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**Transfer of a low-molecular-weight compound  
between two immiscible polymers**

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**1 Abstract**

2 We investigated plasticizer transfer between poly(ethylene-*co*-vinyl acetate) (EVA)  
3 and poly(lactic acid) (PLA) and its temperature dependence using laminated films  
4 comprising EVA and PLA, each containing equal amounts of a plasticizer (i.e., diethyl  
5 phthalate (DEP) or dibutyl phthalate (DBP)). Because the miscibility between PLA and  
6 DEP is better than that between EVA and DEP, a large amount of DEP was detected in the  
7 PLA film after annealing the laminated films at 80°C; i.e., some DEP moved from the  
8 EVA film to the PLA film. Furthermore, more DEP migrated to the PLA film at 130°C,  
9 suggesting that the difference in the interaction parameter with DEP between PLA and  
10 EVA is more pronounced at higher temperatures. In laminated films containing DBP, the  
11 DBP content in each film was almost equal after annealing at 80°C, although DBP  
12 migrated from the PLA film to the EVA film at 130°C.

13

## 1 Introduction

2 Polymer blending has developed as the polymer industry has progressed because it is one  
3 of the easiest ways of improving several polymer properties. Therefore, a great deal of  
4 effort has been put into optimizing various polymer blends.<sup>1-5</sup> Recently, advanced  
5 techniques have been proposed using interesting phenomena such as: (1) miscibility  
6 change or segregation behavior (concentration gradient) under a temperature gradient<sup>6,7</sup>  
7 or velocity gradient, i.e., a flow field;<sup>8,9</sup> (2) orientation correlation between matrix  
8 polymer chains and low-molecular-weight compounds dissolved in a polymer;<sup>10-12</sup> and  
9 (3) selective localization of a third component in an immiscible blend.<sup>13-18</sup> In the present  
10 study, we focused on the selective localization of a third component and its temperature  
11 dependence using a plasticizer as the low-molecular-weight compound in an immiscible  
12 polymer pair comprising poly(lactic acid) (PLA) and poly(ethylene-*co*-vinyl acetate)  
13 (EVA).

14 Localization and/or migration of a third component between phases has been reported  
15 mostly in immiscible rubber blends,<sup>13-15</sup> and is usually considered to be an unfavorable  
16 phenomenon in the rubber industry. For example, the uneven distribution of a curative<sup>14,15</sup>  
17 and/or accelerator<sup>13</sup> directly results in a marked cure imbalance between the phases. Such  
18 uneven distribution occurs in not only low-molecular-weight compounds but also  
19 fillers.<sup>16-18</sup> Recently, Kuhakongkiat et al. proposed a new technique for controlling the  
20 temperature-dependent distribution of plasticizers in immiscible blends comprising  
21 ethylene-*co*-propylene rubber (EPR) and elastomeric polyisobutylene (PIB).<sup>19</sup> They  
22 found that bis(2-ethylhexyl) adipate (DOA), which acts as a plasticizer for both rubbers,

1 localized in the EPR at low temperatures and in the PIB at high temperatures. In other  
2 words, the DOA concentration in each rubber phase is dependent on the ambient  
3 temperature. Therefore, blends in which EPR is the matrix phase have a low modulus in  
4 winter and high modulus in summer. Doan et al. found a similar phenomenon in an  
5 immiscible rubber blend containing a tackifier.<sup>20</sup> Such interphase transfer is also expected  
6 in damping materials that exhibit large values of loss tangent ( $\tan \delta$ ) over a wide  
7 temperature range, because the plasticizer distribution in phase-separated blends affects  
8 the glass transition temperature ( $T_g$ ) and the dynamic mechanical properties of each phase.  
9 We used PLA and EVA in the present study, because the  $T_g$  of PLA is slightly higher than  
10 room temperature and that of EVA is lower than room temperature. This situation has a  
11 capability for the blend to show good damping properties over a wide temperature range  
12 near room temperature. Up to now, various studies on PLA/EVA blends have been carried  
13 out, especially to improve the mechanical toughness of PLA by the EVA addition.<sup>21-26</sup>  
14 Although PLA sometimes shows partial miscibility with EVA,<sup>27</sup> at which the vinyl acetate  
15 content in EVA is high, it is basically known as immiscible blends. Therefore, the  
16 compatibilization is the key factor for the studies, such as addition of compatibilizer,<sup>24</sup>  
17 transesterification,<sup>25</sup> and peroxide modification.<sup>26</sup> However, the application to damping  
18 materials has not been studied to the best of our knowledge.

