fourier transforms, where we can take advantages of the speed of the fast Fourier transform algorithm.

As mentioned before, the fast SBT method suffers from the oscillating numerical error in high-order transforms. In Fig. 1, a typical example of such error is shown, where a PAO basis function is transformed with the order  $\ell=14$  and  $m=0$ , and then back transformed with the same order. In the transformed function (the upper middle panel), the oscillating error appears in the small- $k$  region. After the back-and-forth transform (the upper right-hand panel), the accumulation of error is observed. Often, the error approaches quickly to infinity and the computation crashes.

In order to avoid the error, a simple correction is applied. Since the error appears only in the small- $k$  region, we perform the integration of Eq. (20) for some selected  $k$ -points between 0 and  $k_0$  by using the straightforward trapezoidal method, where  $k_0$  is smaller than the smallest  $k$ -points used in the final integration Eq. (10) so that the effect of the correction can be negligible, but the numerical breakdown can be avoided. By a linear interpolation between the selected  $k$ -points, we obtain another transformed function  $\tilde{g}(k)$ , in addition to  $\tilde{f}(k)$ . Then we replace  $\tilde{f}(k)$  with  $\tilde{g}(k)$  for  $k < k_0$  as follows:

$$\tilde{f}^{\text{corrected}}(k) = \tilde{f}(k)\Theta(k - k_0) + \tilde{g}(k)\Theta(k_0 - k). \quad (23)$$

This rather crude way of correction actually serves the practical purpose. The lower panels of Fig. 1 show the example of the back-and-forth SBT of the PAO basis function with the corrected fast SBT. Here, we use  $k_0=10^{-2}$  and the straightforward integrations are performed only for three selected  $k$ -points, which are  $k_0$ ,  $10^{-4}$ , and 0. It is confirmed that the oscillating error is successfully suppressed.

### C. Derivatives

For the calculation of forces acting on atoms, we derive the derivatives of the integral Eq. (9) with respect to atomic

TABLE I. Definition of the integrals in the calculations of ERI for GTO.

Integral	Function	Radial	Angular
( <i>ss</i>   <i>ss</i> )	$\varphi_1, \varphi_2, \varphi_3, \varphi_4$	$e^{-r}$	$Y_0^0 = 1/\sqrt{4\pi}$
( <i>ps</i>   <i>sp</i> )	$\varphi_2, \varphi_3$	$e^{-r}$	$Y_0^0 = 1/\sqrt{4\pi}$
	$\varphi_1$	$re^{-r}$	$Y_1^{-1} = \sqrt{3/8\pi}(x-iy)/r$
	$\varphi_4$	$re^{-r}$	$Y_1^1 = -\sqrt{3/8\pi}(x+iy)/r$
( <i>dd</i>   <i>dd</i> )	$\varphi_1$	$r^2e^{-r/2}$	$Y_2^2 = \sqrt{15/32\pi}(x^2-y^2+2ixy)/r^2$
	$\varphi_2$	$r^2e^{-r/2}$	$Y_2^{-1} = \sqrt{15/8\pi}(xz-iyz)/r^2$
	$\varphi_3$	$r^2e^{-r/2}$	$Y_2^1 = -\sqrt{15/8\pi}(xz+iyz)/r^2$
	$\varphi_4$	$r^2e^{-r/2}$	$Y_2^{-2} = \sqrt{15/32\pi}(x^2-y^2-2ixy)/r^2$

positions. In our derivation, the expansion centers for the overlap functions are assumed to be given by the following forms:

$$\mathbf{c} = p\mathbf{a}_1 + (1-p)\mathbf{a}_2, \quad (24)$$

$$\mathbf{c}' = p'\mathbf{a}_3 + (1-p')\mathbf{a}_4, \quad (25)$$

where  $0 \leq p(p') \leq 1$ . Having in mind that  $\mathbf{R} = \mathbf{c}' - \mathbf{c}$ , the derivatives are obtained as

$$\nabla_{\mathbf{a}_1} I_4 = 32\pi \sum_{LL'\Lambda} i^{\ell'-\ell+\lambda} G_{L'\Lambda}^L(-p\mathbf{A} + \mathbf{B}_{12}), \quad (26)$$

$$\nabla_{\mathbf{a}_2} I_4 = 32\pi \sum_{LL'\Lambda} i^{\ell'-\ell+\lambda} G_{L'\Lambda}^L(-(1-p)\mathbf{A} - \mathbf{B}_{12}), \quad (27)$$

