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Sufficient completeness of parameterized
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CafeOBJ is a specification language which supports several kinds of specifications [1]. In this study, we focus on constructor-based order-sorted (CBOS) equational specifications. A signature $(S, \leq, \Sigma, \Sigma^C)$ (abbr. Σ) consists of a set S of sorts, a poset \leq on S , a S^+ -sorted set Σ of operators, and a set $\Sigma^C \subseteq \Sigma$ of constructors. We use the notation for the complement set $\overline{\Sigma'} = \Sigma \setminus \Sigma'$, constrained sorts $S^{cs} = \{s \in S \mid f \in \Sigma_{ws}^C \vee (f \in \Sigma_{ws'}^C \wedge s' \leq s)\}$, loose sorts $S^{ls} = S \setminus S^{cs}$, and constrained operators $\Sigma^{S^{cs}} = \{f \in \Sigma_{ws} \mid w \in S^*, s \in S^{cs}\}$. A specification SP is a pair of a signature Σ and a set of equations on Σ . We use the subscript A_{SP} to refer the element of SP , e.g. S_{SP} , Σ_{SP} , E_{SP} , etc. Sufficient completeness is an important property which guarantees the existence of the initial model [3]. A sufficient condition of sufficient completeness is given in [2] as follows: $SP = ((S, \leq, \Sigma, \Sigma^C), E)$ is sufficiently complete if for each S^{ls} -sorted set Y of variables of loose sorts and each term $t \in T_{\Sigma^{S^{cs}}}(Y)$, there exists a term $u \in T_{\Sigma^C}(Y)$ such that $t =_E u$. We call the above condition SCE.

The theory of term rewriting systems (TRS) is useful to prove sufficient completeness, where equations are regarded as left-to-right rewrite rules. A term is E -reducible if it has a subterm which can be rewritten by some rewrite rule in E . It is known that the notion of basic terms is useful to show ground reducibility. We give a variant of basic terms for CBOS specifications.

Definition 1. For $\Sigma' \subseteq \Sigma$, $f(\bar{t})$ is a Σ' -basic if $f \in \overline{\Sigma'}$ and \bar{t} are terms constructed from Σ' and loose variables Y .

Basic terms give us a sufficient condition of SCE. A specification SP satisfies SCE if SP is terminating, i.e., no infinite rewrite sequence exists, and all Σ^C -basic terms are E_{SP} -reducible [4]. We call the above condition SCR. The following $N+$ is a specification of natural number with the addition: $S_{N+} = \{Zero, NzNat < Nat\}$, $\Sigma_{N+} = \{0 \rightarrow Zero, s : Nat \rightarrow NzNat, +_+ : Nat Nat \rightarrow Nat\}$, $\Sigma_{N+}^C = \{0, s\}$, and $E_{N+} = \{X + 0 = X, X + s(Y) = s(X + Y)\}$, which is terminating and all Σ^C -basic terms $s^m(0) + s^n(0)$ are reducible, thus is sufficiently complete.

A parameterized specification is a specification morphism $i : P \rightarrow SP$ such that $i : \Sigma_P \rightarrow \Sigma_{SP}$ is an inclusion and $E_P \subseteq E_{SP}$. A view $v : P \rightarrow P'$ is a specification morphism from P to P' , where $v(s) \in S_{P'}$ for $s \in S_P$, $v(f) \in \Sigma_{P'}$ for $f \in \Sigma_P$ and $v(e)$ is satisfied by P' for $e \in E_P$ where $v(e)$ is obtained by replacing each occurrence $f \in \Sigma_P$ in e with the operator $v(f)$. The instantiation of i by v , denoted by $SP(v)$, is obtained by constructing the pushout of $P' \leftarrow P \rightarrow SP$ [1]. Let E'_{SP} be $E_{SP} \setminus E_P$. Roughly speaking, $SP(v)$ is obtained by

replacing P with P' , and E'_{SP} with $\{v(e) \mid e \in E'_{SP}\}$. See [1] for more details. The following $i : FUN \rightarrow MAP$ is a parameterized specification of map functions on generic lists: $S_{FUN} = \{Elt\}$, $\Sigma_{FUN} = \{f : Elt \rightarrow Elt\}$ and $E_{FUN} = \emptyset$. $S_{MAP} = \{List\}$, $\Sigma_{MAP} = \{nil : \rightarrow List, -; - : Elt List \rightarrow List, map : List \rightarrow List\}$, $\Sigma_{MAP}^C = \{nil, -; -\}$, and $E_{MAP} = \{map(nil) = nil, map(E; L) = f(E); map(L)\}$. Consider the specification $N+$ obtained by adding $d(X) = X + X$ to N and the view $v_{fn} : FUN \rightarrow N+$ where $v_{fn}(Elt) = Nat$ and $v_{fn}(f) = d$. Then, the instantiation $MAP(v_{fn})$ is a specification of lists on natural numbers where the function map takes $[n_0, n_1, \dots, n_k]$ and returns $[2n_0, 2n_1, \dots, 2n_k]$. $MAP(v_{fn})$ has the equations $\{map(nil) = nil, map(E; L) = d(E); map(L)\}$.