19 In this study, we applied annealing procedure only beyond the melting point of EVA  
20 to avoid the effect of crystallinity and slow diffusion in PLA at low temperature. However,  
21 the current experimental results about the effect of annealing temperature on the transfer  
22 phenomenon would demonstrate the importance of the concept for the material design.

1 Moreover, we employed two types of phthalic esters to examine the effect of the  
2 plasticizer species on the transfer phenomenon. Since the plasticizers that we used are  
3 conventional materials with similar structure but having different solubility parameter,  
4 the comparison between the plasticizers will provide the fundamental information on the  
5 material design.

6

## 7 **Experimental**

### 8 **Materials**

9 The polymers used in the present study were commercially available PLA (Lacea  
10 H280, Mitsui Chemicals, Inc., Japan) and EVA (Evaflex EV360, Dupont-Mitsui  
11 Polychemicals Co., Ltd., Japan). The PLA comprised 12% D-lactic acid units, and was  
12 therefore not crystalline. The number- and weight-average molecular weights of the  
13 PLA—evaluated by size-exclusion chromatography as a polystyrene standard—were  $M_n$   
14  $= 1.5 \times 10^5$  and  $M_w = 2.7 \times 10^5$ , respectively. The EVA comprised 25 wt.% vinyl acetate.  
15 Its density at room temperature was  $950 \text{ kg/m}^3$ , its melt flow rate at  $190^\circ\text{C}$  was  $2 \text{ g/10}$   
16  $\text{min}$ , and its melting point was  $77^\circ\text{C}$ . The EVA had an  $M_n = 6.3 \times 10^4$  and an  $M_w = 2.5 \times$   
17  $10^5$ , as determined using a polystyrene standard. The thermal and rheological properties  
18 of PLA<sup>28,29</sup> and EVA<sup>30,31</sup> have been described in detail previously.

19 We used two plasticizers—diethyl phthalate (DEP) and dibutyl phthalate (DBP), both  
20 purchased from Daihachi Chemical Industry Co., Ltd., Japan—without further  
21 purification. The Hansen solubility parameters of DEP and DBP were reported to be 20.5  
22 and  $19.0 (\text{MJ/m}^3)^{0.5}$ , respectively.<sup>32</sup> The glass transition temperatures are  $-90.0$  for DEP

1 and -95.5°C for DBP.<sup>33</sup>

2

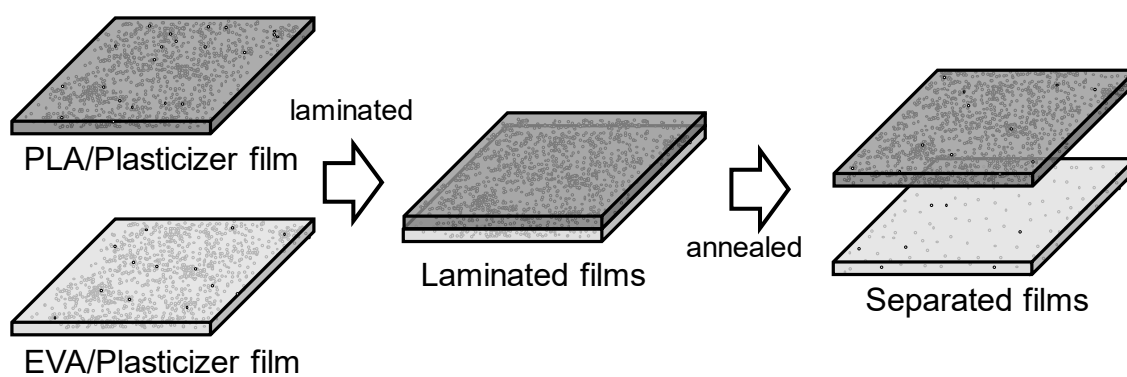
### 3 **Sample preparation**

4 We prepared plasticized EVA and PLA samples using a 30 cc Labo Plastomill  
5 10M100 internal mixer (Toyo Seiki Seisaku-sho, Ltd., Japan) for 3 min at a blade rotation  
6 speed of 30 rpm. The mixing temperatures were 180°C for the PLA blends and 130°C for  
7 the EVA blends. We vacuum-dried pellets of the PLA blend at 80°C for 4 h prior to melt-  
8 mixing to avoid hydrolysis. The plasticizer constituted 5, 10, 15, or 20 parts per hundred  
9 of resin (phr). We compressed the obtained mixtures into flat films (0.3-mm-thick) using  
10 a compression-molding machine at 130°C under 30 MPa.