$$\nabla_{\mathbf{a}_3} I_4 = 32\pi \sum_{LL'\Lambda} i^{\ell'-\ell+\lambda} G_{L'\Lambda}^L(p'\mathbf{A} + \mathbf{B}_{34}), \quad (28)$$

$$\nabla_{\mathbf{a}_4} I_4 = 32\pi \sum_{LL'\Lambda} i^{\ell'-\ell+\lambda} G_{L'\Lambda}^L((1-p')\mathbf{A} - \mathbf{B}_{34}), \quad (29)$$

where the vectors  $\mathbf{A}$ ,  $\mathbf{B}_{12}$ , and  $\mathbf{B}_{34}$  are defined as

$$\begin{aligned} \mathbf{A} &\equiv AY_\Lambda(\hat{R})\mathbf{e}_R + Q_{LL'}^\Lambda(R) \\ &\times \left( \frac{1}{R} \frac{\partial Y_\Lambda(\hat{R})}{\partial \theta} \mathbf{e}_\theta + \frac{1}{R \sin \theta} \frac{\partial Y_\Lambda(\hat{R})}{\partial \phi} \mathbf{e}_\phi \right), \end{aligned} \quad (30)$$

$$\mathbf{A} \equiv \int_0^\infty k \left( \frac{d}{dz} j_\lambda(z) \right)_{z=kR} (\tilde{P}_L^{12}(k))^* \tilde{P}_L^{34}(k) dk, \quad (31)$$

$$\mathbf{B}_{12} \equiv \int_0^\infty j_\lambda(kR) (\nabla_{\mathbf{a}_1} \tilde{P}_L^{12}(k))^* \tilde{P}_L^{34}(k) dk, \quad (32)$$

$$\mathbf{B}_{34} \equiv \int_0^\infty j_\lambda(kR) (\tilde{P}_L^{12}(k))^* (\nabla_{\mathbf{a}_3} \tilde{P}_L^{34}(k)) dk. \quad (33)$$

Here, the unit vectors are defined as follows:

$$\mathbf{e}_R \equiv (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta), \quad (34)$$

$$\mathbf{e}_\theta \equiv (\cos \theta \cos \phi, \cos \theta \sin \phi, -\sin \theta), \quad (35)$$

TABLE II. Convergence of the calculations of ERI for GTO basis set with respect to the cutoff parameter  $\bar{\ell}_{\max}$ .

$\bar{\ell}_{\max}$	Integrals (hartree)		
	( <i>ss</i>   <i>ss</i> )	( <i>ps</i>   <i>sp</i> )	( <i>dd</i>   <i>dd</i> )
0	0.007 608	0.002 853	0.000 000
1		0.002 384	0.001 055
2		0.002 512	0.000 305
3			0.002 656
4			-0.001 909
5			-0.001 909
6			-0.001 965
exact	0.007 608	0.002 512	-0.001 966

$$\mathbf{e}_\phi \equiv (\sin \phi, \cos \phi, 0), \quad (36)$$

where  $\theta$  and  $\phi$  are the spherical coordinate components of the vector  $\mathbf{R}$ .

The vector  $\mathbf{A}$  can be calculated immediately since all the differentiations in Eqs. (30) and (31) are taken for the analytic functions. As shown in the Appendix, the differentiations of the overlap functions in Eqs. (32) and (33) can also be performed completely analytically. Note that the differentiations are taken only for  $\mathbf{a}_1$  and  $\mathbf{a}_3$  because the others can also be obtained via the following sum rule:

$$\nabla_{\mathbf{a}_1} \tilde{P}_L^{12}(k) + \nabla_{\mathbf{a}_2} \tilde{P}_L^{12}(k) = 0, \quad (37)$$

$$\nabla_{\mathbf{a}_3} \tilde{P}_L^{34}(k) + \nabla_{\mathbf{a}_4} \tilde{P}_L^{34}(k) = 0. \quad (38)$$

The rule arises due to the assumption of Eqs. (24) and (25) and this is the reason why we assumed them. There is another sum rule,

$$\nabla_{\mathbf{a}_1} I_4 + \nabla_{\mathbf{a}_2} I_4 + \nabla_{\mathbf{a}_3} I_4 + \nabla_{\mathbf{a}_4} I_4 = 0, \quad (39)$$

which is a more general one arising from the definition of the integral. It is easily confirmed that the derivatives, Eqs. (26)–(29), satisfy the rule.

