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Termination Analysis for Innermost Rewriting via Transformations

By Park Netrakom

A thesis submitted to School of Information Science, Japan Advanced Institute of Science and Technology, in partial fulfillment of the requirements for the degree of Master of Information Science Graduate Program in Information Science

> Written under the direction of Associate Professor Nao Hirokawa

> > September, 2017

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Contents

Chapter 1 Introduction

Term rewriting is one of simple and powerful Turing-complete computational models, which underlies automated theorem proving (e.g. Vampire, Agda, Coq) and declarative programming languages (e.g. CafeOBJ, OCaml, Haskell). Termination is a fundamental property that guarantees the finiteness of computation steps, which most software should satisfy. Termination of entire software guarantees that the result can be computed in a finite time; without termination, the software may loop and become unresponsive. While in theorem proving termination of functions must be ensured.

In this chapter, we intend to provide a brief and informal introduction to term rewriting, termination, and transformation along with motivation on this thesis, while the detailed surveys of term rewriting are referred to [3, 21, 18]. Then, we mention our approach, overview, and contributions of this thesis.

1.1 Term Rewriting and Termination

Term rewriting is a computational model regarding directed equations as computation step. A term rewrite system (TRS) is defined as a set of directed equations.

Example 1. The term rewrite system \mathcal{R} consist of the following rules:

1:
$$
\mathbf{s}(x) + y \rightarrow \mathbf{s}(x + y)
$$

2: $\mathbf{0} + x \rightarrow x$

The term rewrite system R represents an additive program where a number is represented by successor of zero (e.g. 1 is $s(0)$ and 3 is $s(s(s(0)))$). Meanwhile, the execution of the program is simulated by rewriting steps.

Regarding to the TRS \mathcal{R} , we illustrate the execution step of $2 + 3$ by using the term rewrite system as following rewrite step:

$$
s(s(0))+s(s(s(0)))\rightarrow_{\mathcal R}s(s(0)+s(s(s(0))))\rightarrow_{\mathcal R}s(s(0+s(s(s(0)))))\rightarrow_{\mathcal R}s(s(s(s(s(0))))
$$

The term $s(s(s(s(0))))$ cannot match with any left-hand side of rules in R. Therefore, the execution ends.

The computational result, $s(s(s(s(0))))$ is called a normal form. However, in general, there is no guarantee that a system always admits a normal form, and also reaches a normal form even if it exists.

Example 2. We consider the term rewrite system \mathcal{R} consist of the following rules:

$$
1: f(a) \rightarrow f(a) 2: a \rightarrow b
$$

The TRS $\mathcal R$ is non-terminating due to the following rewrite sequence:

$$
\mathsf{f}(\mathsf{a}) \to_{\mathcal{R}} \mathsf{f}(\mathsf{a}) \to_{\mathcal{R}} \mathsf{f}(\mathsf{a}) \to_{\mathcal{R}} \cdots
$$

The TRS $\mathcal R$ in Example 2 is non-terminating. However, if we restrict ourselves to the innermost rewriting strategy then the system is innermost terminating.

It is wildly known that halting problem is undecidable. Since this model is one of the Turing-complete computational models, there exists a mapping from a Turing-machine to the corresponding rewrite system. Therefore, the termination property of a rewrite system is also undecidable. Moreover, the system from the result of the mapping algorithm [4] yields the particular property which is called uncurrying system. In 1996, Gramlich discover that termination and innermost termination of an uncurrying system are equivalent [12]. Therefore, the undecidability holds for innermost termination.

1.2 Transformation

Because of the importance of the termination property, there are many researchers attempt to extend decidable subclasses of terminating TRSs [2, 6, 8]. In contrast, there are fewer techniques for innermost termination analysis and even lesser for outermost termination analysis. The recent trend is using transformation techniques and attempting to solve the transformed system instead.

In this thesis, our interest is in Thiemann's transformation [23] which transforms an outermost termination problem into an innermost termination problem.

Example 3. Consider the term rewrite system \mathcal{R} consisting of the following rules:

1:
$$
f(f(g(x))) \rightarrow x
$$

2: $g(b) \rightarrow f(g(b))$

In fact, R has the outermost termination property. We prove it by using Thiemann's transformation. The transformation yields the following rewrite system \mathcal{R}^T over the signature $\{b^{(0)}, f^{(1)}, g^{(1)}, f_1^{(1)}\}$ $\mathfrak{g}_1^{(1)}, \mathfrak{g}_1^{(1)}$ $\mathbf{1}^{(1)}, \mathbf{\underline{f}}^{(1)}, \mathbf{\underline{g}}^{(1)}, \mathbf{\nabla}^{(1)}, \mathbf{\Delta}^{(1)}, \mathbf{\blacktriangledown_{f}^{(1)}}$ ${\mathbf v}_{\mathsf f}^{(1)}, {\mathbf v}_{\mathsf g}^{(1)}, {\mathbf A}^{(1)}, \mathsf{top}^{(1)} \}$:

1:
$$
\nabla(f(x)) \rightarrow \mathbf{v}_f(f(x))
$$

\n2:
$$
\nabla(g(x)) \rightarrow \mathbf{v}_g(g(x))
$$

\n3:
$$
\mathbf{v}_f(f(x)) \rightarrow f_1(\nabla(x))
$$

\n4:
$$
\mathbf{v}_g(g(x)) \rightarrow g_1(\nabla(x))
$$

\n5:
$$
f(f(g(x))) \rightarrow \mathbf{A}(x)
$$

\n6:
$$
\underline{g}(b) \rightarrow \mathbf{A}(f(g(b)))
$$

\n7:
$$
\mathbf{v}_f(\mathbf{A}(x)) \rightarrow \Delta(x)
$$

\n8:
$$
\mathbf{v}_g(\mathbf{A}(x)) \rightarrow \Delta(x)
$$

\n9:
$$
f_1(\Delta(x)) \rightarrow \Delta(f(x))
$$

\n10:
$$
g_1(\Delta(x)) \rightarrow \Delta(g(x))
$$

\n11:
$$
\text{top}(\Delta(x)) \rightarrow \text{top}(\nabla(x))
$$

For example, the outermost rewrite step of $\mathcal R$

$$
f(f(g(b))) \rightarrow_{\mathcal{R}} b
$$

corresponds to the four innermost rewrite steps of \mathcal{R}^T :

$$
\text{top}(\triangledown(f(f(g(b)))) \rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(f(f(g(b))))))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\blacktriangle(b)))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangle(b))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangledown(b))
$$

Since this correspondence generally holds, we can show outermost termination of the original system R by proving innermost termination of the transformed system \mathcal{R}^T . It is also known that the transformation is *complete*, meaning that \mathcal{R}^T is innermost terminating if R is outermost terminating.

Now the remaining question is whether one can show innermost termination of such a transformed rewrite system. The above example reveals a major problem of the approach: This kind of transformations significantly increases the complexity of term structure in rewrite rules. Unfortunately, even state-of-the-art termination provers tend to fail since it cannot analyze the complex terms and rewriting structures. For example, AProVE and TTT2, the 1st and 2nd places on the termination competition in 2015, fail to prove innermost termination of the above system \mathcal{R}^T .

1.3 Approach

This research aims to establish techniques for showing innermost termination of systems resulting from Thiemann's transformation. There are various transformation techniques for termination with specific strategies [10, 5]. Most of them result in rewrite systems similar to those of Thiemann's transformation.

There are two major problems of transformed systems. The first problem is that one rewrite step becomes many rewrite steps as seen in Example 3. Termination proofs are usually established by detecting decreasing parameters. However, the intermediate steps obfuscate the decreasingness. The second problem originates from the nature of innermost rewriting. Majority of existing termination techniques directly or indirectly employ the notion of reduction order, which does not fit for innermost termination proofs when the system is non-terminating.

In order to address these problems, we develop new transformation techniques. Exploiting type information, we resolve the first problem about the complexity of term structure. There is a technique to introduce many-sorts to untyped rewrite systems. As proved in the main part of the thesis, all rewrite systems induced by Thiemann's transformation admit (proper) many-sorted signatures. Based on the sort information, we can perform typebased reachability analysis which can be integrated with various termination techniques, such as dependency graphs [15], usable rules [13, 24], and simple freezing [14].

For handling the second problem, we introduce a transformation technique dubbed pattern separation. This transformation fills in the gap between ordinary rewrite step and innermost rewrite step, in the latter of which lacks the closure under substitutions. By using the aforementioned type introduction technique, pattern separation can be further improved.

Here we illustrate these techniques, contributions of this thesis. We start with type-based reachability analysis.

Example 4 (continued from Example 3). The next sort information can be attached to the transformed system \mathcal{R}^T .

$$
\left\{\begin{array}{c}\nabla:\alpha\to\beta\quad\Delta:\alpha\to\beta\quad\mathbf{f}:\alpha\to\delta\quad\mathbf{g}:\alpha\to\delta\\ \blacktriangledown_{\mathbf{f}}:\delta\to\beta\quad\blacktriangledown_{\mathbf{g}}:\delta\to\beta\quad\blacktriangle:\alpha\to\delta\quad\mathbf{b}:\alpha\\ \mathbf{f}:\alpha\to\alpha\quad\mathbf{g}:\alpha\to\alpha\quad\mathbf{f}_1:\beta\to\beta\quad\mathbf{g}_1:\beta\to\beta\\ \text{top}:\beta\to\gamma\end{array}\right\}
$$

Here we suppose that with other termination methods we succeeded in eliminate rules 5 and 6 from \mathcal{R}^T . Terms of form $top(\nabla(s))$ no longer reaches $top(\Delta(t))$ for any terms s and t. Our type-based reachability analysis can detect this unreachability in the following way: We interpret each term to a set of function symbols that may appear in reachable terms. This can be computed by using the rewrite system $\|\mathcal{R}^T\|$ on sets:

1:
$$
\{\nabla\} \rightsquigarrow \{\mathbf{v}_f, \underline{f}\}
$$
 2: $\{\nabla\} \rightsquigarrow \{\mathbf{v}_g, \underline{g}\}$
\n3: $\{\mathbf{v}_f, \underline{f}\} \rightsquigarrow \{f_1, \nabla\}$ 4: $\{\mathbf{v}_g, \underline{g}\} \rightsquigarrow \{g_1, \nabla\}$
\n7: $\{\mathbf{v}_f, \blacktriangle\} \rightsquigarrow \{\triangle\}$ 8: $\{\mathbf{v}_g, \blacktriangle\} \rightsquigarrow \{\triangle\}$
\n9: $\{f_1, \triangle\} \rightsquigarrow \{\triangle\}$ 10: $\{g_1, \triangle\} \rightsquigarrow \{\triangle\}$
\n11: $\{\text{top}, \triangle\} \rightsquigarrow \{\text{top}, \nabla\}$

Because our terms are sorted, the interpretation of $top(\nabla(s))$, say A, does not contain the symbol Δ , and moreover the set A cannot reach a set containing Δ by using $\|\mathcal{R}^T\|$. This is sufficient to conclude the announced unreachability. The information of unreachability is used for the computation of the *dependency graph* [15]. Although we omit its explanation here, this technique now shows innermost termination of \mathcal{R}^T . We provide details of typebased reachability analysis in Chapter 3.

Our running example can also be handled by pattern separation, which is another our contribution.

Example 5 (continued from Example 3). Applying the optimization version of pattern

separation on the TRS \mathcal{R}^T , The following TRS $\mathcal{S}_{op}(\mathcal{R}^T)$ is obtained:

Pattern separation replaces rule 3 of \mathcal{R}^T by its instantiated versions rules 3.1 – 3.8. The same idea applies to rules 4.

Innermost termination of the resulting system can be shown by existing termination provers (such as AProVE and TTT2). We want to stress that the character of innermost rewrite step has been changed by the separation: As shown in the thesis, the final system even has the termination property.

As shown by the experiments, in Chapter 6 our pattern separation technique is helpful for proving innermost termination of transformed systems. However, the condition to obtain a transformed system as an overlay system remains unclear, and this will be a future work.

1.4 Overview and Contributions

The thesis is organized as follows: In Chapter 2 we introduce background knowledge of term rewrite system in a formal way which includes definitions, notions, and notations. We propose the discovered property of a transformed system resulting from Thiemann's algorithm along with type-based reachability analysis in Chapter 3. Type-based reachability analysis is a reachability analysis based on type information of the system. In Chapter 4 we introduce *pattern separation* technique in two version. First with the basic version and then following with the optimized version which is exploited type information. The techniques have been implemented and tested. Chapter 5 reports experimental results where we compare transformation results of pattern separation using testing result on existing termination tools. Finally, we conclude the thesis and discuss related work and future work in Chapter 6.

Here is the list of our contributions:

- analysis of types for Thiemann's transformation,
- type-based reachability analysis,
- $\bullet\,$ a pattern separation technique, and
- $\bullet\,$ the optimized version of pattern separation.

Chapter 2

Term Rewriting

This chapter aims at describing background knowledge for this thesis. Term rewriting is a computational model which is based on directed equations on terms. Recalling basic notions for terms and relations, we define term rewrite systems and related computational properties. For detailed surveys of term rewriting, we refer to [3, 21, 18].

2.1 Term Rewrite Systems

We start with the definition of terms, which are built from function symbols and variables. Every function symbol f is associated with a natural number n , called *arity*, and we may write $f^{(n)}$ to indicate the arity of f. The arity stands for the number of arguments of the function.

Definition 1. Let $\mathcal F$ be a set of function symbols and let $\mathcal V$ be a countably infinite set of variables. The set $\mathcal{T}(\mathcal{F}, \mathcal{V})$ of terms is inductively defined as follows:

- If $x \in \mathcal{V}$ then $x \in \mathcal{T}(\mathcal{F}, \mathcal{V})$.
- If $f^{(n)} \in \mathcal{F}$ and $t_1, \ldots, t_n \in \mathcal{T}(\mathcal{F}, \mathcal{V})$ then $f(t_1, \ldots, t_n) \in \mathcal{T}(\mathcal{F}, \mathcal{V})$.

The set $Var(t)$ of variables in a term t is defined as follows:

$$
\mathcal{V}\mathsf{ar}(t) = \begin{cases} \{t\} & \text{if } t \in \mathcal{V} \\ \bigcup_{i=1}^{n} \mathcal{V}\mathsf{ar}(t_i) & \text{if } t = f(t_1, \dots, t_n) \end{cases}
$$

A term t is called ground if $Var(t) = \emptyset$. The set of all ground terms is denoted by $\mathcal{T}(\mathcal{F})$.

Definition 2. A term is called *linear* if no variable occurs more than once in the term.