11 The plasticizer transfer experiments were performed using PLA and EVA, both  
12 containing 10 phr of a plasticizer (DEB or DBP). As illustrated in Figure 1, the plasticized  
13 PLA and EVA films were laminated together. We applied slight manual pressure only to  
14 ensure perfect lamination. After the perfect contact of the films, the pressure was removed.  
15 The laminated films were then annealed at 80 or 130°C for various periods such as 1, 4,  
16 and 9 hours without any pressure, after which both polymers were in the non-crystalline  
17 rubbery state. The film thickness hardly changed (no flow) even after 9 hours at 130°C  
18 because of the high viscosity at this temperature. To confirm the reversibility of plasticizer  
19 transfer, we annealed one set of laminated films at 130°C for 4 h, then further annealed  
20 them at 80°C for 4 h. After annealing, we separated the films and stored them at room  
21 temperature for 3 days to homogenize the plasticizer distribution in the films prior to  
22 characterization. We confirmed that both surfaces provide the same IR spectra.

1 Furthermore, it should be noted that it is no difficulty for the film separation. This is  
2 reasonable because the interfacial thickness of the system is quite thin due to the large  
3 difference in the solubility parameter, which is theoretically predictable.<sup>34,35</sup>

4



5

6 Figure 1 Schematic illustration of the plasticizer transfer experiment.

7

## 8 **Measurements**

### 9 ***Dynamical mechanical properties***

10 We investigated the dependence on temperature of the tensile storage modulus ( $E'$ )  
11 and the loss tangent ( $\tan \delta$ ) of rectangular specimens (5-mm-wide; 20-mm-long; 0.3-mm-  
12 thick) using a Rheogel E4000-DVE dynamic mechanical analyzer (UBM Co., Ltd.,  
13 Japan) in the temperature ranges 0–100°C for the PLA and plasticized PLA films, and  
14 –80–40°C for the EVA and plasticized EVA films. The heating rate was 2°C/min, and the  
15 applied frequency was 10 Hz. The peak temperature in the  $\tan \delta$  curve was taken to be  
16 the  $T_g$ .

17

### 18 ***Fourier-transform infrared (FTIR) spectroscopy***



1 The attenuated total reflection (ATR) infrared spectra were collected using a  
2 Spectrum 100 FT-IR instrument (PerkinElmer Inc., MA, USA) with KRS-5 as an ATR  
3 plate. The intensity of a characteristic absorbance peak at  $1123\text{ cm}^{-1}$  was used to determine  
4 the plasticizer content of the EVA films; a calibration curve was constructed from EVA  
5 films containing various amounts of the plasticizer for this purpose.

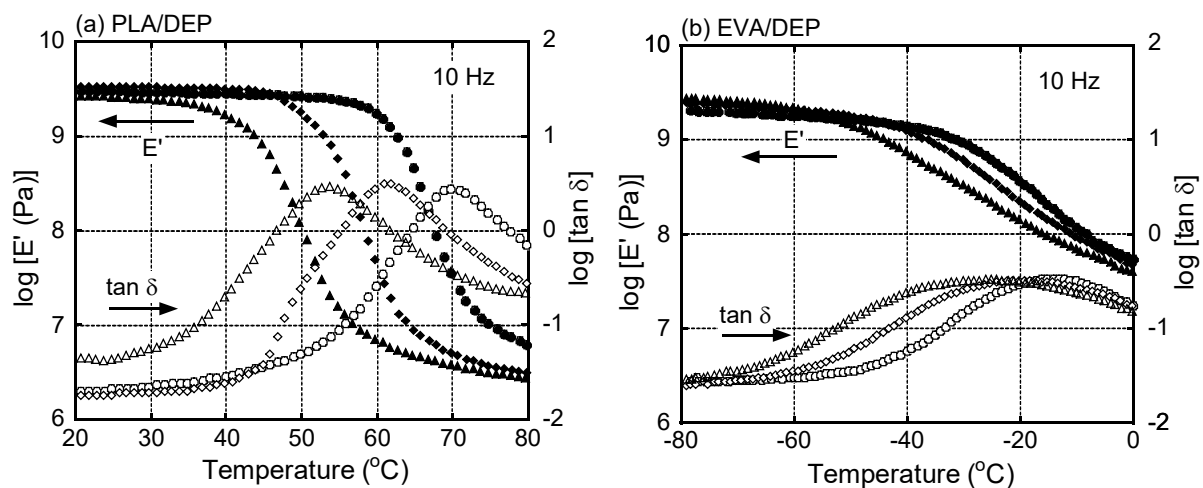
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## 7 **Results and Discussion**