## D. Screening of the Coulomb interaction

We also derive the formulation of ERI when the screening of Coulomb interaction is introduced. The screening scheme is assumed to be the same with that used in the HSE hybrid functional,<sup>6</sup>

$$\frac{1}{r} \rightarrow \frac{1 - \text{erf}(\omega r)}{r}, \quad (40)$$

where  $\text{erf}(\omega r)$  is the Gauss error function and  $\omega$  is a screening parameter. The Fourier transform of the screened Coulomb interaction is

$$\int \frac{1 - \text{erf}(\omega r)}{r} e^{ik \cdot r} d^3 r = \frac{4\pi}{k^2} (1 - e^{-k^2/4\omega^2}). \quad (41)$$

Therefore, the radial integral [Eq. (10)] becomes



TABLE III. Basis functions and atomic positions in the calculations of methane molecule. The STO basis functions are normalized.

Symbol	Atom	Orbital	Position			Exponent
			$x$	$y$	$z$	
1	H	1s	0	0	-2	1.000
2	H	1s	$4\sqrt{2}/3$	0	2/3	1.000
3	H	1s	$-2\sqrt{2}/3$	$2\sqrt{6}/3$	2/3	1.000
4	H	1s	$-2\sqrt{2}/3$	$-2\sqrt{6}/3$	2/3	1.000
$c$	C	1s	0	0	0	5.700
$x$	C	$2p_x$	0	0	0	1.625
$z$	C	$2p_y$	0	0	0	1.625

$$Q_{LL'}^{\lambda, \text{scr}}(R) = \int j_{\lambda}(kR) (\tilde{P}_L^{12}(k)) * \tilde{P}_{L'}^{34}(k) (1 - e^{-k^2/4\omega^2}) dk. \quad (42)$$

Since the screening factor is independent of the atomic positions, the derivatives are still in the similar forms as Eqs. (26)–(29), except, the radial integrals Eqs. (31)–(33) are replaced with the screened ones as follows:

$$A^{\text{scr}}(\omega) \equiv \int_0^{\infty} k \left( \frac{d}{dz} j_{\lambda}(z) \right)_{z=kR} (\tilde{P}_L^{12}(k)) * \tilde{P}_{L'}^{34}(k) \times (1 - e^{-k^2/4\omega^2}) dk, \quad (43)$$

$$B_{12}^{\text{scr}}(\omega) \equiv \int_0^{\infty} j_{\lambda}(kR) (\nabla_{a_1} \tilde{P}_L^{12}(k)) * \tilde{P}_{L'}^{34}(k) (1 - e^{-k^2/4\omega^2}) dk, \quad (44)$$

$$B_{34}^{\text{scr}}(\omega) \equiv \int_0^{\infty} j_{\lambda}(kR) (\tilde{P}_L^{12}(k)) * (\nabla_{a_3} \tilde{P}_{L'}^{34}(k)) \times (1 - e^{-k^2/4\omega^2}) dk. \quad (45)$$

## E. Computation speed

Our motivation is in the application of the Fock exchange in large systems such as large molecules and solids. In those systems, an enormous (infinite for solids) number of combinations of basis functions have to be considered. Fortunately for the PAO basis sets, since they are strictly confined in real space, the overlaps are exactly zero whenever the distance between the basis functions is longer than the sum of the confinement lengths. On the other hand, however, any two overlap functions can interact with each other even if they are separated quite far apart because of the infinitely long tail of the Coulomb interaction. By using the screening scheme described in the previous section, it might be justified to neglect the contribution from the far-separated pairs. Nevertheless, still a large number of pairs have to be calculated since the typical value of the screening parameter is  $\omega=0.15a^{-1}$  and thus the effective screening length is  $\sim 10 \text{ \AA}$ .<sup>7</sup> Therefore, we should consider making the computation faster for the final integration [Eqs. (9) and (10)]. As already pointed out by Talman, the convergence of the sum-

mation Eq. (9) is very fast so that the cutoff angular momentum  $\ell_{\text{max}}$  and the number of  $k$ -sampling points  $N_k$  can be decreased. We shall define new parameters  $\bar{\ell}_{\text{max}}$  and  $\bar{N}_k$  for Eqs. (9) and (10) in order to distinguish from the original parameters  $\ell_{\text{max}}$  and  $N_k$ , which are used in the other parts. Typically,  $\bar{\ell}_{\text{max}}$  has to be as large as  $\sim 15$  to describe the overlap functions accurately. While, as shown later,  $\bar{\ell}_{\text{max}}=6$  is enough to obtain  $10^{-5}$  hartree accuracy in energy even when  $d$  orbitals are involved. Similarly,  $N_k$  is required to be  $\sim 1000$ , while in the final integration, the Gauss–Laguerre quadrature can be used, which gives good convergence with  $\bar{N}_k \sim 50$ .