Example 6. Let $\mathcal{F} = \{+(2^2, s^{(1)}, 0^{(0)}\}$ and $x, y \in \mathcal{V}$. While the term $s(0) + 0$ is ground, the term $t = s((x + 0) + s(s(0)))$ is not as $Var(t) = \{x\}.$

Definition 3. The root symbol of a term t is defined as follows:

$$
\text{root}(t) = \begin{cases} t & \text{if } t \in \mathcal{V} \\ f & \text{if } t = f(t_1, \dots, t_n) \end{cases}
$$

Definition 4. A *position* is a finite sequence of positive integers. The set of positions in a term t inductively defined as follows:

$$
\mathcal{P}\mathsf{os}(t) = \begin{cases} \{\epsilon\} & \text{if } t \in \mathcal{V} \\ \{\epsilon\} \cup \{ip \mid 1 \leq i \leq n \text{ and } p \in \mathcal{P}\mathsf{os}(t_i)\} & \text{if } t = f(t_1, \dots, t_n) \end{cases}
$$

The empty sequence, denoted by ϵ , represents the root position. We define useful notions for positions: Let p and q be positions. If $pr = q$ for some position r then we say that p is above q, or q is below p and write $p \leq q$. If $p \leq q$ and $p \neq q$ then we write $p < q$.

Example 7. The term $t = s((x + 0) + s(s(0)))$ can be represented as a tree structure. In the figure, each node is a function symbol, and subscriptions indicate the position.

Definition 5. A *subterm* of a term t at position $p \in \mathcal{P}$ **os** (t) is denoted by $t|_p$, which is formally defined as follows:

$$
s|_{p} = \begin{cases} s & \text{if } p = \epsilon \\ t_{i}|_{q} & \text{if } p = iq \text{ and } s = f(t_{1}, \ldots, t_{i}, \ldots, t_{n}) \end{cases}
$$

We say that t is a subterm of s if there exists $p \in \mathcal{P}$ os(s) such that $s|_p = t$. We denote this relation of t and s by $t \leq s$. Moreover, we call t a proper subterm $(t \leq s)$ if $p \neq \epsilon$.

Example 8. The proper subterms of term $s = s((x + 0) + s(s(0)))$ are $s|_{111} = x$, $s|_{112} =$ $s|_{1211} = 0$, $s|_{11} = x + 0$, $s|_{12} = s(s(0))$, $s|_{121} = s(0)$, and $s|_{1} = (x + 0) + s(s(0))$. Note that subterms of s include s itself.

Definition 6. Let s, t be terms and $p \in \mathcal{P}$ os(s). The term which is obtained from s by replacing the subterm of s at position p with term t is denoted by $s[t]_p$ and formally defined as follows:

$$
s[t]_p = \begin{cases} t & \text{if } p = \epsilon \\ f(s_1, \dots, s_i[t]_q, \dots, s_n) & \text{if } s = f(s_1, \dots, s_i, \dots, s_n) \text{ and } p = iq \end{cases}
$$

For convenience, contexts of a term are defined in the same way as terms containing a special fresh constant symbol \square , named *hole*.

Definition 7. A context is a term in $\mathcal{T}(F \cup \{\Box\}, V)$ such that the hole symbol occur in the term exactly once. The term which is obtained from replacing the hole symbol in a context C with a term t is denoted by $C[t]$ and formally defined as follows:

$$
C[t] = \begin{cases} t & \text{if } C = \square \\ f(t_1, \dots, C'[t], \dots, t_n) & \text{if } C = f(t_1, \dots, C', \dots, t_n) \end{cases}
$$

where C' is a context.

The difference between a nullary function symbol (i.e. constant) and a variable is that the variable can be substituted with a term.

Definition 8. Let V be a countably infinite set. A substitution is a mapping from V to $\mathcal{T}(\mathcal{F}, \mathcal{V})$, denoted as a set of variable bindings $\{x_1 \mapsto t_1, \ldots, x_n \mapsto t_n\}$. A term t and a substitution σ , the term $t\sigma$ is an instance of t, denoted by $t \geq t\sigma$. The term $t\sigma$ is defined as follows:

$$
t\sigma = \begin{cases} \sigma(t) & \text{if } t \in \mathcal{V} \\ f(t_1\sigma, \dots, t_n\sigma) & \text{if } t = f(t_1, \dots, t_n) \end{cases}
$$

A substitution σ is *more general* than a substitution σ' whenever $\sigma' = \delta \sigma$ for some substitution δ . The relation is denoted by $\sigma \leq \sigma'$.

Equation solving in the area of term algebra is centered around unification. In term algebra, satisfiability is called unifiability and solutions are called unifiers.

Definition 9. Let s and t be terms. We say s and t are unifiable if there exists a substitution σ such that $s\sigma = t\sigma$. This substitution is called *unifier* of s and t. The most *general unifier (mgu)* is a unifier σ such that $\sigma \leq \sigma'$ for all unifiers σ' of them.

Example 9. The terms $(x+0) + s(s(y))$ and $(s(0)+0) + s(z)$ are unifiable. Substitution ${x \mapsto s(0), z \mapsto s(0), y \mapsto 0}$ is one of their unifiers, while the most general unifier is ${x \mapsto s(0), z \mapsto s(y)}.$

Now we are ready to define term rewrite systems and rewrite steps.

Definition 10. A pair (ℓ, r) of terms with $\ell \notin V$ and $Var(r) \subseteq Var(\ell)$ is called a rewrite rule. Such a pair is often denoted by $\ell \to r$. A term rewrite system (TRS) is a set of rewrite rules.

Example 10. The following set is an instance of TRSs.

$$
\mathcal{R} = \left\{ \begin{array}{c} \mathsf{s}(x) + y \to \mathsf{s}(x+y) \\ 0 + x \to x \end{array} \right\}
$$

Definition 11. Let R be a TRS over a signature F. A function symbol $f \in F$ is called a defined symbol if there exists a rewrite rule $\ell \to r \in \mathcal{R}$ such that $f = \text{root}(\ell)$. The subset of F consisting of all defined symbols is denoted by $\mathcal{F}_{\mathcal{D}}$. The subset of F consisting of all non-defined symbols is denoted by $\mathcal{F}_{\mathcal{C}}$.

Definition 12. Let R be a TRS. We define function $\text{lh}_s(\mathcal{R})$ and $\text{rh}_s(\mathcal{R})$ as follow:

$$
lhs(\mathcal{R}) = \{ \ell \mid \ell \to r \in \mathcal{R} \} \qquad \text{rhs}(\mathcal{R}) = \{ r \mid \ell \to r \in \mathcal{R} \}
$$

We call a TRS $\mathcal R$ is left-linear if every term in $\text{lk}(\mathcal R)$ are linear. A right-linear TRS is defined in the same way.

Definition 13. Let $\mathcal F$ be a signature and let $\mathcal V$ be a set of variables. The rewrite relation $\rightarrow_{\mathcal{R}}$ on terms is defined as the smallest set such that if $\ell \rightarrow r \in \mathcal{R}$ then $C[\ell \sigma] \rightarrow_{\mathcal{R}} C[r\sigma]$ for all contexts C and substitutions σ .

Example 11. Consider the TRS \mathcal{R} in Example 10. The term $s(s(s(0)) + s(x))$ is rewritten in the following way:

$$
s(s(s(0)) + s(x)) \rightarrow_{\mathcal{R}} s(s(s(0) + s(x)))
$$

$$
\rightarrow_{\mathcal{R}} s(s(s(0 + s(x))))
$$

$$
\rightarrow_{\mathcal{R}} s(s(s(s(x))))
$$

Next, we will introduce some basic symbols which are often combined with rewrite relations. Here we omit $\mathcal R$ and use only \rightarrow for rewrite relation.

Definition 14. Given two relations A and B, their composition $A \circ B$ is the set of pairs (x, z) which satisfied $(x, y) \in A$ and $(y, z) \in B$ for some y. The following notions are defined on composition of rewrite relation with itself.

The following terminologies are used for describing relations between terms.

- x is reducible or a redex if there exists y such that $x \to y$.
- x is in a normal form if x is not reducible.
- y is a normal form of x if $x \to^* y$ and y is in normal form.

Example 12. Consider TRS \mathcal{R} in the Example 10.

- $s(s(s(0)) + s(x))$ is reducible
- $s(s(s(s(x))))$ is in normal form
- $s(s(s(s(x))))$ is a normal form of $s(s(s(0)) + s(x))$

Definition 15. Given a TRS \mathcal{R} and two terms s and t, we say that s reaches t if there are substitutions σ and τ such that $s\sigma \to_{\mathcal{R}}^* t\tau$. We denote it by $s \hookrightarrow_{\mathcal{R}} t$.

Definition 16. A term s overlaps with a term t if s is unifiable with a non-variable subterm of t. A TRS is called an *overlay system* if overlaps between rules occur only at the root position.

2.2 Many-sorted Term Rewrite Systems

In this section, we consider terms structure equipped with sort (i.e. type) information. We restrict sort information to every function symbol in the following way, each function has a sort and expects sort of each argument of the function. From here on, we denote $\mathcal S$ is a set of sort symbols.

Definition 17. An S-sorted signature $\mathcal F$ is consisted of the set of sort symbols $\mathcal S$, the signature $\mathcal F$ and the sort of function symbol and expected sorts for its arguments written as a set of:

$$
f: \alpha_1 \times \cdots \times \alpha_n \to \beta
$$

where $f \in \mathcal{F}, \alpha_1, \ldots, \alpha_n, \beta \in \mathcal{S}$, and n is a number of the arguments in f. We say that f has sort β

Example 13. Let $S = {\alpha, \beta, \gamma}$. The next set forms a sorted signature:

$$
\mathcal{F} = \left\{ \begin{array}{ll} 0: \alpha & 1: \alpha \\ \mathbf{g}: \alpha \to \beta & \mathbf{h}: \beta \to \beta \\ \mathbf{f}: \beta \to \gamma \end{array} \right\}
$$

Definition 18. We define function $st : \mathcal{F} \to \mathcal{S}$ and $ar : \mathcal{F} \times \mathbb{N} \to \mathcal{S}$ as follow:

$$
\mathsf{st}(f) = \beta \qquad \mathsf{ar}(f, i) = \alpha_i
$$

where $f : \alpha_1 \times \cdots \times \alpha_n \to \beta$ and $i \in \{1, \ldots, n\}.$

Definition 19. The sort of a term is defined as the sort of root symbol of the term, so we have sort $(t) = st(root(t))$, and we may write t^{α} to indicate that the sort of t is α . We inductively define the set of *well-sorted* terms $W\mathcal{T}(\mathcal{F}, V)$ as follows:

• If $x \in \mathcal{V}$ then $x \in \mathcal{WT}(\mathcal{F}, \mathcal{V})$.

• If $f^{(n)} \in \mathcal{F}, t_1, \ldots, t_n \in \mathcal{WT}(\mathcal{F}, \mathcal{V})$ and $\mathsf{ar}(f, i) = \mathsf{sort}(t_i)$ for all $1 \leq i \leq n$ such that then $f(t_1, \ldots, t_n) \in \mathcal{WT}(\mathcal{F}, \mathcal{V})$.

Definition 20. We say that S-sorted signature F is *compatible* with TRS R if the following restrictions hold for all $\ell \to r \in \mathcal{R}$

- $\ell \in \mathcal{WT}(\mathcal{F}, \mathcal{V})$ and $r \in \mathcal{WT}(\mathcal{F}, \mathcal{V})$
- sort (ℓ) = sort (r)

Example 14. Consider the TRS \mathcal{R} consisting of the following rule:

$$
\mathcal{R} = \left\{ \begin{array}{c} f(g(x)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array} \right\}
$$

The following S-sorted signature are compatible with TRS $\mathcal R$

$$
\left\{\n\begin{array}{l}\n0:\alpha & 1:\alpha \\
g:\alpha \to \beta & h:\beta \to \beta \\
f:\beta \to \gamma\n\end{array}\n\right\}\n\qquad\n\left\{\n\begin{array}{l}\n0:\alpha & 1:\alpha \\
g:\alpha \to \alpha & h:\alpha \to \alpha \\
f:\alpha \to \alpha\n\end{array}\n\right\}
$$

Unsorted TRSs are regarded as one-sorted TRSs. The technique to attach sort information to a TRS is called *type introduction* [27], resulting in the left set. This process can be done by initializing all distinct sorts for every function symbols and find a compatible many-sorted signature while forcing minimal sorts to be equal. However, TRS without sort information can be considered as a single sorted-signature, which is shown in the right set.

2.3 Termination

Termination is an important property of term rewrite systems. Unfortunately, it is known to be undecidable. However, there are many researches on automated termination analysis such as dependency pairs [2], matrix interpretations [6] and polynomial interpretations [8].

In this section, we explain the reduction order, which is an important tool for proving termination of rewrite systems. To prove termination of a rewrite system, we find an appropriate reduction order for the system. Thus, various reduction orders have been invented and widely used for proving termination. First, we start with the definition of termination of rewrite systems.

Definition 21. A relation \rightarrow is terminating if there is no infinite sequence.

$$
a_0 \to a_1 \to a_2 \to \cdots
$$

Definition 22. A TRS \mathcal{R} is terminating if $\rightarrow_{\mathcal{R}}$ is terminating.

It is easy to imagine how to prove that a TRS is non-terminating. Simply, we try to find a witness of infinite rewrite sequence.

Example 15. Consider the TRS \mathcal{R} :

$$
\left\{\begin{array}{c} f(g(x)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array}\right\}
$$

There exists the following infinite rewrite sequence.

$$
f(g(x)) \rightarrow_{\mathcal{R}} f(g(0)) \rightarrow_{\mathcal{R}} f(g(0)) \cdots
$$

Definition 23. An order $>$ is said to be well-founded order over a set A if and only if for all nonempty subsets S of A there exists $m \in S$ such that $m \nless s$ for all $s \in S$.

The basic idea to prove termination of TRS \mathcal{R} is to find a well-founded order $>$ on terms that is compatible with the rewrite relation $\rightarrow_{\mathcal{R}}$ (i.e. if $s \rightarrow_{\mathcal{R}} t$ then $s > t$ for all terms s, t). The motivation of reduction order definition is to find an order that capable of proving termination while checking only $\ell > r$ for finitely many rules $\ell \to r \in \mathcal{R}$ rather than $s > t$ for infinitely many pairs s, t with $s \to_{\mathcal{R}} t$. However, the order must satisfy additional properties.

Definition 24. A reduction order is a well-founded order $>$ that

• is *closed under contexts*:

for every term s, t and context C, if $s > t$ then $C[s] > C[t]$, and

• is closed under substitutions:

for every term s, t and substitution σ , if $s > t$ then $s\sigma > t\sigma$.

Theorem 1. A TRS \mathcal{R} is terminating if and only if there exists a reduction order $>$ such that $\ell > r$ for all $\ell \to r \in \mathcal{R}$.