### 8 **Characteristics of polymers containing plasticizer**

9 Prior to evaluation of the transfer phenomenon, we examined the effect of the  
10 plasticizer on the dynamic mechanical properties of a film made from each polymer.  
11 Figure 2 shows the temperature dependence of the tensile storage modulus ( $E'$ ) and loss  
12 tangent ( $\tan \delta$ ) at 10 Hz of the pure polymers and the polymers with 5 or 10 phr of DEP.  
13 The peak temperature of  $\tan \delta$  in the glass-to-rubber transition region—i.e.,  $T_g$ —decreased  
14 following the addition of DEP, for both PLA and EVA. The plasticization phenomenon  
15 by DEP was already reported elsewhere.<sup>36,37</sup> The peak width was hardly affected by the  
16 addition of DEP for both systems, suggesting a narrow relaxation time distribution, i.e.,  
17 good miscibility. In the case of the EVA blends, however, the peak was broad even for  
18 pure EVA. This broad relaxation mode is ascribed to various amorphous chains having  
19 different mobilities, such as floating chain, cilia chain, loop chain, and tie chain, as  
20 reported previously,<sup>38-41</sup> although the crystallinity of the EVA is not so high (ca. 15 %,   
21 calculated from the heat of fusion evaluated by the DSC measurement<sup>30</sup>). Moreover, we  
22 found that  $E'$  in the glassy region was enhanced by the addition of DEP; i.e., DEP acted

1 as an antiplasticizer in the glassy region (the numeric data are shown in Table 1), although  
 2 it showed plasticizing effect around at  $T_g$ . This may be important because antiplasticized  
 3 systems have reduced thermal expansion.<sup>42</sup> The  $E'$  in the glassy region in the PLA system  
 4 decreased by the addition of 10 phr of DEP, which is a typical behavior for a plasticized  
 5 polymer.<sup>43,44</sup> However, the small addition, 5 phr, of DEP seems to enhance the modulus  
 6 slightly.