The location of the expansion centers  $\mathbf{c}$  has also a significant effect on the convergence speed. In general,  $\mathbf{c}$  is an orbital-dependent value. Therefore, since  $\alpha$ -functions Eqs. (13) and (14) depend on  $\mathbf{c}$  [note that what we actually need is the terms in the right-hand side of Eq. (17)], one needs to calculate those coefficients of which the number is equivalent to that of neighboring orbitals. Here, we offer a suggestion that the computation cost may be reduced by choosing  $\mathbf{c}$  at the middle of the two orbital centers,

$$\mathbf{c} = \frac{\mathbf{a}_1 + \mathbf{a}_2}{2}. \quad (46)$$

In this case, the required number of  $\alpha$ -functions is decreased by a factor of the number of orbitals per atom, which is typically  $\sim 10$ . Note that Eq. (46) is not the best choice if just one ERI is considered. In fact, the choice of  $\mathbf{c}$  considering the spatial extent of each orbital gives faster convergence in the final integration.<sup>23</sup> Therefore, it becomes a competition between the convergence speed in performing a single integral and the required number of  $\alpha$ -functions.

## III. COMPUTATION RESULTS

In order to check the convergence properties of our routine, we first performed calculations of ERI for a GTO basis set. Three different integrals ( $ss|ss$ ), ( $ps|sp$ ), and ( $dd|dd$ ) are calculated. The definition of the basis functions for the integrals is listed in Table I. For all the integrals, the orbital locations are fixed to the corners of a square on the  $x$ - $y$  plane with edge length of 1;  $\mathbf{a}_1=(1/2, 1/2, 0)$ ,  $\mathbf{a}_2=(1/2, -1/2, 0)$ ,  $\mathbf{a}_3=(-1/2, 1/2, 0)$ , and  $\mathbf{a}_4=(-1/2, -1/2, 0)$ . The cutoff for the angular momentum is  $\ell_{\text{max}}=15$  and the number of radial mesh points is  $N=1024$ . In the final integration, the Gauss–

TABLE IV. Convergence of the calculations of ERI of methane molecule for the STO basis set. The symbols 1, 2, 3, 4, c, x, and z specify the STO basis functions of H and C atoms. See Table III for details. The comparison values are taken from Ref. 9.

$\bar{\ell}_{\max}$	Integrals (hartree)							
	(12 34)	(12 13)	(11 23)	(c1 34)	(c1 c2)	(cc 12)	(c1 x2)	(c1 z2)
0	0.031 420	0.035 743	0.097 301	0.013 109	0.011 258	0.170 321	0.026 924	0.009 519
1	0.031 420	0.035 743	0.097 301	0.013 010	0.011 186	0.170 321	0.020 083	0.006 288
2	0.030 647	0.035 666	0.095 631	0.012 719	0.011 188	0.166 242	0.020 144	0.006 106
3	0.030 647	0.035 666	0.095 631	0.012 723	0.011 188	0.166 242	0.019 819	0.005 914
4	0.030 684	0.035 694	0.095 709	0.012 743	0.011 188	0.166 578	0.019 834	0.005 902
5	0.030 684	0.035 694	0.095 709	0.012 743	0.011 188	0.166 578	0.019 809	0.005 887
6	0.030 681	0.035 694	0.095 703	0.012 741	0.011 188	0.166 531	0.019 811	0.005 887
Comp.	0.030 683	0.035 694	0.095 706	0.012 741	0.011 195	0.166 536	0.019 809	0.005 885

Laguerre quadrature is used where the number of  $k$ -sampling points is  $\bar{N}_k=60$  and the summation is taken up to a reduced cutoff  $\bar{\ell}_{\max}$ . In Table II, the calculated values of the integrals are shown for increasing  $\bar{\ell}_{\max}$ , where they are left blank after the convergence up to  $10^{-5}$  hartree is achieved. The exact values are also shown for comparison, which are obtained by using the analysis given in literature.<sup>9</sup> It is clearly found that the convergence becomes slower for orbitals with higher angular momentum. Nevertheless, the accurate values for all the integrals up to  $10^{-5}$  hartree are obtained with  $\bar{\ell}_{\max}=6$ .