In the next example, we introduce a simple reduction order based on size of terms and a number of variables occurrences.

Example 16. The strict order $>$ on $\mathcal{T}(\mathcal{F}, \mathcal{V})$ defined

 $s > t$ if and only if $|s| > |t|$ and, $|s|_{x} \geq |t|_{x}$ for all variables x

forms a reduction order. The following TRS R can be shown terminating by this reduction order

$$
\mathcal{R} = \{0 + x \to x\}
$$

Since $|0 + x| = 3 > 1 = |x|$ and $|0 + x|_x = 1 \ge 1 = |x|_x$. Therefore, Theorem 1 applies.

Here, we introduce dependency pairs [2] and dependency graphs [15].

Definition 25. Let \mathcal{R} be a TRS over a signature \mathcal{F} and $\mathcal{F}^{\sharp} = \mathcal{F} \uplus \{f^{\sharp(n)} | f^{(n)} \in \mathcal{F}_{\mathcal{D}}\}.$ The set of dependency pairs of R is denoted by $DP(R)$ and formally defined as follows:

$$
\mathsf{DP}(\mathcal{R}) = \{ \ell^{\sharp} \to u^{\sharp} \mid u \leq r \text{ and } \mathsf{root}(u) \in \mathcal{F}_{\mathcal{D}} \text{ and } u \ntriangleleft \ell \text{ and } \ell \to r \in \mathcal{R} \}
$$

where, if $u = f(u_1, \ldots, u_n)$ then $u^{\sharp} = f^{\sharp}(u_1, \ldots, u_n)$.

Definition 26. Let \mathcal{R} be a TRS. A dependency graphs $DG(\mathcal{R})$ is the directed graph whose vertexes set is $DP(\mathcal{R})$ and edges set E is given by the following condition:

 $(s \to t, u \to v) \in E$ if and only if $t \hookrightarrow_{\mathcal{R}} u$

Theorem 2. A TRS \mathcal{R} is terminating if $DG(\mathcal{R})$ contains no cycle¹.

2.4 Innermost and Outermost Strategies

In Example 15, we saw that the following TRS is non-terminating.

$$
\left\{\begin{array}{c} \mathsf{f}(\mathsf{g}(x)) \to \mathsf{f}(\mathsf{g}(0)) \\ \mathsf{g}(0) \to \mathsf{h}(\mathsf{g}(1)) \end{array}\right\}
$$

However, this TRS is innermost terminating. In most of the cases, a system requires only termination under some strategies. We do not need the full termination property for the system.

The innermost strategy is one of the various rewriting strategies. The idea of innermost rewriting strategy is at the rewritable position there is no other rewritable position below. Termination of rewrite systems may depend on a rewrite strategy. Unfortunately, the undecidability still remains, even termination under rewriting strategies.

Example 17. Consider the TRS consisting the following rules:

$$
\begin{array}{c} f(a) \to f(a) \\ a \to b \end{array}
$$

This is a simple system that innermost terminating but not terminating in general.

Definition 27. Let R be a TRS. The *innermost step* $\frac{i}{\lambda}$ is defined as follows: $s \stackrel{i}{\rightarrow}$ _R t if there is a rule $\ell \to r \in \mathcal{R}$, a context C, and a substitution σ such that $s = C[\ell \sigma],$ $t = C[r\sigma]$ and all proper subterms of $\ell\sigma$ are in normal form.

Definition 28. A TRS \mathcal{R} is innermost terminating if $\stackrel{i}{\rightarrow}_{\mathcal{R}}$ is terminating.

There is an important theorem on a relation between terminating and innermost terminating that inspire our work. The theorem was discovered by Masahiko Sakai [20].

¹A non-empty set (v_1, \ldots, v_n) of vertexes is a cycle if $v_1 Ev_2E \cdot Ev_nEv_1$

Theorem 3. A Right-Linear Overlay system is terminating if and only if it is innermost terminating.

The idea of outermost rewriting strategy is at the rewritable position there is no other rewritable position above.

Example 18. Consider the TRS consisting the following rules:

$$
\mathsf{a} \to \mathsf{f}(\mathsf{a})
$$

$$
\mathsf{f}(\mathsf{a}) \to \mathsf{b}
$$

This is a simple system that outermost terminating but not terminating in general.

Definition 29. Let R be a TRS. The *outermost step* $\stackrel{o}{\to}_{\mathcal{R}}$ is defined as follows: $s \stackrel{o}{\to}_{\mathcal{R}} t$ if there are a rule $\ell \to r \in \mathcal{R}$, a context C, a position p, and a substitution σ such that $s = C[\ell \sigma], t = C[r\sigma], s|_p = \ell \sigma$ and for all $q \in \mathcal{P}$ os(s) such that if $q < p$ then $s|_q$ is not a redex.

Definition 30. A TRS R is *outermost terminating* if $\frac{\circ}{\gamma_R}$ is terminating.

However, only a few techniques are available to analyze outermost termination directly. One way to prove outermost termination is to transform a system to prove it as innermost termination. The technique was proposed by Thiemann in 2009 [23].

2.5 Thiemann's Transformation

This work is concerned with Thiemann's transformation because of two reasons. First, transformed systems are highly different from hand-crafted systems. Systems resulting from transformations are consist of heavily mutual recursion and deeply nested functions. Second, transformed systems have a nice property when applying type information. Here, we start with Thiemann's transformation.

Definition 31. Let \mathcal{R} be a TRS. The transformed TRS \mathcal{R}^{T} consists of following rules:

$$
\nabla(d(x_1,...,x_n)) \rightarrow \mathbf{v}_d(\underline{d}(x_1,...,x_n)) \n\mathbf{v}_f(\underline{f}(x_1,...,x_m)) \rightarrow f_i(x_1,...,\nabla(x_i),...,x_m) \n\underline{g}(\ell_1,...,\ell_n) \rightarrow \mathbf{A}(r) \n\mathbf{v}_d(\mathbf{A}(x)) \rightarrow \Delta(x) \n h_i(x_1,...,\Delta(x_i)...,x_m) \rightarrow \Delta(h(x_1,...,x_m)) \n\operatorname{top}(\Delta(x)) \rightarrow \operatorname{top}(\nabla(x)) \n\nabla(c(x_1,...,x_m)) \rightarrow c_i(x_1,...,\nabla(x_i),...,x_m) \n\nabla(a) \rightarrow \Delta(r)
$$

where $d^{(n)}, f^{(m)} \in \mathcal{F}_{\mathcal{D}}, c^{(m)} \in \mathcal{F}_{\mathcal{C}}, h^{(m)} \in \mathcal{F}, a^{(0)} \to r, g(\ell_1, \ldots, \ell_n) \to r \in \mathcal{R}, n > 0$ and $i \in \{1 \dots m\}.$

Theorem 4. TRS \mathcal{R} is outermost terminating if and only if \mathcal{R}^T is innermost terminating.

We illustrate the transformation with an example.

Example 19. In the running example, we consider the TRS \mathcal{R} consisting of the following rule:

$$
\mathcal{R} = \left\{ \begin{array}{c} f(f(g(x))) \to x \\ g(b) \to f(g(b)) \end{array} \right\}
$$

The transformed TRS \mathcal{R}^T results as:

$$
\mathcal{R}^T = \left\{\begin{array}{ccc} \nabla(\mathbf{f}(x)) \rightarrow \mathbf{v}_{\mathbf{f}}(\underline{\mathbf{f}}(x)) & \nabla(\mathbf{g}(x)) \rightarrow \mathbf{v}_{\mathbf{g}}(\underline{\mathbf{g}}(x)) \\ \nabla_{\mathbf{f}}(\underline{\mathbf{f}}(x)) \rightarrow \mathbf{f}_1(\nabla(x)) & \nabla_{\mathbf{g}}(\underline{\mathbf{g}}(x)) \rightarrow \mathbf{g}_1(\nabla(x)) \\ \n\underline{\mathbf{f}}(\mathbf{f}(\mathbf{g}(x))) \rightarrow \mathbf{A}(x) & \n\underline{\mathbf{g}}(\mathbf{b}) \rightarrow \mathbf{A}(\mathbf{f}(\mathbf{g}(\mathbf{b}))) \\ \nabla_{\mathbf{f}}(\mathbf{A}(x)) \rightarrow \Delta(x) & \nabla_{\mathbf{g}}(\mathbf{A}(x)) \rightarrow \Delta(x) \\ \nabla_{\mathbf{f}}(\Delta(x)) \rightarrow \Delta(\mathbf{f}(x)) & \nabla_{\mathbf{f}}(\Delta(x)) \rightarrow \Delta(\mathbf{g}(x)) \\ \n\operatorname{top}(\Delta(x)) \rightarrow \operatorname{top}(\nabla(x)) \end{array}\right\}
$$

Next, we provide illustration of rewrite sequences of \mathcal{R} and \mathcal{R}^T . The outermost rewrite step of R

$$
f(f(g(b))) \rightarrow_{\mathcal{R}} b
$$

corresponds to the four innermost rewrite steps of \mathcal{R}^T :

$$
\text{top}(\triangledown(f(f(f(g(b)))) \rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(f(f(g(b))))))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\blacktriangle(b)))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangle(b))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangledown(b))
$$

Since this correspondence generally holds, we can show outermost termination of the original system R by proving innermost termination of the transformed system \mathcal{R}^T . It is also known that the transformation is *complete*, meaning that if \mathcal{R}^T is innermost terminating then R is outermost terminating.

It is obvious that the TRS $\mathcal R$ in Example 19 is outermost terminating. However, innermost termination of the transformed system cannot be proved with AProVE [9] or TTT2 [16]. In this thesis, we will propose pattern separation technique which further transforms the system and makes the innermost termination provable.

Chapter 3

Type-based Reachability Analysis

In this chapter, we introduce *type-based reachability analysis* which exploits sort information to analyze systems. The idea of this technique is to map a term into a set and check subset relation for analyzing reachability. First of all, we will explain the motivation and follow with related definitions.

3.1 Typing Transformed Systems

Considering Thiemanns transformation [23], if one applies type introduction [27] to a transformed system, original function symbols in the transformed system can be distinguished which inspire the technique we propose in this thesis. Given a unsorted TRS, type introduction tries to find a typing in such a way that all rules are well-typed. This can be done systematically in a way similar to unification; in this way, a sorted TRS is obtained.

Theorem 5. Suppose that \mathcal{R} is a TRS over a signature \mathcal{F} and the result of type introduction on \mathcal{R}^T is \mathcal{F}' . Then the following equality holds.

$$
\{\mathsf{st}(f) \mid f \in \mathcal{F}\} \cap \{\mathsf{st}(g) \mid g \in \mathcal{F}' \setminus \mathcal{F}\} = \varnothing
$$

Proof. Since type induction yields the most general result, if there exists a sorted signature which satisfies Theorem 5 then the sorted signature induce by type introduction also satisfies the equality. Let R be an arbitrary TRS. We construct a sorted signature which is compatible with the transformed system and satisfied the claim by attaching sorts into the definition of transformation.

$$
\nabla (d(x_1^{\alpha},...,x_n^{\alpha})^{\alpha})^{\beta} \longrightarrow \nabla_d (\underline{d}(x_1^{\alpha},...,x_n^{\alpha})^{\delta})^{\beta}
$$
\n
$$
\nabla_f (\underline{f}(x_1^{\alpha},...,x_n^{\alpha})^{\delta})^{\beta} \longrightarrow f_i(x_1^{\alpha},...,x_n^{\alpha})^{\delta})^{\beta}
$$
\n
$$
\underline{g}(\ell_1^{\alpha},..., \ell_n^{\alpha})^{\delta} \longrightarrow \nabla(\ell_1^{\alpha})^{\delta}
$$
\n
$$
\nabla_d (\blacktriangle(x^{\alpha})^{\delta})^{\beta} \longrightarrow \nabla(\ell_1^{\alpha})^{\beta}
$$
\n
$$
h_i(x_1^{\alpha},..., \triangle(x_i^{\alpha})^{\beta}...,x_m^{\alpha})^{\beta} \longrightarrow \nabla(h(x_1^{\alpha},...,x_m^{\alpha})^{\alpha})^{\beta}
$$
\n
$$
\nabla(\ell_1^{\alpha},..., \ell_n^{\alpha})^{\alpha})^{\beta} \longrightarrow \nabla(\ell_1^{\alpha},..., \ell_n^{\alpha})^{\beta})^{\gamma}
$$
\n
$$
\nabla(\ell_2^{\alpha},..., \ell_m^{\alpha})^{\alpha})^{\beta} \longrightarrow c_i(x_1^{\alpha},..., \nabla(x_i^{\alpha})^{\beta},..., x_m^{\alpha})^{\beta}
$$
\n
$$
\nabla(\ell_3^{\alpha})^{\beta} \longrightarrow \nabla(\ell_1^{\alpha})^{\beta}
$$
\n
$$
\rightarrow \nabla(\ell_1^{\alpha})^{\beta}
$$

where $d^{(n)}, f^{(m)} \in \mathcal{F}_{\mathcal{D}}, c^{(m)} \in \mathcal{F}_{\mathcal{C}}, h^{(m)} \in \mathcal{F}, a^{(0)} \to r, g(\ell_1, \ldots, \ell_n) \to r \in \mathcal{R}, n > 0$ and $i \in \{1 \dots m\}$. We can guarantee that ℓ_1, \dots, ℓ_n and r are well-sorted terms because all functions from the original system are of type α . From the construction above, the set of all sorts of new symbols is $\{\beta, \gamma, \delta\}$ and the set of sorts of original symbols is $\{\alpha\}$ are disjoint. \Box

Example 20. Let signature $\mathcal{F} = \{f, g, b\}$, and let TRS \mathcal{R} be the following system.

$$
\mathcal{R} = \left\{ \begin{array}{c} f(f(g(x))) \to x \\ g(b) \to f(g(b)) \end{array} \right\}
$$

The transformed system \mathcal{R}^T results as

$$
\left\{\begin{array}{ll}\nabla(f(x)) \to \mathbf{v}_f(\underline{f}(x)) & \nabla(g(x)) \to \mathbf{v}_g(\underline{g}(x)) \\
\hline\n\mathbf{v}_f(\underline{f}(x)) \to f_1(\nabla(x)) & \mathbf{v}_g(\underline{g}(x)) \to g_1(\nabla(x)) \\
\underline{f}(f(g(x))) \to \mathbf{A}(x) & \underline{g}(b) \to \mathbf{A}(f(g(b))) \\
\hline\n\mathbf{v}_f(\mathbf{A}(x)) \to \Delta(x) & \mathbf{v}_g(\mathbf{A}(x)) \to \Delta(x) \\
f_1(\Delta(x)) \to \Delta(f(x)) & g_1(\Delta(x)) \to \Delta(g(x)) \\
\hline\n\text{top}(\Delta(x)) \to \text{top}(\nabla(x)) & & \\
\end{array}\right\}
$$

The signature of \mathcal{R}^T is $\mathcal{F}' = \{\nabla, \mathbf{v}_f, \mathbf{v}_g, \mathbf{v}_f, \mathbf{v}_g, f_1, g_1, \text{top}, f, g, b\}$. The following sort information is obtained from applying type introduction on \mathcal{R}^T

$$
\left\{\begin{array}{c}\n\nabla : \alpha \rightarrow \beta & \Delta : \alpha \rightarrow \beta \\
\underline{\mathbf{f}} : \alpha \rightarrow \delta & \underline{\mathbf{g}} : \alpha \rightarrow \delta \\
\nabla_{\mathbf{f}} : \delta \rightarrow \beta & \nabla_{\mathbf{g}} : \delta \rightarrow \beta \\
\blacktriangle : \alpha \rightarrow \delta & \mathbf{b} : \alpha \\
\underline{\mathbf{f}} : \alpha \rightarrow \alpha & \underline{\mathbf{g}} : \alpha \rightarrow \alpha \\
\mathbf{f} : \beta \rightarrow \beta & \mathbf{g}_1 : \beta \rightarrow \beta \\
\text{top} : \beta \rightarrow \gamma\n\end{array}\right\}
$$

So we have $\{st(g) | g \in \mathcal{F}' \setminus \mathcal{F}\} = \{\beta, \gamma, \delta\}$ and $\{st(f) | f \in \mathcal{F}\} = \{\alpha\}.$ Therefore, the set of original symbols sorts $\{st(f) | f \in \mathcal{F}\}\$ and the set of new symbols sorts $\{st(g) | g \in \mathcal{F}\}\$ $\mathcal{F}' \setminus \mathcal{F}$ are disjoint.