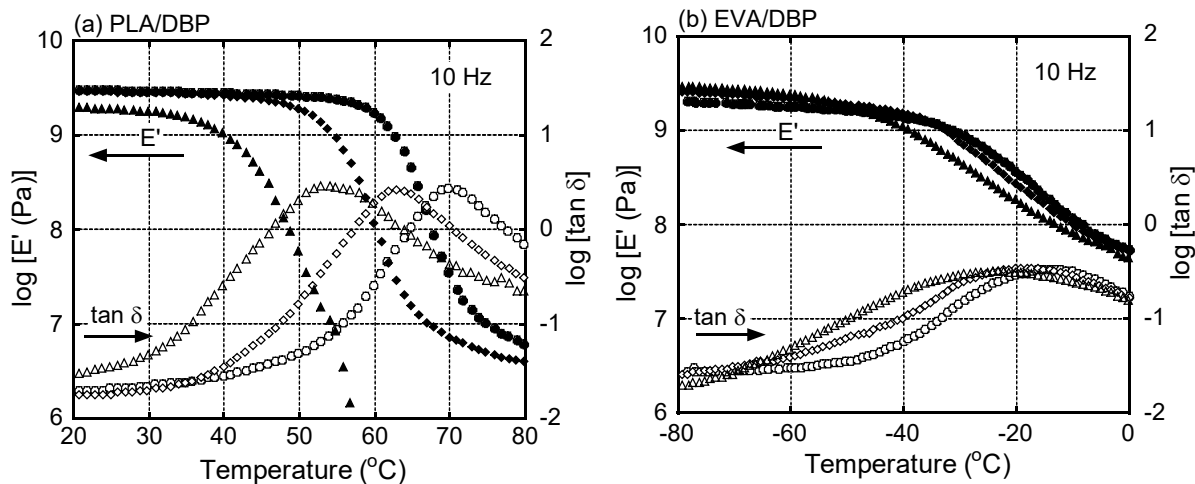


8  
 9 Figure 2 Temperature dependence of tensile storage modulus ( $E'$ ) and loss tangent ( $\tan$   
 10  $\delta$ ) at 10 Hz of (a) polylactic acid (PLA) and (b) poly(ethylene-*co*-vinyl acetate) (EVA)  
 11 films containing various amounts of diethyl phthalate (DEP); (circles) 0 phr, (diamonds)  
 12 5 phr, and (triangles) 10 phr.

13  
 14 Figure 3 shows the dynamic mechanical properties for the blends with DBP. The  
 15 results were almost the same as those with DEP for each composition, although the PLA  
 16 film containing 10 phr of DBP had a slightly broader relaxation peak. The width of  $\tan \delta$   
 17 peak, as the full-width at half-maximum (FWHM), was plotted as a function of the weight  
 18 fraction of a plasticizer in Figure 4. The results suggest that the concentration fluctuation  
 19 of DBP in PLA is more pronounced than that of DEP,<sup>38-40</sup> indicating that DBP has poor

1 miscibility with PLA compared with DEP. We also detected the antiplasticizer  
 2 phenomenon in the EVA/DBP systems in Figure 3 (see Table 1).

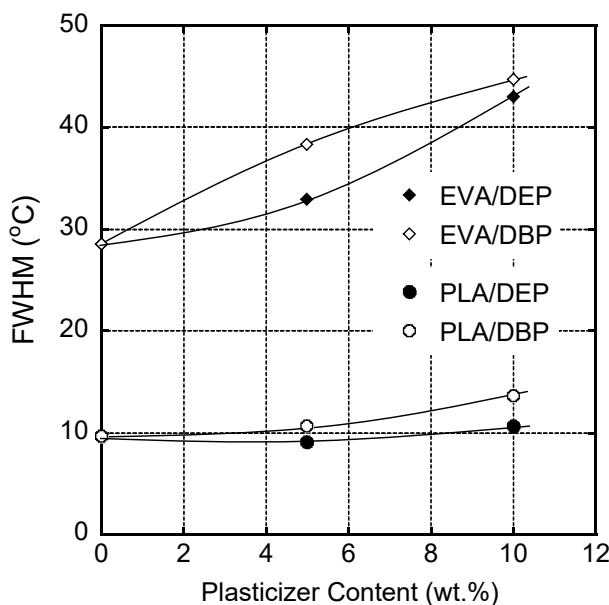
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5 Figure 3 Temperature dependence of tensile storage modulus ( $E'$ ) and loss tangent ( $\tan$   
 6  $\delta$ ) at 10 Hz for (a) poly(lactic acid) (PLA) and (b) poly(ethylene-*co*-vinyl acetate) (EVA)  
 7 films containing various amounts of dibutyl phthalate (DBP); (circles) 0 phr, (diamonds)  
 8 5 phr, and (triangles) 10 phr.

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Figure 4 Full-width at half-maximum (FWHM) of  $\tan \delta$  peak as a function of the plasticizer content.

1 Table 1  $E'$  in the glassy region,  $T_g$ , and FWHM for the samples

	$E'$ (GPa)	$T_g$ (°C)	FWHM (°C)
PLA	2.99	69.8	9.6
PLA/DEP (5 phr)	3.34	62.9	9.1
PLA/DEP (10 phr)	2.63	52.9	10.7
PLA/DBP (5 phr)	2.90	61.9	10.6
PLA/DBP (10 phr)	1.93	52.7	13.7
EVA	2.05	-15.2	28.6
EVA/DEP (5 phr)	2.48	-20.3	33.0
EVA/DEP (10 phr)	2.78	-26.3	43.1
EVA/DBP (5 phr)	2.59	-21.3	38.3
EVA/DBP (10 phr)	2.97	-26.2	44.8

2  $E'$ : Values in the glassy region (at 23 °C for PLA and -80°C for EVA)3  $T_g$ : Peak temperature of  $\tan \delta$ 