As a more realistic example, we performed calculations of ERI of a methane molecule for a STO basis set. The basis functions and the atomic positions are summarized in Table III. Our results and the comparison values calculated by using the Gaussian expansion method<sup>9</sup> are shown in Table IV. For all the integrals considered, ours and the comparison values agree with each other up to  $10^{-5}$  hartree. The difference from the comparison values is large for (c1|c2) and (cc|12) because the C  $1s$  inner-shell orbital is involved. In general, the small spatial extent of such inner-shell orbitals makes the convergence slower. This, however, would not have to be considered so seriously in the actual calculations if the contributions of the inner-shell electrons are included in the pseudopotentials.

Calculations of ERI for a PAO basis set are performed for the methane structure. The PAO data are taken from those

used in the OPENMX DFT calculation package.<sup>24</sup> The ground state orbitals generated by the confinement scheme<sup>13</sup> with the radius of 5.0 bohr are used as the PAO basis functions for both the hydrogen and carbon atoms. The calculated values are shown in Table V where the parameters  $\bar{\ell}_{\max}=15$ ,  $N=1024$ , and  $\bar{N}_k=60$  are used. The comparison values are also calculated by using the present method with the enhanced parameters  $\bar{\ell}_{\max}=20$  and  $N=4096$ . As well as the analytic functions such as GTO and STO basis sets, even for the strictly localized PAO basis set, it is confirmed that the convergence up to  $10^{-5}$  hartree is obtained with  $\bar{\ell}_{\max}=6$ .

The computation time in our method for calculating a single integral is 3.0 sec with Intel Xeon processor 3.2 GHz. This is too expensive compared to the time required in the conventional Gaussian-expansion method, e.g., one of the most sophisticated program takes only 0.5–1.3 msec per integral with Intel Pentium IV processor 3.2 GHz.<sup>10</sup> In our method, most of the time is consumed in the calculation of the overlap functions. Fortunately, the required number of pairs is proportional to the number of basis functions due to the finite truncation of the PAO basis functions, whereas the effort of the computation of ERIs scales quadratically as the number of the basis functions increases. In addition to that, the overlap functions can be reused for the calculations of ERI with a different pair of them, e.g., the overlap of 1 and 2

TABLE V. Convergence of the calculations of ERI of methane molecule for the PAO basis set. The symbols and the atomic positions are the same as in Table III. The comparison values are also calculated by using the present method with enhanced parameters (see text for details).

$\bar{\ell}_{\max}$	Integrals (hartree)							
	(12 34)	(12 13)	(11 23)	(c1 34)	(c1 c2)	(cc 12)	(c1 x2)	(c1 z2)
0	0.031 071	0.035 881	0.096 122	0.070 962	0.158 759	0.141 194	-0.044 336	0.047 671
1	0.031 071	0.035 881	0.096 122	0.071 814	0.161 936	0.141 194	-0.030 393	0.018 107
2	0.030 601	0.035 835	0.095 266	0.071 497	0.162 871	0.140 576	-0.031 044	0.020 152
3	0.030 601	0.035 835	0.095 266	0.071 508	0.162 823	0.140 576	-0.030 911	0.019 802
4	0.030 630	0.035 860	0.095 316	0.071 517	0.162 851	0.140 577	-0.030 915	0.019 825
5	0.030 630	0.035 860	0.095 316	0.071 517	0.162 851	0.140 577	-0.030 906	0.019 826
6	0.030 627	0.035 860	0.095 313	0.071 516	0.162 855	0.140 577	-0.030 905	0.019 828
comp.	0.030 630	0.035 862	0.095 318	0.071 519	0.162 859	0.140 581	-0.030 903	0.019 829



for (12|34) can also be used for (12|56), etc. Therefore, the computation efficiency in total can be significantly enhanced as the system size becomes larger.