3.2 Type-based Reachability

It is well known that reachability is undecidable in general [21]. Therefore, reachability analysis mostly based on over-approximation technique. The next definition shows how one can approximate a term over a sorted signature to a set. Note that, sorted signature can be given to a standard TRS by type inference.

Example 21. Consider the running example TRS \mathcal{R}^T which consisting of the following rules:

1:
$$
\nabla(f(x)) \rightarrow \mathbf{v}_f(f(x))
$$
 2:
$$
\nabla(g(x)) \rightarrow \mathbf{v}_g(g(x))
$$

3:
$$
\mathbf{v}_f(f(x)) \rightarrow f_1(\nabla(x))
$$
 4:
$$
\mathbf{v}_g(g(x)) \rightarrow g_1(\nabla(x))
$$

5:
$$
\underline{f}(f(g(x))) \rightarrow \mathbf{A}(x)
$$
 6:
$$
\underline{g}(b) \rightarrow \mathbf{A}(f(g(b)))
$$

7:
$$
\mathbf{v}_f(\mathbf{A}(x)) \rightarrow \Delta(x)
$$
 8:
$$
\mathbf{v}_g(\mathbf{A}(x)) \rightarrow \Delta(x)
$$

9:
$$
f_1(\Delta(x)) \rightarrow \Delta(f(x))
$$
 10:
$$
g_1(\Delta(x)) \rightarrow \Delta(g(x))
$$

11:
$$
\operatorname{top}(\Delta(x)) \rightarrow \operatorname{top}(\nabla(x))
$$

We use the following substitutions

- $\sigma = \{x \mapsto f(f(g(b)))\}$
- $\tau = \{y \mapsto b\}$

and following rewrite step as a witness to show term $top(\nabla(x))$ reach term $top(\Delta(y))$.

$$
\text{top}(\triangledown(f(f(g(b)))) \rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\underline{f}(f(g(b))))))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\blacktriangle(b)))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangle(b))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangledown(b))
$$

Definition 32. Let S' be a subset of S on S-sort signature F and a term t^{α} . We define the mapping $||t^{\alpha}||$ as follows:

$$
||t^{\alpha}|| = \begin{cases} \{t\} & \text{if } t \in \mathcal{V} \text{ and } \alpha \in \mathcal{S}' \\ \{f\} \cup ||t_1|| \cup \ldots \cup ||t_n|| & \text{if } t = f(t_1, \ldots, t_n) \text{ and } \alpha \in \mathcal{S}' \\ \varnothing & \text{otherwise} \end{cases}
$$

Moreover, let $\mathcal R$ be a TRS. We define the set extending system $\|\mathcal R\|$ as the set of pairs of symbols sets:

$$
\|\mathcal{R}\| = \{ \|\ell\| \leadsto \|r\| \mid \ell \to r \in \mathcal{R} \}
$$

Now, we select a special set \mathcal{S}' which makes reachability approximation possible in the following way.

Definition 33. The set \mathcal{S}' is the maximal subset of \mathcal{S} , satisfying

$$
(\|\ell\| \cup \|r\|) \cap \mathcal{V} = \varnothing
$$
 for every $\ell \to r \in \mathcal{R}$

An intention behind this definition is to avoid accessible of variables while mapping a term to a set.

Lemma 1. Suppose t be a well-sorted term of many-sorted TRS \mathcal{R} . Then the following equality holds:

$$
||t\sigma|| = ||t||
$$
 for all substitutions σ

Example 22 (continued from Example 21). The next sort information can be attached to the transformed system \mathcal{R}^T .

$$
\left\{\begin{array}{c}\nabla:\alpha\to\beta\quad\Delta:\alpha\to\beta\quad\mathbf{f}:\alpha\to\delta\quad\mathbf{g}:\alpha\to\delta\\ \blacktriangledown_{\mathbf{f}}:\delta\to\beta\quad\blacktriangledown_{\mathbf{g}}:\delta\to\beta\quad\blacktriangle:\alpha\to\delta\quad\mathbf{b}:\alpha\\ \mathbf{f}:\alpha\to\alpha\quad\mathbf{g}:\alpha\to\alpha\quad\mathbf{f}_1:\beta\to\beta\quad\mathbf{g}_1:\beta\to\beta\\ \text{top}:\beta\to\gamma\end{array}\right\}
$$

The set S' for this system is $\{\beta, \gamma, \delta\}$. Here, we obtain the set extending system $\|\mathcal{R}\|$ as follow:

1 : {
$$
\nabla
$$
} \rightsquigarrow { ∇_f, \underline{f} } 2 : { ∇_g, \underline{g} }
\n3 : { ∇_f, \underline{f} } \rightsquigarrow { f_1, ∇ } 4 : { ∇_g, \underline{g} } \rightsquigarrow { g_1, ∇ }
\n5 : { \underline{f} } \rightsquigarrow { \blacktriangle } 6 : { \underline{g} } \rightsquigarrow { \blacktriangle }
\n7 : { ∇_f, \blacktriangle } \rightsquigarrow { \triangle } 8 : { ∇_g, \blacktriangle } \rightsquigarrow { \triangle }
\n9 : { f_1, \triangle } \rightsquigarrow { \triangle } 10 : { g_1, \triangle } \rightsquigarrow { \triangle }
\n11 : {top, \triangle } \rightsquigarrow {top, ∇ }

From the above definition, a term can be seen as a set, and a rewrite system becomes a set extending system. In this definition, we simulate the rewrite relation of a TRS with a extend relation.

Definition 34. A set A is extended to the set B denoted by $A \rightsquigarrow_R B$ if there exists $\ell \rightsquigarrow r \in R$ such that $\ell \subseteq A$, $B = A \cup r$ and $|A| < |B|$. For for convenience usage we define the following notions:

- A set A is *extendable* if and only if there exists B such that $A \leadsto_R B$.
- A set A is in *normal form* if and only if it is not extendable.
- The set B is the normal form of A if $A \leadsto_R^* B$ and B is in normal form. We denoted by $A_{\lambda R}$.

Example 23. Consider the set extending system R on Example 22.

- $\{\blacktriangledown_f, \underline{f}\}$ is extendable.
- { $b^{(0)}, f^{(1)}, g^{(1)}, f_1^{(1)}$ $\mathfrak{g}_1^{(1)}, \mathfrak{g}_1^{(1)}$ $\mathbf{1}^{(1)}, \mathbf{\underline{f}}^{(1)}, \mathbf{\underline{g}}^{(1)}, \mathbf{\nabla}^{(1)}, \mathbf{\Delta}^{(1)}, \mathbf{\blacktriangledown_{f}^{(1)}}$ $f_f^{(1)}, \blacktriangledown_g^{(1)}, \blacktriangle^{(1)}\}$ is the normal form of $\{\blacktriangledown_f, \underline{f}\}.$

Next, we illustrate the following rewrite step

$$
\text{top}(\triangledown(f(f(g(b)))) \xrightarrow{i} \neg_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\underline{f}(f(g(b))))))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\blacktriangledown_f(\blacktriangle(b)))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangle(b))
$$

$$
\rightarrow_{\mathcal{R}^T} \text{top}(\triangledown(b))
$$

with this extend step

$$
\{\text{top}, \nabla\} \rightsquigarrow_R \{\text{top}, \nabla\} \cup \{\mathbf{v}_{\mathsf{f}}, \underline{\mathsf{f}}\}
$$

$$
\rightsquigarrow_R \{\text{top}, \nabla, \mathbf{v}_{\mathsf{f}}, \underline{\mathsf{f}}\} \cup \{\mathbf{\Delta}\}
$$

$$
\rightsquigarrow_R \{\text{top}, \nabla, \mathbf{v}_{\mathsf{f}}, \underline{\mathsf{f}}, \mathbf{\Delta}\} \cup \{\Delta\}
$$

$$
\rightsquigarrow_R \{\text{top}, \nabla, \mathbf{v}_{\mathsf{f}}, \underline{\mathsf{f}}, \mathbf{\Delta}, \Delta\} \cup \{\nabla\}
$$

Before the proof, we show the next lemma.

Lemma 2. If $s \to_{\mathcal{R}} t$ then $||t||_{\lambda ||\mathcal{R}||} \subseteq ||s||_{\lambda ||\mathcal{R}||}$.

Proof. Suppose $s \rightsquigarrow_{\mathcal{R}} t$. We can write $s = C[\ell \sigma], t = C[r\sigma]$ and $s|_p = \ell \sigma$. The context can be considered in two cases.

- If there exists $q \in \mathcal{P}$ os(s) such that $q \geq p$ and $||s|_q|| = \emptyset$, then there exists contexts C_1 and C_2 such that $s|_q = C_2[\ell \sigma]$ and $C = C_1[C_2]$. Therefore, $||s|| = ||C_1|| = ||t||$ and the claim holds.
- If for all $q \in \mathcal{P}$ os(s) such that if $q \geq p$ then $||s|| \neq \emptyset$, then $||s|| = ||C|| \cup ||\ell||$ and $||t|| = ||C|| \cup ||r||$. We can extend $||s||$ one more step to obtain $||C|| \cup ||\ell|| \cup ||r||$. Since $||t|| \subseteq ||C|| \cup ||\ell|| \cup ||r||$, we have $||t||_{\mathcal{H}||} \subseteq ||s||_{\mathcal{H}||}$.

The approximation of reachability can be computed by computing a normal form with respect to extending relation and simply check subset relation. First, we prove computability of approximation by proving a normal form with respect to extending relation is unique and computable.

Theorem 6. The relation $\rightsquigarrow_{\|\mathcal{R}\|}$ is complete.

Proof. We show Theorem 6 by proving termination and confluence property with respect to extending relation.

- Termination proof: Since set over function symbols is a finite set, we claim the termination property by showing if $A \leadsto_{\|\mathcal{R}\|} B$ then $A \subsetneq B$. Suppose $A \leadsto_{\|\mathcal{R}\|} B$ then we can write $B = A \cup ||r||$ for some r in right hand-side of R and $|A| < |B|$. Therefore $A \subsetneq B$.
- Confluence proof: Since the relation is terminating, we only show local confluence for claiming confluence. Suppose $A \leadsto_{\|\mathcal{R}\|} B$ and $A \leadsto_{\|\mathcal{R}\|} C$ then we can write $B =$ $A \cup ||r_1||$ and $C = A \cup ||r_2||$ such that $||\ell_1||, ||\ell_2|| \subseteq A$ for some $\ell_1 \to r_1, \ell_2 \to r_2 \in \mathcal{R}$. Therefore $B \leadsto_{\|\ell_2\| \leadsto \|r_2\|} A \cup \|r_1\| \cup \|r_2\|$ and $C \leadsto_{\|\ell_1\| \leadsto \|r_1\|} A \cup \|r_1\| \cup \|r_2\|.$

 \Box

 \Box

Hence, a normal form with respect to $\leadsto_{\|\mathcal{R}\|}$ is unique and computable. Next, we claim the following theorem by induction on rewrite step.

Theorem 7. If $s \hookrightarrow_{\mathcal{R}} t$, then $||t|| \subseteq ||s||_{\lambda \times \mathbb{R}}$

Proof. Our hypothesis is if $s\sigma \to_R^n t\tau$ for some substitutions σ and τ , then $||t|| \subseteq ||s||_{\lambda||\mathcal{R}||}$.

• if $n = 0$, then $s\sigma = t\tau$. Since $||s\sigma|| = ||s||$ and $||t\tau|| = ||t||$, we know that $||s|| = ||t||$. We have $||t|| \subseteq ||t||_{\lambda||\mathcal{R}}$ because $A \leadsto_{\|\mathcal{R}\|} B$ implies $A \subseteq B$. Therefore, if $t \to_{\mathcal{R}}^{\epsilon} u$, then $||u|| \subseteq ||t||_{\lambda||\mathcal{R}||}$.

• if $n > \epsilon$, then $s\sigma \to_{\mathcal{R}} t_1 \to_{\mathcal{R}}^{n-1} t\tau$. We have $||t|| \subseteq ||t_1||_{\mathcal{L}_{\mathcal{R}}||}$ by induction hypothesis. Since $s\sigma \to_{\mathcal{R}} t_1$, we know that $||t_1||_{\mathcal{U}||\mathcal{R}_\parallel} \subseteq ||s\sigma||_{\mathcal{U}||\mathcal{R}_\parallel}$ by Lemma 2. Because $||s\sigma|| =$ $||s||$. So, $||s\sigma||_{\mathcal{H}||} = ||s||_{\mathcal{H}||}$. Therefore, $||t|| \subseteq ||s||_{\mathcal{H}||}$.

Hence, if $s\hookrightarrow_{\mathcal R} t,$ then $\|t\|\subseteq \|s\|_{\mathrm{sup}}.$

 \Box

We will introduce *ICAP* function [11] and reachability approximation by *ICAP*. Then we compare type-based reachability analysis and ICAP on the running example.