4

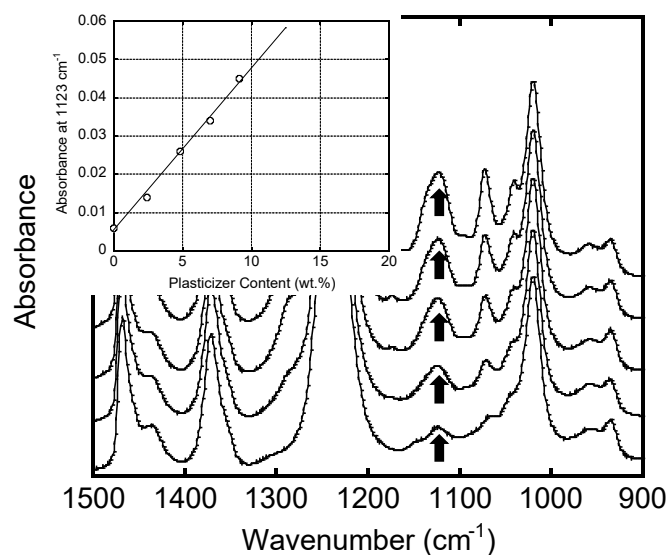
5 As shown in Table 1, the  $T_g$  values of both polymers decreased following addition of  
6 the plasticizer as reported previously.<sup>31,36,37,45</sup> Because the  $T_g$  of PLA is higher than that  
7 of EVA, the  $T_g$  shift was more pronounced for the PLA systems ( $T_g$ 's of the plasticizers  
8 are significantly low as mentioned in the experimental part), which is predicted by  
9 blending rules such as the Fox equation and the Gordon–Taylor equation.<sup>39,46</sup> The  
10 difference in the plasticizing effect between DEP and DBP was not obvious. This is  
11 reasonable because the difference in  $T_g$  between DEP and DBP is not significant as  
12 compared with the  $T_g$  difference between the plastics and plasticizers.

13

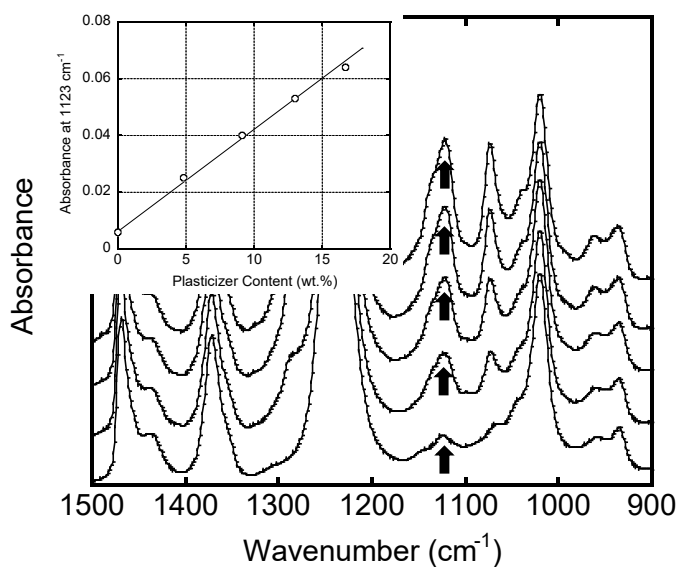
14 The ATR spectra of EVA films with various amounts of a plasticizer are shown in  
15 Figure 5. Because the sample films were in the rubbery state at room temperature, they  
16 showed perfect contact with the ATR crystal. The absorption at 1123  $\text{cm}^{-1}$ , indicated by  
17 the arrows in the figure, can be ascribed to the stretching vibration of  $\text{O}=\text{C}-\text{O}$ ,<sup>47</sup> which is  
18 weak for pure EVA because of the low concentration of carbonyl groups. The inset figure

1 reveals that the absorbance was proportional to the plasticizer content with negligible  
2 experimental error, indicating that the peak can be used to determine the content of the  
3 plasticizer in the EVA film separated after annealing.

4



5



6

7 Figure 5 Attenuated total reflection–Fourier-transform infrared (ATR-FT-IR) spectra of  
8 poly(ethylene-*co*-vinyl acetate) (EVA) films with various amounts of (top) diethyl  
9 phthalate (DEP) and (bottom) dibutyl phthalate (DBP). The small figure represents the  
10 absorbance at 1123  $\text{cm}^{-1}$  as a function of a plasticizer.