#### IV. SUMMARY

In summary, a numerical method to evaluate ERI for the PAO basis sets has been developed. Based on the mathematical analysis by Talman, we derived the analytic derivatives and a spatial damping scheme for the Coulomb interaction. We also propose a numerical method for SBT of the strictly localized PAO basis functions, where the oscillating numerical error is well suppressed even for the high-order transforms. In the numerical calculations for a simple molecule, the convergence up to  $10^{-5}$  hartree in energy has been successfully obtained. The present method enables us to utilize state-of-the-art hybrid functional methods in the  $O(N)$  DFT calculation programs.

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#### APPENDIX: DERIVATIVES OF OVERLAP FUNCTIONS

The derivatives of the overlap functions in the vectors [Eqs. (32) and (33)] are given as

$$\begin{aligned} \nabla_{a_i} \tilde{P}_L^{ij}(k) &= \nabla_{a_i} \text{SBT}^\ell [P_L(r, \mathbf{a}_i - \mathbf{c}, \mathbf{a}_j - \mathbf{c}; f_i, f_j)] \\ &= \sum_{\Lambda\Lambda'} G_{\Lambda\Lambda'}^\Lambda \{ (1-p) (\nabla_{\mathbf{u}} \alpha_{\Lambda'}^i(r, \mathbf{u})) \alpha_{\Lambda'}^j(r, \mathbf{v}) \\ &\quad - p \alpha_{\Lambda'}^j(r, \mathbf{u}) (\nabla_{\mathbf{v}} \alpha_{\Lambda'}^i(r, \mathbf{v})) \}_{\mathbf{u}=\mathbf{a}_i-\mathbf{c}, \mathbf{v}=\mathbf{a}_j-\mathbf{c}}, \end{aligned} \quad (\text{A1})$$

where we use the assumption in Eqs. (24) and (25). Then, the derivatives of the  $\alpha$ -functions are

$$\begin{aligned} \nabla_{\mathbf{a}} \alpha_{\lambda'}^\eta(r, a) &= 4\pi \sum_{\Lambda'} i^{\ell-\lambda+\lambda'} G_{\Lambda\Lambda'}^L \left\{ \left( \frac{\partial}{\partial a} \Gamma_{\lambda\lambda'}^\eta(r, a) \right) Y_{\Lambda'}(\hat{a}) \mathbf{e}_r \right. \\ &\quad + \frac{1}{a} \Gamma_{\lambda\lambda'}^\eta(r, a) \frac{\partial}{\partial \theta} Y_{\Lambda'}(\hat{a}) \mathbf{e}_\theta \\ &\quad \left. + \frac{1}{a \sin \theta} \Gamma_{\lambda\lambda'}^\eta(r, a) \frac{\partial}{\partial \phi} Y_{\Lambda'}(\hat{a}) \mathbf{e}_\phi \right\}, \end{aligned} \quad (\text{A2})$$

where the unit vectors are similar to Eqs. (34)–(36), where  $\theta$  and  $\phi$  are the spherical coordinate components of  $\mathbf{a}$ .

We come to the final equation, the derivatives of  $\Gamma$  terms,

$$\frac{\partial}{\partial a} \Gamma_{\lambda\lambda'}^i(r, a) = \frac{2}{\pi} \text{SBT}^{(\lambda)} \left[ k \left( \frac{d}{dz} j_{\lambda'}(z) \right) \right]_{z=ka} \tilde{f}_\ell(k). \quad (\text{A3})$$

As derived here, all the components are derived analytically, without using any numerical differentiation.

In Eq. (30), there are terms proportional to  $1/R$ , which diverge as  $R$  approaches zero. In fact,  $R$  can be a near-zero values when, for example, atoms are on a square lattice and the orbitals  $\varphi_1$  and  $\varphi_2$  are located at each end of a diagonal of the square, and  $\varphi_3$  and  $\varphi_4$  are at each end of the other diagonal. To avoid such numerical problem, we impose a lower limit on  $R$  as follows:

$$R \rightarrow \bar{R} \equiv \sqrt{R^2 + \delta^2 \exp(-R^2/\delta^2)}, \quad (\text{A4})$$

where  $\delta \ll 1$ . The same problem may arise for the derivative of  $\alpha$  terms [Eq. (A2)]. However, we do not need to consider the case when  $a$  is close to zero. This is because, in such case, the two orbitals are located on a same atom and thus the derivative of the overlap function [Eq. (A1)] should always be zero. Here, we assume that all the orbitals are located on the atoms. Note that the derivatives are not taken with respect to the orbital centers but to the atomic positions.

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