Definition 35. Let \mathcal{R} be a TRS and let t be a term. We define $ICAP$ as follows:

 $ICAP(t) =$ $\sqrt{ }$ \int $\overline{\mathcal{L}}$ t if $t \in \mathcal{V}$ u if $t = f(t_1, \ldots, t_n)$ and u does not unify with any $v \in \text{lhs}(\mathcal{R})$ fresh variable otherwise

where, $u = f(ICAP(t_1), \ldots, ICAP(t_n))$

Theorem 8. If $s \hookrightarrow_{\mathcal{R}} t$, then ICAP(s) and t are unifiable.

Example 24 (continued from Example 21). Here we suppose that with other termination methods we succeeded to eliminate rules 5 and 6 from \mathcal{R}^T . Terms of form $\textsf{top}(\nabla(s))$ no longer reaches $\text{top}(\Delta(t))$ for any terms s and t. In this setting, let consider the dependency graph approximation $\mathsf{DG}(\mathcal{R}^T)$, the corresponding dependency pairs of rule 11 in TRS \mathcal{R}^T will form a self-cycle if the reachability approximation of $\mathsf{top}^{\sharp}(\nabla(x))$ and $\mathsf{top}^{\sharp}(\Delta(y))$ yields yes answer. Now, the accuracy of approximations become importance to remove the cycle and lead to termination.

Our type-based reachability analysis can detect this unreachability in the following way: We interpret each term to a set of function symbols that may appear in reachable terms. This can be computed by using the rewrite system $\|\mathcal{R}^T\|$ on sets:

Here, we compare approximation of $\text{top}^{\sharp}(\nabla(x)) \hookrightarrow_{\mathcal{R}^T} \text{top}^{\sharp}(\Delta(y))$ with this approach and ICAP function.

• The approximation *ICAP* yield reachable answer. Since $ICAP$ (top($\nabla(x)$)) = top(x'), we have $\mathsf{top}(x')$ and $\mathsf{top}(\Delta(y))$ are unifiable.

•

$$
||\text{top}(\Delta(x))|| = {\text{top}, \Delta}
$$

\n
$$
\nsubseteq {\text{top}, \blacktriangledown_{\mathsf{s}, \blacktriangle_{\mathsf{s}}}}
$$

\n
$$
= {\text{top}, \triangledown_{\mathsf{s}}}_{\mathsf{s_{\parallel\mathcal{R}}T_{\parallel}}}
$$

In this example, type based reachability analysis shows a performance to remove cycle in $DG(\mathcal{R}^T)$ which supports termination proof in dependency graphs technique.

This analysis can be integrated into techniques such as dependency graphs [2], usable rules [13, 24], and usable replacement maps [14].

Chapter 4

Pattern Separation Transformation

This chapter describes a transformation technique for innermost termination analysis, dubbed *pattern separation*. This technique focuses on systems resulting from Thiemann's transformation stated in Chapter 2. The key point is to reduce the gap between innermost rewriting and reduction order based termination techniques. The analysis provides more possibility to prove the system innermost terminating.

4.1 Complementation

First of all, we recall to the complement algorithm introduced by Lazrek, Lescanne, and Thiel [17]. The complement algorithm plays a key role in pattern separation. We here reformulate their algorithm as a simple recursive function.

Definition 36. Let t be a term over signature \mathcal{F} . The complement of t is a set of terms which an element is not instant of t. The complement $\mathcal{C}(t)$ defined as follows:

$$
\mathcal{C}(t) = \begin{cases} \varnothing & \text{if } t \in \mathcal{V} \\ A_1 \cup \ldots \cup A_n \cup B & \text{if } t = f(t_1, \ldots, t_n) \end{cases}
$$

Here, Ai and B stand for the following sets:

$$
A_i = \{ f(t_1, ..., t_{i-1}, c_i, x_{i+1}, ..., x_n) \mid c_i \in C(t_i) \}
$$

$$
B = \{ g(x_1, ..., x_m) \mid g \in \mathcal{F} \setminus \{f\} \}
$$

where, x_1, x_2, \ldots are fresh variables.

Example 25. Consider the signature $\mathcal{F} = \{f^{(1)}, g^{(1)}, h^{(1)}, 0^{(0)}, 1^{(0)}\}$. We illustrate computation of $\mathcal{C}(f(g(0)))$. Below we follow notations in Definition 36 to compute the set step by step

We start with computing $\mathcal{C}(0)$:

$$
\mathcal{C}(0) = \{g(x_1, \dots, x_m) \mid g \in \mathcal{F} \text{ and } 0 \neq g\}
$$

$$
= \{f(x), g(x), h(x), 1\}
$$

Then we compute $\mathcal{C}(\mathbf{g}(\mathbf{0}))$:

$$
\mathcal{C}(\mathsf{g}(0)) = \{ \mathsf{g}(c) \mid c \in \mathcal{C}(0) \} \cup \{ g(x_1, \dots, x_m) \mid g \in \mathcal{F} \text{ and } \mathsf{g} \neq g \} \n= \{ \mathsf{g}(c) \mid c \in \mathcal{C}(0) \} \cup \{ \mathsf{f}(x), \mathsf{h}(x), \mathsf{1}, \mathsf{0} \} \n= \{ \mathsf{g}(\mathsf{f}(x)), \mathsf{g}(\mathsf{g}(x)), \mathsf{g}(\mathsf{h}(x)), \mathsf{g}(\mathsf{1}), \mathsf{f}(x), \mathsf{h}(x), \mathsf{1}, \mathsf{0} \}
$$

Finally, we can compute $\mathcal{C}(f(g(0)))$:

$$
\mathcal{C}(\mathsf{f}(\mathsf{g}(0))) = \{ \mathsf{f}(c) \mid c \in \mathcal{C}(\mathsf{g}(0)) \} \cup \{ g(x_1, \dots, x_m) \mid g \in \mathcal{F} \text{ and } \mathsf{f} \neq g \} \n= \{ \mathsf{f}(c) \mid c \in \mathcal{C}(\mathsf{g}(0)) \} \cup \{ \mathsf{g}(x), \mathsf{h}(x), 1, 0 \} \n= \begin{cases}\n \mathsf{f}(\mathsf{g}(\mathsf{f}(x))), & \mathsf{f}(\mathsf{g}(\mathsf{g}(x))), & \mathsf{f}(\mathsf{g}(\mathsf{h}(x))), \\ & \mathsf{f}(\mathsf{f}(x)), \\ & \mathsf{f}(\mathsf{h}(x)), \\ & \mathsf{g}(x), \\ & \mathsf{h}(x), \\ & 1, \\ \end{cases}
$$

Definition 37. We define $\Sigma(t)$ as the set of all ground instantiations of a term t over a signature. Moreover, for a set of term T we defined $\Sigma(T)$ as follows:

$$
\Sigma(T) = \bigcup_{t \in T} \Sigma(t)
$$

Lemma 3. The identity $\mathcal{T}(\mathcal{F}) = \Sigma(t) \oplus \Sigma(\mathcal{C}(t))$ holds for all linear terms t

The set of all ground instantiations of t and set of all ground instantiations of set $\mathcal{C}(t)$ are disjoint and union of them result as the set of all ground terms. We divide the proof of Lemma 3 in to two part

- $\Sigma(t)$ and $\Sigma(\mathcal{C}(t))$ are disjoint for every term t
- $\mathcal{T}(\mathcal{F})$ and $\Sigma(t) \cup \Sigma(\mathcal{C}(t))$ are equivalent for all linear terms t.

Lemma 4. The sets $\Sigma(t)$ and $\Sigma(\mathcal{C}(t))$ are disjoint.

Proof. We show the claim by induction on term t.

- If t is a variable, then we have $\mathcal{C}(t) = \emptyset$ by Definition 36, then $\Sigma(\mathcal{C}(t)) = \emptyset$. Therefore $\Sigma(t) \cap \Sigma(\mathcal{C}(t)) = \varnothing$.
- Suppose $t = f(t_1, \ldots, t_n)$. Assume to contrary $u \in \Sigma(t) \cap \Sigma(\mathcal{C}(t))$. As $u \in \Sigma(t)$, there is a substitution σ with $u = t\sigma$. As $u \in \Sigma(\mathcal{C}(t))$, there exist $v \in \mathcal{C}(t)$ $A_1 \cup \cdots \cup A_n \cup B$ such that $u = v\tau$ for some substitution τ . We distinguish two cases.
	- If $v \in \Sigma(B)$, then we can write $v = g(x_1, \ldots, x_m)$ for fresh variables x_1, \ldots, x_n . We know that $f \neq g$ by Definition 36. Hence, $u = f(t_1\sigma, \ldots, t_n\sigma) \neq g(x_1\tau, \ldots, x_m\tau)$ $v\tau$ which contradicted.

– Suppose $v \in \Sigma(A_i)$ for some $i \in \{1, \ldots, n\}$. We can write $v = f(t_1, \ldots, t_{i-1}, c_i, x_{i+1}, \ldots, x_n)$ for an arbitrary i and fresh variables x_{i+1}, \ldots, x_n . We have $c_i \tau \in \Sigma(\mathcal{C}(t_i))$ then $c_i \tau \notin \Sigma(t_i)$ from induction hypothesis. Therefore $c_i \tau \neq t_i \sigma$. Hence $f(t_1\sigma, \ldots, t_n\sigma) \neq f(t_1\tau, \ldots, t_{i-1}\tau, c_i\tau, x_{i+1}\tau, \ldots, x_n\tau)$ which contradicted.

Therefore $v \notin \mathcal{C}(t)$.

Here, we can conclude that $\Sigma(t)$ and $\Sigma(\mathcal{C}(t))$ are disjoint for every term t. \Box

Next, we prove that $\mathcal{T}(\mathcal{F})$ and $\Sigma(t) \cup \Sigma(\mathcal{C}(t))$ are equivalent for all linear terms t. Since $\Sigma(t) \cup \Sigma(\mathcal{C}(t)) \subseteq \mathcal{T}(\mathcal{F})$ is trivial. We only show that $\mathcal{T}(\mathcal{F}) \subseteq \Sigma(t) \cup \Sigma(\mathcal{C}(t)).$

Lemma 5. The set $\mathcal{T}(\mathcal{F})$ is a subset of $\Sigma(t) \cup \Sigma(\mathcal{C}(t))$ for all linear terms t.

Proof. Let $u \in \mathcal{T}(\mathcal{F})$. We show the claim by induction on u. As u is ground, one can write $u = f(u_1, \ldots, u_n)$.

- If t is variable, then $u \in \Sigma(t)$.
- If $t = g(t_1, \ldots, t_m)$ with $f \neq g$, then $f(x_1, \ldots, x_n) \in C(t)$ for fresh variables x_1, \ldots, x_n . We take σ as $\{x_1 \mapsto u_1, \ldots, x_n \mapsto u_n\}$. Hence, $u = f(x_1, \ldots, x_n)\sigma \in$ $\Sigma(\mathcal{C}(t)).$
- If $t = f(t_1, \ldots, t_n)$, then the induction hypothesis yields $u_i \in \Sigma(t_i) \oplus \Sigma(\mathcal{C}(t_i))$ for all i. If $u_i \in \Sigma(t_i)$ for all i, then $u \in \Sigma(t)$. Otherwise, there exists an i such that $u_j \in \Sigma(t_j)$ for all $0 < j < i$ and $u_i \in \Sigma(\mathcal{C}(t_i))$. We have $f(t_1, \ldots, t_{i-1}, c_i, x_{i+1}, \ldots, x_n) \in A_i \subseteq$ $\mathcal{C}(t)$ for some $c_i \in \mathcal{C}(t_i)$ and fresh variables x_{i+1}, \ldots, x_n . We take σ_j as the most general unification of u_j and t_j . We can choose c_i such that $c_i \sigma_i = u_i$ and take σ as $\sigma_1, \ldots, \sigma_i\{x_{i+1} \mapsto u_1, \ldots, x_n \mapsto u_n\}$. We can safely substitute since t is a linear term. Hence, $u = f(t_1, ..., t_{i-1}, c_i, x_{i+1}, ..., x_n) \sigma \in \Sigma(\mathcal{C}(t)).$

Therefore, $u \in \Sigma(t) \oplus \Sigma(\mathcal{C}(t))$. Here, we can conclude that $\mathcal{T}(\mathcal{F}) \subseteq \Sigma(t) \cup \Sigma(\mathcal{C}(t))$. \Box

The linearity condition in Lemma 3 is an important limitation of this algorithm and it effects the *pattern separation* technique which will be introduced in the next section.

Example 26. Let signature $\mathcal{F} = \{+(2), s^{(1)}, 0^{(0)}\}$. We illustrate the necessity of linear condition. Let $t = s(x) + x$. Then we obtain:

$$
\mathcal{C}(t) = \left\{ \begin{array}{cc} (x+y)+z, & 0+x, \\ \mathsf{s}(x), & 0 \end{array} \right\}
$$

However,

- $s(0)+s(0) \in \mathcal{T}(\mathcal{F}),$
- $s(0)+s(0) \notin \Sigma(s(x)+x)$, and
- $\mathsf{s}(0)+\mathsf{s}(0) \notin \Sigma(\mathcal{C}(\mathsf{s}(x)+x)).$

Therefore $\mathcal{T}(\mathcal{F}) = \Sigma(t) \oplus \Sigma(\mathcal{C}(t))$ does not hold.

4.2 Pattern Separation

Most of termination techniques are base on reduction orders which the order satisfies closure under contexts and closure under substitution. However, innermost rewriting is not closed under substitutions witnessed by the following example.

Example 27. Consider the TRS:

$$
\mathcal{R} = \left\{ \begin{array}{c} f(g(x)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array} \right\}
$$

There exists a term which is not closed under substitutions:

$$
f(g(x))
$$
 for $\sigma = \{x \mapsto 0\}$

Because $f(g(x)) \stackrel{i}{\rightarrow} _R f(g(0))$ but $f(g(0)) \stackrel{i}{\rightarrow} _R f(g(0))$.

We overcome this situation by resolving ambiguities of rule application caused by overlapping rules. For this sake, we instantiate rewrite rules. The following theorem allows us to focus on ground terms when showing innermost termination.

Theorem 9. A TRS \mathcal{R} over \mathcal{F} is innermost terminating if and only if the TRS \mathcal{R} over $\mathcal{F} \uplus \{\mathsf{h}^{(1)}, \mathsf{c}^{(0)}\}$ is ground innermost terminating.

Example 28. Consider the TRS \mathcal{R} in Example 27. We instantiate $f(g(x)) \rightarrow f(g(0))$ to obtain the following rules:

> 1.1 : $f(g(f(x))) \to f(g(0))$ $1.2: f(g(g(x))) \to f(g(0))$ 1.3 : $f(g(h(x))) \to f(g(0))$ $1.4: f(g(1)) \to f(g(0))$

In this instantiated system, only the rule 1.2 is overlapping with rule 2 in TRS \mathcal{R} . However, the optimized version of pattern separation can get rid of it.

