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Title	Investigation of Ferroelectricity in Sputtered Y-doped Hf02 Thin Films
Author(s)	Karim, Mohammad Mesbahul
Citation	
Issue Date	2018-06
Туре	Thesis or Dissertation
Text version	none
URL	http://hdl.handle.net/10119/15349
Rights	
Description	Supervisor:徳光 永輔,先端科学技術研究科,修士 (マテリアルサイエンス)



## Abstract

Usually HfO<sub>2</sub> is a dielectric material with high static dielectric constant (K) around 25. But, recently it has been found that HfO<sub>2</sub> thin films show ferroelectricity when they are doped with suitable amount of certain materials (Si, Y, Al etc.). Study shows that at room temperature HfO<sub>2</sub> crystallizes into the monoclinic phase (P21/c), but at higher or elevated temperatures it is transformed into tetragonal and then cubic phases. Doping HfO<sub>2</sub> thin films with suitable amount of dopant can cause the films to crystallize and stabilize in these other phases at significantly lower annealing temperatures. The previous study also shows that the origin of this ferroelectricity HfO<sub>2</sub> thin films was attributed to a non-centrosymmetric orthorhombic phase transition of space group Pbc21. There are various methods for fabricating ferroelectric HfO<sub>2</sub> thin films such as solution process, atomic layer deposition (ALD), pulsed laser deposition (PLD) etc. But sputtering method for fabricating ferroelectric HfO2 is not investigated deeply yet. It is thought that sputtering would be the most suitable method for fabricating ferroelectric HfO<sub>2</sub> as sputtering is the most adopted method in the industries. Therefore, main purpose this study was to find the suitable condition for fabricating ferroelectric HfO<sub>2</sub> thin films and effect of changing the deposition conditions on the ferroelectric properties.

The complete metal insulator metal (MIM) structures with Y-doped HfO<sub>2</sub> (2 and 7 percent doping concentration) as the insulating material were fabricated by ac magnetron sputtering method on SiO<sub>2</sub> substrates. As the material of bottom and top electrodes of the MIM structures, Mo, Pt and TiN were tested. Also, the deposition conditions (Oxygen content, Substrate heating temperature) of Y-doped HfO<sub>2</sub> thin films were changed during the experiment. The change in the polarization vs electrical characteristics with the change in the above parameters are mainly investigated in this study.

The P-E Characteristics of the Samples with the structure Mo/HfO<sub>2</sub>/Pt or Mo/HfO<sub>2</sub>/TiN/SiO<sub>2</sub> could not be measured. It is because the Mo oxidized when they were annealed in the air. After that the samples with more stable material (TiN/HfO<sub>2</sub>/Pt) was also tested. But the mentioned material show remnant polarization, Pr around 8  $\mu$ C/cm<sup>2</sup> at lower temperatures (without annealing) and show Pr around 30 uC/cm<sup>2</sup> at little bit elevated annealed temperature (around 400 °C). In this case if the annealing temperature is increased further the

samples were destroyed. It is to mention that the P-E characteristics of those materials were asymmetric. The symmetric P-E characteristics with moderate remnant polarization was obtained when MIM structure was TiN/HfO<sub>2</sub>/TiN/SiO<sub>2</sub>/Si. But the study shows that the higher remnant polarization with lower leakage current was obtained when the leakage current of as deposited samples was lower (as the leakage current depends on crystalline quality). Therefore, we can conclude from our experiment that we might obtain a ferroelectric HfO<sub>2</sub> thin films with higher remnant polarization if we chose symmetric electrode with suitable thermal expansion co-efficient, with better thermal stability (can avoid oxidation during and after annealing) and lower surface roughness.