11

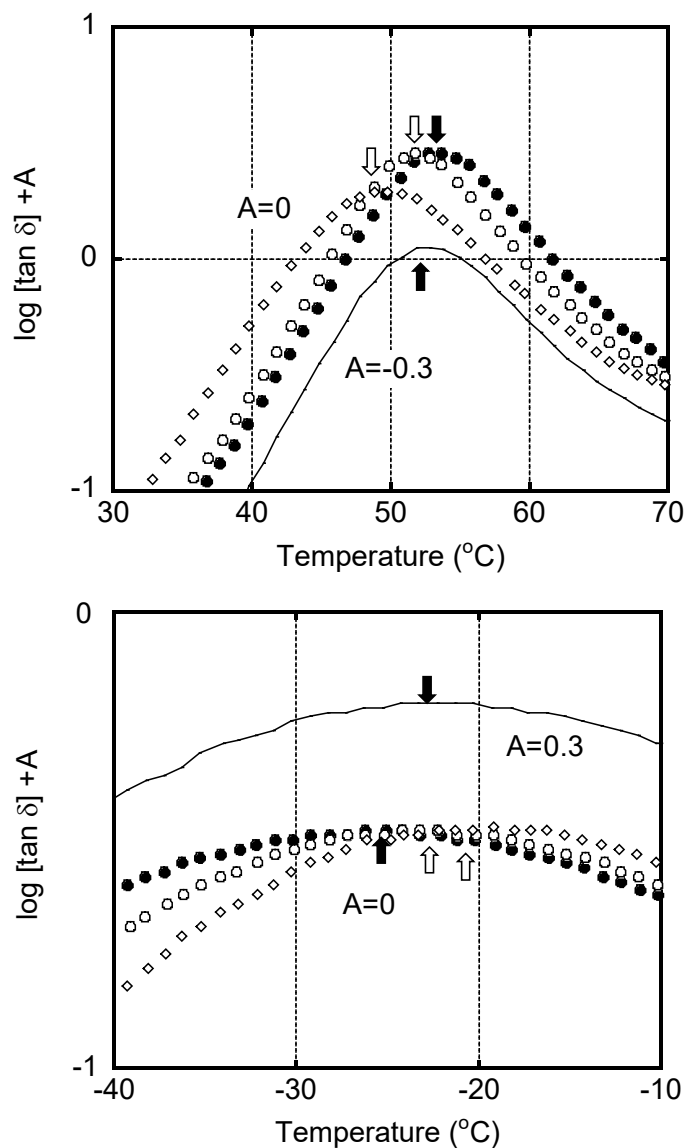
12 **Interphase transfer of plasticizer**

1        After annealing the laminated films for various periods, the films were separated to  
2 characterize the plasticizer content by the dynamic mechanical analysis (DMA). When  
3 annealing for 1 hour at 80°C of laminated films, each containing 10 phr of DBP at first,  
4  $T_g$  of the separated PLA film was slightly ( $< 1^\circ\text{C}$ ) higher than that of the separated one  
5 after annealing for 4 hours. Furthermore, the  $T_g$  of the separated PLA film annealed for 9  
6 hours was the same with that after annealing for 4 hours. These results demonstrate that  
7 the plasticizer distribution is in the equilibrium condition after at least 4 hours. This is  
8 attributed to the thin (300  $\mu\text{m}$ ) films with low  $T_g$  even for the PLA film because of the  
9 plasticizing effect. Therefore, we annealed various laminated films for 4 hours at either  
10 80°C or 130°C.

11        Figure 6 shows the temperature dependence of  $\tan \delta$  of the separated films containing  
12 DEP. It is apparent that the  $T_g$  of the PLA film decreased, whereas that of the EVA film  
13 increased. These results demonstrate that the DEP migrated from the EVA to the PLA film  
14 during annealing; i.e., DEP prefers PLA to EVA. This phenomenon can be attributed to  
15 the difference in miscibility. The solubility parameter of EVA is calculated by the  
16 summation of the contributions from ethylene and vinyl acetate units. It was found to be  
17 around 18.8 (MJ/m<sup>3</sup>)<sup>0.5</sup>, whereas PLA shows a relatively high value,<sup>48</sup> 21.9 (MJ/m<sup>3</sup>)<sup>0.5</sup>. As  
18 a result, DEP prefers PLA, whereas DBP tends to stay in EVA. Furthermore, it should be  
19 noted that the extent of DEP transfer—i.e., the  $T_g$  shift—was enhanced during the high-  
20 temperature annealing, suggesting that the difference in the interaction parameter between  
21 PLA-DEP and EVA-DEP was more pronounced at the high temperature.