In order to understand the process, we introduce related theorem, definition, and notation. First, we begin with definition and notation for pattern separation.

Definition 38. Let ren(t) be an arbitrary but fixed linear term resulting from replacing all variable occurrences in a term t with fresh variables. We define the following notation:

- $s \uparrow t$ if ren(s) and ren(t) are unifiable.
- $s \sqcup t$ is a fixed instance of s by a mgu of ren(s) and ren(t).
- $S \otimes T = \{s \sqcup t \mid s \in S, t \in T, \text{ and } s \uparrow t\}.$

Example 29. Let A and B are set of terms.

- $A = \{ f(g(x)), g(0) \}$
- \bullet $B =$ $\int f(g(f(x))), f(g(g(x))), f(0),$ $f(f(x)), \t g(x), \t 0$ \mathcal{L}

We have $f(g(x)) \uparrow f(g(f(x)), f(g(x)) \uparrow f(g(g(x))),$ and $g(0) \uparrow g(x)$ while the other pairs in $A \times B$ are not unifiable. Hence,

$$
A \otimes B = \{a \sqcup b \mid a \in S, b \in T, \text{ and } a \uparrow b\}
$$

= $\{f(g(x)) \sqcup f(g(f(x)), f(g(x)) \sqcup f(g(g(x))), g(0) \sqcup g(x)\}$
= $\{f(g(f(x)), f(g(g(x))), g(0)\}$

We use the ⊗ operator to perform intersection of ground term in a view of finite representation.

Lemma 6. The identity $\Sigma(S \otimes T) = \Sigma(S) \cap \Sigma(T)$ holds for all sets of terms S and T.

Proof. We divide proof of Lemma 6 into two steps.

- First, we show that $\Sigma(S \otimes T) \subseteq \Sigma(S) \cap \Sigma(T)$ holds for all sets of terms S and T. Let $a \in \Sigma(S \otimes T)$ and σ an arbitrary substitution. We can write $a = (s \sqcup t)\sigma$ for some $s \in S$ and $t \in T$ by Definition 38. Suppose τ is the most general unification of s and t. Now we have $s \sqcup t = s\tau = t\tau$. Hence, $a = s\tau\sigma \in \Sigma(S)$ and $a = t\tau\sigma \in \Sigma(T)$. Therefore, $a \in \Sigma(S) \cap \Sigma(T)$.
- Next, we show that $\Sigma(S) \cap \Sigma(T) \subseteq \Sigma(S \otimes T)$ holds for all sets of terms S and T. Let $a \in \Sigma(S) \cap \Sigma(T)$. We can write $a = s\sigma_1 = t\sigma_2$ for some $s \in S$, $t \in T$ and suitable substitution σ_1 and σ_2 . Since the identity $s\sigma_1 = t\sigma_2$ holds, there exists τ such that $(s \sqcup t)\tau = s\sigma_1 = t\sigma_2$. Therefore, $a = (s \sqcup t)\tau \in \Sigma(S \otimes T)$.

 \Box

From here on, we define definitions for pattern separation.

Definition 39. We define *non-root overlap* of term ℓ with respect to a TRS \mathcal{R} as follows:

$$
\mathsf{O}_{\mathcal{R}}(\ell) = \{ \ell[\ell]_p \sqcup \ell']_p \mid \ell' \in \text{lhs}(\mathcal{R}), \ p \in \mathcal{P} \text{os}_{\mathcal{F}}(\ell) \setminus \{\epsilon\} \text{ and } \ell|_p \uparrow \ell' \}
$$

This function collects terms which are instance of ℓ but rewritable at non-root position by the TRS \mathcal{R} .

Example 30. Let TRS \mathcal{R} consist of the following rules:

$$
\mathcal{R} = \left\{ \begin{array}{c} f(g(x)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array} \right\}
$$

We compute non-root overlaps of left-hand-side of rules in TRS \mathcal{R} , which is resulting as:

• $O_{\mathcal{R}}(f(g(x))) = \{f(g(0))\}$

• $O_{\mathcal{R}}(g(0)) = \varnothing$

Next, we define *complement pattern* of term ℓ with respect to a TRS \mathcal{R} . This function aims to collect terms which are instances of ℓ but not rewritable at any non-root position.

Definition 40. Let \mathcal{R} be a TRS and let ℓ be a term. We define *complement pattern* $P_{\mathcal{R}}(\ell)$ as follows:

$$
\mathsf{P}_{\mathcal{R}}(\ell) = \begin{cases} \{\ell\} & \text{if } \mathsf{O}_{\mathcal{R}}(\ell) = \varnothing \\ \{\ell\} \otimes \bigotimes_{t \in \mathsf{O}_{\mathcal{R}}(\ell)} \mathcal{C}(t) & \text{otherwise} \end{cases}
$$

Lemma 7. For a left-linear TRS \mathcal{R} over signature \mathcal{F} and linear term ℓ

$$
\Sigma(\mathsf{P}_{\mathcal{R}}(\ell)) = \{ s \in \mathcal{T}(\mathcal{F}) \mid \ell \geq s \text{ and } t \not\geq s \text{ for all } t \in \mathsf{O}_{\mathcal{R}}(\ell) \}
$$

holds.

Proof. We distinguish two cases.

• If $O_{\mathcal{R}}(\ell) = \emptyset$, then

$$
s \in \Sigma(\mathsf{P}_{\mathcal{R}}(\ell))
$$

\n
$$
\iff s \in \mathcal{T}(\mathcal{F}) \text{ and } \ell \geq s \text{ and } t \not\geq s \text{ for all } t \in \mathsf{O}_{\mathcal{R}}(\ell)
$$

\n
$$
\iff s \in \Sigma(\ell)
$$

\n
$$
\iff s \in \Sigma(\mathsf{P}_{\mathcal{R}}(\ell))
$$

\nby Definition 40

• If $O_{\mathcal{R}}(\ell) \neq \emptyset$, then

$$
s \in \Sigma(\mathsf{P}_{\mathcal{R}}(\ell))
$$

\n
$$
\iff s \in \mathcal{T}(\mathcal{F}) \text{ and } \ell \geq s \text{ and } t \not\geq s \text{ for all } t \in \mathsf{O}_{\mathcal{R}}(\ell)
$$

\n
$$
\iff s \in \Sigma(\ell) \text{ and } s \notin \Sigma(t) \text{ for all } t \in \mathsf{O}_{\mathcal{R}}(\ell) \qquad \text{by Definition 37}
$$

\n
$$
\iff s \in \Sigma(\ell) \text{ and } s \in \Sigma(\mathcal{C}(t)) \text{ for all } t \in \mathsf{O}_{\mathcal{R}}(\ell) \qquad \text{by Lemma 3}
$$

\n
$$
\iff s \in \Sigma(\ell) \cap \bigcap_{t \in \mathsf{O}_{\mathcal{R}}(\ell)} \Sigma(\mathcal{C}(t))^{\mathfrak{c}}
$$

\n
$$
\iff s \in \Sigma(\{\ell\} \otimes \bigotimes_{t \in \mathsf{O}_{\mathcal{R}}(\ell)} \mathcal{C}(t)) \qquad \text{by Lemma 6}
$$

\n
$$
\iff s \in \Sigma(\mathsf{P}_{\mathcal{R}}(\ell)) \qquad \text{by Definition 40}
$$

 \Box

Example 31. Consider TRS \mathcal{R} from the previous example. The complement pattern of left-hand-side of rules in TRS R , which is resulting as:

• $P_{\mathcal{R}}(f(g(x))) = \begin{cases} f(g(f(x))) & f(g(g(x))) \\ f(g(h(x))) & f(g(1)) \end{cases}$

• $P_{\mathcal{R}}(g(0)) = \{g(0)\}\$

Lastly, we rewrite a collection of term which is collected by complement pattern, with innermost step with respect to a TRS \mathcal{R} .

Definition 41. Let \mathcal{R} be a TRS over a signature \mathcal{F} . The pattern separation version of a TRS $\mathcal R$ over signature $\mathcal F$, denoted by $\mathcal S(\mathcal R)$ and defined as follows:

$$
\mathcal{S}(\mathcal{R}) = \{ \ell \to r \mid \ell' \in \text{lhs}(\mathcal{R}), \ell \in \mathsf{P}_{\mathcal{R}}(\ell'), \text{ and } \ell \xrightarrow{i} \mathcal{R} r \}
$$

Note that the complement algorithm compute over signature $\mathcal{F} \oplus \{\mathsf{h}^{(1)}, \mathsf{c}^{(0)}\}.$

Example 32. Continuing from Example 31. The pattern separation version of a TRS \mathcal{R} is

$$
\mathcal{S}(\mathcal{R}) = \left\{ \begin{array}{ll} f(g(f(x))) \to f(g(0)) & f(g(g(x))) \to f(g(0)) \\ f(g(h(x))) \to f(g(0)) & f(g(1)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array} \right\}
$$

Lemma 8. Let s and t be ground terms. Then $s \stackrel{i}{\rightarrow}_{\mathcal{R}} t$ if and only if $s \stackrel{i}{\rightarrow}_{\mathcal{S}(\mathcal{R})} t$.

Proof. We separate the proof into two directions.

- First, we show the 'if'-direction. Since Innermost rewrite relation is a subset of rewrite relation, then we have $\stackrel{i}{\to}_{\mathcal{S}(\mathcal{R})}$ is a subset of $\stackrel{\cdot}{\to}_{\mathcal{S}(\mathcal{R})}$. Following from Definition 41, we have $\rightarrow_{\mathcal{S}(\mathcal{R})}$ is a subset of $\stackrel{i}{\rightarrow}_{\mathcal{R}}$. Therefore, $s \stackrel{i}{\rightarrow}_{\mathcal{S}(\mathcal{R})} t$ implies $s \stackrel{i}{\rightarrow}_{\mathcal{R}} t$.
- Next, we show the 'only if'-direction. Suppose $s \xrightarrow{i} \mathcal{R} t$ with $s = C[\ell \sigma], t = C[r\sigma]$ for some rule $\ell \to r \in \mathcal{R}$, a context C, and a substitution σ . All proper subterms of $\ell \sigma$ are in normal form. It is obvious that $\ell \sigma \in \Sigma(\ell)$. Due to the normal form condition $\ell \sigma \notin \Sigma(\mathsf{O}_\mathcal{R}(\ell))$ follows from Definition 39. Because $\ell \sigma \in \Sigma(\ell)$ and $\ell \sigma \notin \Sigma(\mathsf{O}_\mathcal{R}(\ell))$ we can conclude $\ell \sigma \in \Sigma(P_{\mathcal{R}}(\ell))$ by Lemma 7. There exists substitution σ_1 such that $\ell \sigma_1 \in P_{\mathcal{R}}(\ell)$ and $\ell \sigma_1 \sigma_2 = \ell \sigma$. Since $\ell \sigma_1 \in P_{\mathcal{R}}(\ell)$ and $\ell \sigma \stackrel{i}{\rightarrow}_{\mathcal{R}} r \sigma$, we have $\ell \sigma_1 \to r \sigma_1 \in \mathcal{S}(\mathcal{R})$ by Definition 41. Because all proper subterms of $\ell \sigma$ are in normal form, $\ell \sigma_1 \sigma_2 \xrightarrow{i} \mathcal{S}(\mathcal{R}) r \sigma_1 \sigma_2$ holds. Hence, $s = C[\ell \sigma_1 \sigma_2] \xrightarrow{i} \mathcal{S}(\mathcal{R}) C[r \sigma_1 \sigma_2] = t$.

Theorem 10. A left-linear TRS \mathcal{R} is innermost terminating if and only if $\mathcal{S}(\mathcal{R})$ is innermost terminating.

Proof. Let \mathcal{R} be a left-linear TRS over signature \mathcal{F} .

 $\mathcal R$ is innermost terminating

where, $\mathcal{F}' = \mathcal{F} \uplus {\{\mathsf{h}^{(1)}, \mathsf{c}^{(0)}\}}.$

 \Box

This technique applied to a system and transformed it into another system. The technique itself does not provide a full termination prove. So, we can select any suited termination technique for the transformed system to show a complete proof.

Example 33. Consider the running example TRS \mathcal{R}^T which consisting of the following rules:

1 : $\nabla(f(x)) \to \blacktriangledown_f(\underline{f}(x))$ 2 : $\nabla(g(x)) \to \blacktriangledown_g(g(x))$ 3 : $\blacktriangledown_{\mathsf{f}}(\underline{\mathsf{f}}(x)) \to \mathsf{f}_1(\triangledown(x)) \quad 4$: $\blacktriangledown_{\mathsf{g}}(\mathsf{g}(x)) \to \mathsf{g}_1(\triangledown(x))$ $5: \quad \underline{f}(f(g(x))) \to \underline{\blacktriangle}(x) \qquad 6: \qquad g(b) \to \underline{\blacktriangle}(f(g(b)))$ 7 : $\blacktriangledown_f(\blacktriangle(x)) \to \triangle(x)$ 8 : $\blacktriangledown_g(\blacktriangle(x)) \to \triangle(x)$ $9: \text{ } f_1(\Delta(x)) \to \Delta(f(x)) \text{ } 10: \text{ } g_1(\Delta(x)) \to \Delta(g(x))$ 11 : $\mathsf{top}(\Delta(x)) \to \mathsf{top}(\nabla(x))$

We compute $\mathcal{S}(\mathcal{R}^T)$ (i.e. the pattern separation of the TRS \mathcal{R}^T), which is resulting as:

1:	$\nabla(f(x)) : \rightarrow \blacktriangledown_f(\underline{f}(x))$	2:	$\nabla(g(x)) : \rightarrow \blacktriangledown_g(g(x))$
3:	$\blacktriangledown_f(\underline{f}(\triangledown(x))): \rightarrow f_1(\triangledown(\triangledown(x)))$	4 :	$\blacktriangledown_f(\underline{f}(\blacktriangledown_f(x))) : \rightarrow f_1(\triangledown(\blacktriangledown_f(x)))$
5:	$\blacktriangledown_f(f(f(x))) : \rightarrow f_1(\triangledown(f(x)))$	6:	$\Psi_f(f(g(x))) : \rightarrow f_1(\nabla(g(x)))$
7:	$\blacktriangledown_f(\underline{f}(\blacktriangledown_g(x))) : \rightarrow f_1(\triangledown(\blacktriangledown_g(x)))$	8 :	$\Psi_f(\underline{f}(g(x))) : \rightarrow f_1(\nabla(g(x)))$
9:	$\blacktriangledown_f(\underline{f}(f_1(x))) : \rightarrow f_1(\triangledown(f_1(x)))$	10:	$\blacktriangledown_f(\underline{f}(g_1(x))) : \rightarrow f_1(\triangledown(g_1(x)))$
11:	$\blacktriangledown_f(\underline{f}(\blacktriangle(x))): \rightarrow f_1(\triangledown(\blacktriangle(x)))$	12:	$\Psi_f(\underline{f}(b)) : \rightarrow f_1(\nabla(b))$
13:	$\blacktriangledown_f(\underline{f}(\Delta(x))) : \rightarrow f_1(\triangledown(\Delta(x)))$	14:	$\blacktriangledown_f(\underline{f}(\textsf{top}(x))): \rightarrow f_1(\triangledown(\textsf{top}(x)))$
15:	$\Psi_f(\underline{f}(h(x))) : \rightarrow f_1(\nabla(h(x)))$	16:	$\Psi_f(\underline{f}(c)) : \rightarrow f_1(\nabla(c))$
17:	$\blacktriangledown_f(\underline{f}(\overline{f}(\nabla(x)))):\rightarrow f_1(\nabla(f(\nabla(x))))$	18:	$\blacktriangledown_f(\underline{f}(f(f(x)))):\rightarrow f_1(\triangledown(f(f(x))))$
19:	$\Psi_{\mathsf{f}}(\underline{\mathsf{f}}(\mathsf{f}(\Psi_{\mathsf{f}}(x)))):\to\mathsf{f}_1(\nabla(\mathsf{f}(\Psi_{\mathsf{f}}(x))))$	20:	$\Psi_f(\underline{f}(f(\underline{f}(x)))) : \rightarrow f_1(\nabla(f(\underline{f}(x))))$
21:	$\Psi_{\mathsf{f}}(\underline{\mathsf{f}}(\mathsf{f}(\Psi_{\mathsf{g}}(x)))) : \to \mathsf{f}_1(\nabla(\mathsf{f}(\Psi_{\mathsf{g}}(x))))$	22:	$\Psi_f(\underline{f}(f(g(x)))) : \rightarrow f_1(\nabla(f(g(x))))$
23:	$\blacktriangledown_f(\underline{f}(f(f_1(x)))):\rightarrow f_1(\triangledown(f(f_1(x))))$	24:	$\Psi_f(f(f(g_1(x)))) : \rightarrow f_1(\nabla(f(g_1(x))))$
25:	$\Psi_f(\underline{f}(f(\blacktriangle(x)))) : \rightarrow f_1(\triangledown(f(\blacktriangle(x))))$	26:	$\Psi_f(\underline{f}(f(b))) : \rightarrow f_1(\nabla(f(b)))$
27:	$\Psi_f(f(f(\Delta(x)))) : \rightarrow f_1(\nabla(f(\Delta(x))))$	28:	$\Psi_{\mathsf{f}}(\underline{\mathsf{f}}(\mathsf{f}(\mathsf{top}(x)))):\,\to\,\mathsf{f}_1(\triangledown(\mathsf{f}(\mathsf{top}(x))))$
29:	$\blacktriangledown_f(\underline{f}(f(h(x)))):\rightarrow f_1(\triangledown(f(h(x))))$	30:	$\Psi_f(\underline{f}(f(c))) : \rightarrow f_1(\nabla(f(c)))$
31:	$\blacktriangledown_{g}(g(\triangledown(x))) : \rightarrow g_1(\triangledown(\triangledown(x)))$	32:	$\blacktriangledown_{g}(g(f(x))) : \rightarrow g_1(\triangledown(f(x)))$
33:	$\blacktriangledown_{g}(g(\blacktriangledown_{f}(x))) : \rightarrow g_{1}(\triangledown(\blacktriangledown_{f}(x)))$	34:	$\blacktriangledown_g(g(\underline{f}(x))) : \rightarrow g_1(\triangledown(\underline{f}(x)))$
35:	$\blacktriangledown_g(g(g(x))) : \rightarrow g_1(\triangledown(g(x)))$	36:	$\blacktriangledown_{g}(g(\blacktriangledown_{g}(x))) : \rightarrow g_1(\triangledown(\blacktriangledown_{g}(x)))$
37:	$\blacktriangledown_{g}(g(g(x))) : \rightarrow g_1(\triangledown(g(x)))$	38:	$\blacktriangledown_{g}(g(f_1(x))) : \rightarrow g_1(\triangledown(f_1(x)))$
39:	$\blacktriangledown_{g}(g(g_1(x))) : \rightarrow g_1(\triangledown(g_1(x)))$	40:	$\blacktriangledown_{g}(g(\blacktriangle(x))) : \rightarrow g_1(\triangledown(\blacktriangle(x)))$
41:	$\blacktriangledown_{g}(g(\Delta(x))) : \rightarrow g_1(\triangledown(\Delta(x)))$	42 :	$\blacktriangledown_{\mathsf{g}}(\mathsf{g}(\mathsf{top}(x))): \rightarrow \mathsf{g}_1(\triangledown(\mathsf{top}(x)))$
43 :	$\blacktriangledown_{g}(g(h(x))) : \rightarrow g_1(\triangledown(h(x)))$	44 :	$\blacktriangledown_g(g(c)) : \rightarrow g_1(\triangledown(c))$
45:	$\underline{f}(f(g(x))) : \rightarrow \blacktriangle(x)$	46:	$g(b) : \rightarrow \blacktriangle(f(g(b)))$
47 :	$\blacktriangledown_f(\blacktriangle(x)) : \rightarrow \triangle(x)$	48 :	$\blacktriangledown_{\mathbf{g}}(\blacktriangle(x)) : \rightarrow \Delta(x)$
49:	$f_1(\Delta(x)) : \rightarrow \Delta(f(x))$	50:	$\mathsf{g}_1(\Delta(x)) : \rightarrow \Delta(\mathsf{g}(x))$
51:	$\mathsf{top}(\Delta(x)) : \rightarrow \mathsf{top}(\nabla(x))$		

TTT2 fails to handle this system. However, AProVE can prove its innermost termination with in 30.93 seconds. This system name is $\text{ffg} trs$. The result can be found in the appendix.

The result of this technique combines with termination provers are shown in Chapter 5 and the appendix. Lastly, the technique does not guarantee to resolve all ambiguity at overlap positions (i.e. transform to overlay system). However, the optimization version of pattern separation yield better result, details are described in the next section.

4.3 Optimization

In the previous section, we showed that *pattern separation transformation* is capable of supporting innermost termination proof. However, one drawback is termination technique need to handle many additional rules. This section will describe how to further optimize pattern separation transformation by using type information. By this optimization, the transformation result will produce less additional rules and may become an overlay system. The optimization derives benefit from the following theorem proposed by Van de Pol [25].

Theorem 11. A TRS \mathcal{R} is innermost terminating if and only if many-sorted version of the TRS R is innermost terminating

Example 34. Let TRS \mathcal{R} consist of the following rules:

$$
\mathcal{R} = \left\{ \begin{array}{c} f(g(x)) \to f(g(0)) \\ g(0) \to h(g(1)) \end{array} \right\}
$$

As shown in the previous section, the pattern separation version of a TRS $\mathcal R$ is

$$
\mathcal{S}(\mathcal{R}) = \left\{ \begin{array}{ll} f(g(f(x))) \rightarrow f(g(0)) & f(g(g(x))) \rightarrow f(g(0)) \\ f(g(h(x))) \rightarrow f(g(0)) & f(g(1)) \rightarrow f(g(0)) \\ g(0) \rightarrow h(g(1)) & \end{array} \right\}
$$

The optimization version of pattern separation $\mathcal{S}_{op}(\mathcal{R})$ will result in the following TRS:

$$
\mathcal{S}_{op}(\mathcal{R}) = \left\{ \begin{array}{c} f(g(1)) \to f(g(0)) \quad g(0) \to h(g(1)) \end{array} \right\}
$$

The number of rules in pattern separation transformation exposed from the complement pattern process. We reduce the number of rules by considering sort information while performing complement on terms.

Definition 42. Let t be a well-sorted term. The sorted complement set of t is a set of well-sorted terms which an element is not instant of t. The sorted-complement set $\mathcal{C}'(t)$ is defined as follows:

$$
\mathcal{C}'(t^{\alpha}) = \begin{cases} \varnothing & \text{if } t \in \mathcal{V} \\ A_1 \cup \ldots \cup A_n \cup B & \text{if } t = f(t_1, \ldots, t_n) \end{cases}
$$

Here, Ai and B stand for the following sets:

$$
A_i = \{ f(t_1, \dots, t_{i-1}, c_i, x_{i+1}, \dots, x_n) \mid c_i \in \mathcal{C}'(t_i) \}
$$

$$
B = \{ g(x_1, \dots, x_m)^\beta \mid g \in \mathcal{F} \setminus \{f\}, \text{ and } \alpha = \beta \}
$$

where, x_1, x_2, \ldots are fresh variables.

The *optimization version* $S_{op}(\mathcal{R})$ of pattern separation is defined in the same way as $\mathcal{S}(\mathcal{R})$ but it uses sorted complement set instead.

Example 35. After performing type introduction on TRS \mathcal{R} . The following sortedsignature obtained.

$$
\left\{\n\begin{array}{l}\n0:\alpha & 1:\alpha \\
g:\alpha \to \beta & h:\beta \to \beta \\
f:\beta \to \gamma\n\end{array}\n\right\}
$$

We illustrate computation of $\mathcal{C}'(\mathsf{f}(\mathsf{g}(0)))$. Below we follow notations in Definition 42 to compute the set step by step.

We start with computing $\mathcal{C}'(0)$:

$$
\mathcal{C}'(0^{\alpha}) = \{g(x_1, \dots, x_m)^{\delta} \mid g \in \mathcal{F}, 0 \neq g \text{ and } \alpha = \delta\}
$$

$$
= \{1^{\alpha}\}\
$$

Then we compute $\mathcal{C}'(\mathbf{g}(0))$:

$$
\mathcal{C}'(\mathsf{g}(0^{\alpha})^{\beta}) = \{\mathsf{g}(c) \mid c \in \mathcal{C}'(0)\} \cup \{g(x_1, \dots, x_m)^{\delta} \mid g \in \mathcal{F}, \mathsf{g} \neq g \text{ and } \beta = \delta\}
$$

$$
= \{\mathsf{g}(c) \mid c \in \mathcal{C}'(0)\} \cup \{\mathsf{h}(x^{\beta})^{\beta}\}
$$

$$
= \{\mathsf{g}(1^{\alpha})^{\beta}, \mathsf{h}(x^{\beta})^{\beta}\}
$$

Finally, we can compute $\mathcal{C}'(\mathsf{f}(\mathsf{g}(0)))$:

$$
\mathcal{C}'(\mathsf{f}(\mathsf{g}(0^{\alpha})^{\beta})\gamma) = \{\mathsf{f}(c) \mid c \in \mathcal{C}'(\mathsf{g}(0))\} \cup \{g(x_1, \dots, x_m)^{\delta} \mid g \in \mathcal{F}, \mathsf{f} \neq g \text{ and } \gamma = \delta\}
$$

= \{\mathsf{f}(c) \mid c \in \mathcal{C}'(\mathsf{g}(0))\} \cup \varnothing
= \{\mathsf{f}(\mathsf{g}(1^{\alpha})^{\beta})^{\gamma}, \mathsf{f}(\mathsf{h}(x^{\beta})^{\beta})^{\gamma}\}\end{aligned}

Definition 42 directly effect to the complement pattern which rely on complement process. The new result on complement pattern of left-hand-side of rules in TRS \mathcal{R} are

$$
\bullet \ \mathsf{P}_{\mathcal{R}}(\mathsf{f}(\mathsf{g}(x))) = \{\mathsf{f}(\mathsf{g}(1)), \mathsf{f}(\mathsf{h}(x))\}
$$

$$
\bullet\ \mathsf{P}_{\mathcal{R}}(g(0))=\{g(0)\}
$$

After rewrite with innermost step we finally obtained the system

$$
\mathcal{S}_{op}(\mathcal{R}) = \left\{ \begin{array}{c} f(g(1)) \rightarrow f(g(0)) \quad g(0) \rightarrow h(g(1)) \end{array} \right\}
$$

Here, the term $f(h(x))$ disappear because it not rewritable. As mention in the previous section, pattern separation with optimization may result in an overlay system.

Theorem 12. A left-linear TRS \mathcal{R} is innermost terminating if and only if the TRS $\mathcal{S}_{op}(\mathcal{R})$ is innermost terminating.

We exploit Theorem 11 and prove Theorem 12 in the same way as Theorem 10.

Example 36 (continued from Example 33). We compute $\mathcal{S}_{op}(\mathcal{R}^T)$ (i.e. the optimized version of pattern separation of the TRS \mathcal{R}^T), which is resulting as:

Both TTT2 and AProVE can prove its innermost termination with in 7.95 seconds and 5.63 seconds respectively. The system is about half in a number of rules compared to the normal pattern separation version. The result can be found in the appendix.

Apply this technique to the transformed system mostly result as an overlay system. In the next chapter, we demonstrate pattern separation on transformed systems.

Chapter 5

Experiments

In this chapter, we illustrate pattern separation technique. Unfortunately, type-based reachability analysis cannot make a difference in a number of edges in dependency graphs of our experiment systems. For the pattern separation technique, we divide the experiment into two parts. In the first part, we will experiment on transformed systems by comparing a number of rules in transformed systems between standard and optimized version. In the second part, we use original systems and their transformed version as inputs for termination tools then compare the result provided by tools. All experiments were performed on a PC with a CPU Intel Core i7-7500U with 16GB RAM for all experiments.

5.1 Pattern Separation

We implemented both versions of the pattern separation techniques in OCaml. The program consists of about 1000 lines of OCaml code. Input TRSs are generated from Thiemann's transformation [23] which take input TRSs from 279 outermost termination problems in The Termination Problems Data Base (TPDB) [1].

Given an input TRS, the optimized version of the algorithm first performs type-introduction to the TRS, then pattern separation. Pattern separation can be applied for each rule therefore, in the case of non left-linear TRS, non left-linear rules will be ignored and just transformed the rest.

Here we show the difference between both versions in term of a number of rules in transformed systems. We limit the time for transformation to 60 seconds. The system which transforms longer than 60 seconds considered as timeout in our experiment.

version		Separation (Basic) Separation (Optimized)
Average Rules	257	
Timeout (60s)		

NOTE 1: We do not include systems which are timeout in the calculation. NOTE 2: Full table of the result shown in the appendix.