Given a parameterized specification $i : P \rightarrow SP$ and a view $v : P \rightarrow P'$, the challenge is to find sufficient conditions such that the instantiation $SP(v)$ is sufficient complete. MAP seems to be well-defined in the sense that after instantiation by a sufficiently complete specification, like $N+$, the operator f becomes a constructor or an operator defined for all constructor terms, and thus $map(l)$ is equivalent to a constructor term for any $l \in T_{\Sigma^C}(Y)$. For example, in $MAP(v_{fn})$, $map(0; s(0); nil) \rightarrow^* 0 + 0; s(0) + s(0); nil \rightarrow^* 0; s(s(0)); nil \in T_{\Sigma^C}(\emptyset)$. However, MAP does not satisfy the above sufficient conditions SCE of sufficient completeness since a constrained term $f(X); nil$ does not have any equivalent constructor term. In order to cover such parameterized specifications, we generalize the condition SCR as follows:

Definition 2. A specification SP satisfies Σ' -SCR if SP is terminating and all Σ' -basic terms are E_{SP} -reducible.

Note that SCR is equivalent to Σ^C -SCR. We call $i : P \rightarrow SP$ left- P -free if the left-hand sides of the equations in E'_{SP} include no $f \in \Sigma_P$, constructor-preserving if for each $s \in S_P$, $T_{\Sigma^C}(Y)_s$ are same in both P and SP [4]. We have the following sufficient condition of sufficient completeness of instantiations.

Theorem 1. If (1) i is left- P -free and constructor-preserving, (2) SP satisfies $\Sigma_{SP}^C \cup \Sigma_P$ -SCR, (3) P' satisfies SCR, (4) $SP(v)$ is terminating, then $SP(v)$ satisfies SCR.

Consider $i : FUN \rightarrow MAP$ and a view $v : FUN \rightarrow N+$. i is left- FUN -free and constructor-preserving. All $\Sigma_{MAP}^C \cup \{f\}$ -basic terms are in the form of either $map(nil)$ or $map(e; l)$, and reducible. $N+$ satisfies SCR. Termination of $MAP(v)$ can be proved, for example, by the method in [4]. Thus, $MAP(v)$ satisfies SCR from Theorems 1 and is sufficiently complete.

References

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Appendixes

A A generalization of SCE

We give a generalization of SCE, denoted by Σ' -SCE.

Definition 3. A specification SP satisfies Σ' -SCE if for each S^{ls} -sorted set Y of variables of loose sorts and each term $t \in T_{\Sigma^{ses}}(Y)$, there exists a term $u \in T_{\Sigma'}(Y)$ such that $t =_E u$.

Note that Σ^C -SCE is equivalent to SCE. We have the following property.

Theorem 2. Σ' -SCR implies Σ' -SCE.

Proof. Let Y be a set of loose variables and $t \in T_{\Sigma^{ses}}(Y)$. It suffices to show that $t \rightarrow^* u$ for some $u \in T_{\Sigma'}(Y)$. Note that $\rightarrow_E^* \subseteq =_E$. If $t \in T_{\Sigma'}(Y)$, then $u = t$. Assume t has an operator in $\overline{\Sigma'}$. Choose a subterms $f(\bar{t})$ whose root f is an operator in $\overline{\Sigma'}$ and \bar{t} do not have any operator in $\overline{\Sigma'}$. $f(\bar{t})$ is a Σ' -basic term and reducible from the assumption of Σ' -SCR. Take t_1 as a term obtained by rewriting t , i.e., $t \rightarrow_E t_1$. If $t_1 \in T_{\Sigma'}(Y)$, then $u = t_1$. If not, repeat the same thing for t_1 . From termination, there exists $t_n \in T_{\Sigma'}(Y)$ such that $t_i \rightarrow_E t_{i+1}$ ($i = 1, \dots, n-1$). Then $u = t_n$.

B Proof of Theorem 1

The notion of constructor-preserving has been defined for hierarchical extensions in [4], which can be modified for parameterized specifications straightforwardly.

Definition 4. [4] A parameterized specification $i : P \rightarrow SP$ is constructor-preserving if (1) for each $f \in (\Sigma_{SP}^C)_{ws}$ such that $s \in SP$, $f \in \Sigma_P$, and (2) for each $s \in SP$, there is no $s' \in S_{SP} \setminus SP$ such that $s' \leq_{SP} s$.

Note that if $i : P \rightarrow SP$ is constructor-preserving, $(T_{\Sigma_P^C}(Y))_s = (T_{\Sigma_{SP}^C}(Y))_s$ for each sort $s \in SP$, and $(T_{\Sigma_{P'}^C}(Y))_s = (T_{\Sigma_{SP(v)}^C}(Y))_s$ for each view $v : P \rightarrow P'$ and sort $s \in S_{P'}$.