Example 37. Consider the running example TRS \mathcal{R} to illustrate the difference between basic version and optimized version.

$$
\left\{ \begin{array}{ll} 1: & f(f(g(x))) \to x \\ 2: & g(b) \to f(g(b)) \end{array} \right\}
$$

The following TRS \mathcal{R}^T is resulting from The Thiemann's transformation [23].

$$
\left\{\begin{array}{ll}1:&\nabla(\mathsf{f}(x))\rightarrow\mathsf{V}_{\mathsf{f}}(\underline{\mathsf{f}}(x))&2:&\nabla(\mathsf{g}(x))\rightarrow\mathsf{V}_{\mathsf{g}}(\underline{\mathsf{g}}(x))\\ \nabla\cdot\mathsf{V}_{\mathsf{f}}(\underline{\mathsf{f}}(x))\rightarrow\mathsf{f}_{1}(\nabla(x))&4:&\nabla_{\mathsf{g}}(\underline{\mathsf{g}}(x))\rightarrow\mathsf{g}_{1}(\nabla(x))\\ \nabla\cdot\mathsf{f}(\mathsf{f}(\mathsf{g}(x)))\rightarrow\blacktriangle(x)&6:&\underline{\mathsf{g}}(\mathsf{b})\rightarrow\blacktriangle(\mathsf{f}(\mathsf{g}(\mathsf{b})))\\ \nabla\cdot\mathsf{V}_{\mathsf{f}}(\blacktriangle(x))\rightarrow\vartriangle(x)&8:&\nabla_{\mathsf{g}}(\blacktriangle(x))\rightarrow\vartriangle(x)\\ \nabla\cdot\mathsf{f}_{1}(\vartriangle(x))\rightarrow\vartriangle(\mathsf{f}(x))&10:&\nabla_{\mathsf{g}}(\vartriangle(x))\rightarrow\vartriangle(\mathsf{g}(x))\\ \nabla\cdot\mathsf{f}_{1}(\vartriangle(x))\rightarrow\mathsf{top}(\nabla(x))&\nend{array}\right\}
$$

Applying the pattern separation on the TRS \mathcal{R}^T results as:

 1 : O(f(x)) : → Hf(f(x)) 2 : O(g(x)) : → Hg(g(x)) 3 : Hf(f(O(x))) : → f1(O(O(x))) 4 : Hf(f(Hf(x))) : → f1(O(Hf(x))) 5 : Hf(f(f(x))) : → f1(O(f(x))) 6 : Hf(f(g(x))) : → f1(O(g(x))) 7 : Hf(f(Hg(x))) : → f1(O(Hg(x))) 8 : Hf(f(g(x))) : → f1(O(g(x))) 9 : Hf(f(f1(x))) : → f1(O(f1(x))) 10 : Hf(f(g1(x))) : → f1(O(g1(x))) 11 : Hf(f(N(x))) : → f1(O(N(x))) 12 : Hf(f(b)) : → f1(O(b)) 13 : Hf(f(M(x))) : → f1(O(M(x))) 14 : Hf(f(top(x))) : → f1(O(top(x))) 15 : Hf(f(h(x))) : → f1(O(h(x))) 16 : Hf(f(c)) : → f1(O(c)) 17 : Hf(f(f(O(x)))) : → f1(O(f(O(x)))) 18 : Hf(f(f(f(x)))) : → f1(O(f(f(x)))) 19 : Hf(f(f(Hf(x)))) : → f1(O(f(Hf(x)))) 20 : Hf(f(f(f(x)))) : → f1(O(f(f(x)))) 21 : Hf(f(f(Hg(x)))) : → f1(O(f(Hg(x)))) 22 : Hf(f(f(g(x)))) : → f1(O(f(g(x)))) 23 : Hf(f(f(f1(x)))) : → f1(O(f(f1(x)))) 24 : Hf(f(f(g1(x)))) : → f1(O(f(g1(x)))) 25 : Hf(f(f(N(x)))) : → f1(O(f(N(x)))) 26 : Hf(f(f(b))) : → f1(O(f(b))) 27 : Hf(f(f(M(x)))) : → f1(O(f(M(x)))) 28 : Hf(f(f(top(x)))) : → f1(O(f(top(x)))) 29 : Hf(f(f(h(x)))) : → f1(O(f(h(x)))) 30 : Hf(f(f(c))) : → f1(O(f(c))) 31 : Hg(g(O(x))) : → g1(O(O(x))) 32 : Hg(g(f(x))) : → g1(O(f(x))) 33 : Hg(g(Hf(x))) : → g1(O(Hf(x))) 34 : Hg(g(f(x))) : → g1(O(f(x))) 35 : Hg(g(g(x))) : → g1(O(g(x))) 36 : Hg(g(Hg(x))) : → g1(O(Hg(x))) 37 : Hg(g(g(x))) : → g1(O(g(x))) 38 : Hg(g(f1(x))) : → g1(O(f1(x))) 39 : Hg(g(g1(x))) : → g1(O(g1(x))) 40 : Hg(g(N(x))) : → g1(O(N(x))) 41 : Hg(g(M(x))) : → g1(O(M(x))) 42 : Hg(g(top(x))) : → g1(O(top(x))) 43 : Hg(g(h(x))) : → g1(O(h(x))) 44 : Hg(g(c)) : → g1(O(c)) 45 : f(f(g(x))) : → N(x) 46 : g(b) : → N(f(g(b))) 47 : Hf(N(x)) : → M(x) 48 : Hg(N(x)) : → M(x) 49 : f1(M(x)) : → M(f(x)) 50 : g1(M(x)) : → M(g(x)) 51 : top(M(x)) : → top(O(x))

Applying the optimized version of pattern separation on the TRS \mathcal{R}^T results as:

$$
\left\{\begin{array}{lll}1:&\nabla_{\mathsf{f}}(\mathbf{f}(x))\rightarrow\mathbf{v}_{\mathsf{f}}(\mathbf{f}(x))&2:\nabla_{\mathsf{f}}(\mathbf{g}(x))\rightarrow\mathbf{v}_{\mathsf{g}}(\mathbf{g}(x))\\
3:&\nabla_{\mathsf{f}}(\mathbf{f}(\mathbf{g}(x)))\rightarrow f_{1}(\nabla(\mathbf{g}(x)))&4:\nabla_{\mathsf{f}}(\mathbf{f}(\mathbf{b}))\rightarrow f_{1}(\nabla(\mathbf{b}))\\
5:&\nabla_{\mathsf{f}}(\mathbf{f}(\mathbf{f}(x)))\rightarrow f_{1}(\nabla(\mathsf{h}(x)))&6:\nabla_{\mathsf{f}}(\mathbf{f}(\mathbf{f}(\mathbf{c}))\rightarrow f_{1}(\nabla(\mathsf{f}(\mathbf{c}))\\
7:&\nabla_{\mathsf{f}}(\mathbf{f}(\mathsf{f}(\mathsf{f}(x))))\rightarrow f_{1}(\nabla(\mathsf{f}(\mathsf{f}(x))))&8:\nabla_{\mathsf{f}}(\mathbf{f}(\mathsf{f}(\mathsf{b})))\rightarrow f_{1}(\nabla(\mathsf{f}(\mathsf{b})))\\
9:&\nabla_{\mathsf{f}}(\mathbf{f}(\mathsf{f}(\mathsf{h}(x))))\rightarrow g_{1}(\nabla(\mathsf{f}(\mathsf{h}(x))))&10:\nabla_{\mathsf{f}}(\mathsf{f}(\mathsf{f}(\mathsf{c}))\rightarrow f_{1}(\nabla(\mathsf{f}(\mathsf{c})))\\
11:&\nabla_{\mathsf{g}}(\mathbf{g}(\mathsf{f}(x)))\rightarrow g_{1}(\nabla(\mathsf{f}(x)))&14:\nabla_{\mathsf{g}}(\mathbf{g}(g(x)))\rightarrow g_{1}(\nabla(\mathsf{g}(x)))\\
13:&\nabla_{\mathsf{f}}(\mathsf{f}(\mathsf{g}(x)))\rightarrow g_{1}(\nabla(\mathsf{h}(x)))&14:\nabla_{\mathsf{g}}(\mathsf{g}(\mathsf{c}))\rightarrow g_{1}(\nabla(\mathsf{c}))\\
15:&\nabla_{\mathsf{f}}(\mathsf{f}(\mathsf{g}(x))\rightarrow\Delta(x)&16:\nabla_{\mathsf{g}}(\mathsf{g}(x))\rightarrow\Delta(x)\\
17:&
$$

This example picks up to represent the average difference between basic and optimized version. However, the difference between two version may vary. In this experiment, the highest difference in a number of rules is 2314 rules from *LISTUTILITIES_nosorts*noand L.trs and the highest rules reduction from basic version to optimized version is 92 percent from 4.06.trs which reduce from 1242 rules to 98 rules.

5.2 Termination Experiments

We test the innermost termination property of transformed systems compare with original systems on AProVE [9] and TTT2 [16] which is the 1st and the 2nd winners of TermCOMP $2015¹$ respectively.

We limit the run-time to 60 seconds for each system. A system that takes more than 60 seconds to proved or disproved, considered to timeout in our experiments. The result is shown in Table 5.2.

AProVE	Proved	Disproved	Timeout
$\overline{\mathcal{R}^T}$		86	193
$\mathcal{S}(\mathcal{R}^T)$	13	73	193
$\mathcal{S}_{op}(\mathcal{R}^T)$	24	84	171
TTT2	Proved	Disproved	Timeout
\mathcal{R}^T	13	74	192
$\mathcal{S}(\mathcal{R}^T)$ $\mathcal{S}_{op}(\mathcal{R^{\mathstrut T}})$	20	67	192

NOTE: Full table of the result shown in the appendix.

Lastly, we extract 120 systems which are an overlay and right-linear systems which innermost termination is equivalent to full termination [20] and attempting to proved full termination by NaTT [26] instead.

 1 Termination Problem Database, http://nfa.imn.htwk-leipzig.de/termcomp-2015/competitions/4

	Proved Disproved Timeout	

From above results, consider the TRS \mathcal{R} in Example 37. The TRS \mathcal{R}^T cannot handle by both AProVE and TTT2. However, AProVE can prove the innermost termination of TRS $\mathcal{S}(\mathcal{R}^T)$ but TTT2 still cannot. Lastly, the innermost termination of TRS $\mathcal{S}_{op}(\mathcal{R}^T)$ can be proved by both AProVE and TTT2.

Chapter 6 Conclusion

We presented two new techniques on innermost termination analysis, which are type-based reachability analysis and pattern separation. Our primary target problems are transformed systems especially innermost termination problems obtained from Thiemann's transformation algorithm which is considered as a representation of difficult systems. Type information and complement pattern are exploited to analyze problems. We conclude the thesis by starting with related work.

6.1 Related Work

Type-based reachability analysis is an approximation technique for computing reachability. Another famous approximation technique for computing reachability is ICAP function. However, an approach of those techniques is difference and orthogonal to each other.

The *ICAP* function [11] is an approximation function which mainly relies on unification. The solid point of this technique is that it can be applied to every system and well performed in the most cases. However, the analysis only inspects from the start term. On the other hand, type-based reachability analysis mainly employs type information to analyze a term and map it to a set. The advantage of this technique is it analyze through rewrite steps. Even though the missing step is hidden in nested rewrite steps, it may detect, and the analysis concludes unreachable. The big drawback of this techniques is that it will be completely useless if after performing type introduction the single-sorted system is obtained. We strongly anticipate that this technique can be used combined with the ICAP technique.

As, reachability analysis is a part of many techniques such as dependency graph, freezing, usable rules and usable replacement maps. The improvement of approximation function will effect many techniques. We anticipate that unification approach and type approach of reachability analysis can be combined. The investigation on how to combine those two techniques are a consideration as future work.

Pattern separation is a transformation technique for innermost termination. We compare this technique in two aspect transformation technique and innermost specific technique.

In transformation techniques aspect, we compare with Narrowing, Instantiation, and Rewriting techniques [22] which are implemented in the AProVE. All of the mention transformation techniques involve unification to compute the instantiation part. On top of that pattern separation uses complement pattern of overlap part to instantiate a rule. In our experiments, considering the proving result with TTT2 on the optimized version of pattern separation of assoc-f-rhs.trs and the proving result with AProVE on the original version of assoc_{-f-rhs}.trs are failed. However, the proving result with AProVE on the optimized version of pattern separation of $assoc_f_rhs.}$ is succeeded. Since TTT2 does not include Narrowing technique, but the success proof relies on both pattern separation and Narrowing. Therefore, $assoc_f$ -rhs.trs is our witness to show the combining power of pattern separation and narrowing technique.

For the innermost specific technique aspect, we compare pattern separation and innermost recursive path ordering (iRPO) [7]. The iRPO is an ordering based on RPO but, specifically designed for innermost termination. This technique is completely different from pattern separation because iRPO directly proves the innermost termination of a TRSs and pattern separation is just a transformation. We do not have experiments on their combinations two technique since there are no existing tools that include iRPO technique. Its evaluation is future work.

6.2 Limitations

The major limitation of our technique is that we heavily rely on type information. We cannot use type based reachability analysis on a single-sorted TRS at all. In the case of pattern separation, the basic version has significantly low performance compared to the optimized version that requires type information. Another bottleneck for pattern separation is complement algorithm which requires to performing on a linear term. Therefore, our technique is shining on a system which yields a nice type information after performing type introduction such as a system from the Thiemanns algorithm. However, it may be harmful to a system which yields single-sorted system after performing type introduction.

As future work, we plan to investigate conditions of type information which is suited to our techniques. Since the performance of our techniques heavily depends on type information. Another direction is to improve our techniques such as relax the linear term condition for the complement algorithm and combine with existing techniques. Also, we consider that simulation of rewrite step is excessive for proving termination. The idea of an unavoidable set [19] which focuses on the termination property may apply to this technique.

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Appendix A

Experimental Data

Transformation Experiments

This section reports number of rewrite rules of a particular system in our problem set.

- $\mathcal{S}(\mathcal{R}^T)$: The number of rules in the system resulting from basic version of pattern separation.
- $\mathcal{S}_{op}(\mathcal{R}^T)$: The number of rules in the system resulting from optimized version of pattern separation.
- Difference : The difference in number of rules of both version of pattern separation.
- Percentage : Percentage of reducing the number of rules from basic version to optimized version.

Termination Experiments via TTT2

This section report the result from TTT2. The report format is "result : time".

- $\bullet\,$ Y : The system can be proved.
- N : The system can be disproved.
- M : The system cannot proved or disproved.
- $\bullet\,$ T : The system cannot proved or disproved with in time limit 60s.

Termination Experiments via AProVE

This section report the result from AProVE. The report format is "result : time".

- $\bullet\,$ Y : The system can be proved.
- N : The system can be disproved.
- M : The system cannot proved or disproved.
- $\bullet\,$ T : The system cannot proved or disproved with in time limit 60s.

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