Theorem 1. If (1) i is left- P -free and constructor-preserving, (2) SP satisfies $\Sigma_{SP}^C \cup \Sigma_P$ -SCR, (3) P' satisfies $\Sigma_{P'}^C$ -SCR, (4) $SP(v)$ is terminating, then $SP(v)$ satisfies $\Sigma_{SP(v)}^C$ -SCR.

Proof. From the assumption (4), it suffices to show that each $\Sigma_{SP(v)}^C$ -basic term $f(\bar{t})$ is reducible.

- Consider the case of $f \in \Sigma_{P'}$. From the definition of basic terms, $f \in \overline{\Sigma_{SP(v)}^C} \subseteq \overline{\Sigma_{P'}^C}$ and each $t_i \in \{\bar{t}\}$ is in $(T_{\Sigma_{SP(v)}^C}(Y))_s$ for some $s \in S_{P'}$. From the assumption of constructor-preserving, $\bar{t} \in (T_{\Sigma_{P'}^C}(Y))_s$ and $f(\bar{t})$ is $E_{P'}$ -reducible from (3). Since $E_{P'} \subseteq E_{SP(v)}$, $f(\bar{t})$ is also $E_{SP(v)}$ -reducible.
- Consider the case of $f \in \Sigma_{SP(v)} \setminus \Sigma_{P'} = \Sigma_{SP} \setminus \Sigma_P$. Since $f(\bar{t})$ is $\Sigma_{SP(v)}^C$ -basic, $f \notin \Sigma_{SP(v)}^C$ and $f \notin \Sigma_{SP}^C$. Thus $f \in \Sigma_{SP}^C \cup \Sigma_P$. An argument term $t_i \in \{\bar{t}\}$ may have operators in $\Sigma_{P'}$. Make the term t'_i by replacing each maximal occurrence of $g \in (\Sigma_{P'})_{ws}$ in t_i with a fresh distinct variable $x \in X_s$. Note that $s \in S_{P'}$. Since t'_i is constructed from only $\Sigma_{SP \setminus P}$, there exists $t''_i \in T_{\Sigma_P^C}(Y)$ where Y is a set

of loose variables. Thus, $f(\bar{t}')$ is a $\Sigma_{SP}^C \cup \Sigma_P$ -basic term and it is E_{SP} -reducible. Since $f \in \Sigma_{SP} \setminus \Sigma_P$, it is a redex of $E_{SP} \setminus E_P$, i.e. an instance of the left-hand side l of an equation in $E_{SP} \setminus E_P$. From the left- P -freeness, $f(\bar{t}')$ is also a redex of $E_{SP(v)} \setminus E_{P'}$. From the construction, $f(\bar{t})$ is an instance of $f(\bar{t}')$, and it is a redex of the same equation. Thus, it is $E_{SP(v)}$ -reducible.

C Source codes

The following CafeOBJ codes correspond to the specifications FUN , $N+$, the parameterized specification $i : FUN \rightarrow MAP$ and the view $v_{fn} : FUN \rightarrow N+$.

```

mod* FUN{ [Elt] op f : Elt -> Elt }
mod! N+{ [Zero NzNat < Nat]
  op 0 : -> Zero {constr}
  op s_ : Nat -> NzNat {constr}
  op _+_ : Nat Nat -> Nat
  eq X:Nat + 0 = X .
  eq X:Nat + s Y:Nat = s (X + Y) . }
mod! MAP(Z :: FUN){ [List]
  op nil : -> List {constr}
  op (_,_) : Elt List -> List {constr}
  op map : List -> List
  eq map(nil) = nil .
  eq map(E:Elt ; L:List) = (f(E) ; map(L)) . }
view FN from FUN to N+ {
  sort Elt -> Nat,
  op f(E:Elt) -> (E:Nat + E) }

```

Note that CafeOBJ supports a view from operators to derived operators, like $f(E) \rightarrow E + E$ in FN. Such a view can be considered as the combination of an operator-to-operator view and a module where an extra equation $f(\bar{X}) = r$ is added, like $op f(E) \rightarrow d(E)$ and $N + \cup\{d(E) = E + E\}$. The following is the result of the show command of the instantiation MAP(FN) in CafeOBJ system and the reduction command for $map(s\ 0 ; s\ s\ 0 ; nil)$:

```

CafeOBJ> show MAP(FN) .
module MAP(Z <= FN)
{
  imports { protecting (N+) }
  signature { [ List ]
    op nil : -> List { constr prec: 0 }
    op _ ; _ : Nat List -> List { constr prec: 41 }
    op map : List -> List { prec: 0 } }
  axioms {
    eq map(nil) = nil .
    eq map((E:Nat ; L:List)) = ((E + E) ; map(L)) . }
}
CafeOBJ> red in MAP(FN) : map(s 0 ; s s 0 ; nil) .
-- reduce in MAP(Z <= FN) : (map(((s 0) ; ((s (s 0)) ; nil)))):List
((s (s 0)) ; ((s (s (s (s 0)))) ; nil)):List
(0.000 sec for parse, 8 rewrites(0.000 sec), 13 matches)

```