22        The DEP content of each separated film was estimated from the  $T_g$  shift measured by

1 DMA (the calibration curve is shown in Supporting information 1) and FT-IR spectra,  
 2 which was summarized in Table 2. The results obtained by FT-IR spectroscopy  
 3 corresponded well with those estimated by DMA.



6 Figure 6 Temperature dependence of loss tangent ( $\tan \delta$ ) at 10 Hz of (top) polylactic acid  
 7 (PLA) and (bottom) poly(ethylene-*co*-vinyl acetate) (EVA) films; (closed circles) before  
 8 lamination (10 phr of DEP), (open circles) separated film after annealing at 80°C, and  
 9 (open diamonds) separated film after annealing at 130°C. In the figure, the data for the  
 10 separated film after multi-annealing histories—i.e., annealing at 80°C followed by  
 11 annealing at 130°C—are shown as a solid line with a vertical shift.

12

13 To confirm the effect of the annealing temperature, the laminated films annealed at

1 130°C for 4 hours were annealed for a further 4 hours at 80°C. As indicated by the solid  
 2 line in Figure 6, the  $T_g$  of the separated films—which indicates the amount of DEP in the  
 3 films—was determined by the final annealing temperature. The data are also listed in  
 4 Table 2. The results confirm that the annealing period was enough to achieve equilibrium.

5  
 6 Table 2 Diethyl phthalate (DEP) content in the films

	DEP content in PLA estimated by DMA	DEP content in EVA evaluated by DMA	DEP content in EVA evaluated by FT-IR*
Without lamination (initial content)	10 phr	10 phr	10 phr
After annealing at 80°C	10.7 phr	8.0 phr	7.8 phr
After annealing at 130°C	12.4 phr	6.2 phr	6.6 phr
After re-annealing at 80°C using the sample annealed at 130°C	10.6 phr	8.1 phr	—

7 \* FT-IR spectra are shown in Supporting Information 2

8  
 9 The same experiments were performed using DBP as the plasticizer, and the results  
 10 are summarized in Table 3. The films annealed at 80°C contained almost the same amount  
 11 of DBP—i.e., 10 phr—suggesting that the interaction parameter between PLA and DBP  
 12 was similar to that between EVA and DBP at this temperature. However, we detected DBP  
 13 transfer from the PLA film to the EVA film during annealing at 130°C, which was the  
 14 opposite direction to that observed in the DEP system. This indicates that DBP prefers  
 15 EVA to PLA at this temperature. These results demonstrate that the extent and direction  
 16 of plasticizer transfer across the boundary between the immiscible PLA and EVA films



1 are markedly affected by the plasticizer and the ambient temperature.

2

3 Table 3 Dibutyl phthalate (DBP) content in the films

	DBP content in PLA estimated by DMA	DBP content in EVA evaluated by DMA	DBP content in EVA evaluated by FT-IR*
Without lamination (initial content)	10 phr	10 phr	10 phr
After annealing at 80°C	9.8 phr	11.0 phr	10.3 phr
After annealing at 130°C	7.9 phr	13.6 phr	12.0 phr
After re-annealing at 80°C using the sample annealed at 130°C	9.1 phr	10.9 phr	—

4 \* FT-IR spectra are shown in Supporting Information 2

5

## 6 **Conclusions**

7 We investigated the interphase transfer of a plasticizer between PLA and EVA using  
8 laminated films at 80 and 130 °C. The extent and direction of plasticizer transfer, which  
9 were estimated from the FT-IR spectra and the  $T_g$  shift measured by DMA, were  
10 dependent on the annealing temperature and the plasticizer. Furthermore, the plasticizer  
11 transfer phenomenon was reversible; i.e., the plasticizer content in each polymer film was  
12 determined by the final annealing temperature. These phenomena can be attributed to the  
13 temperature dependence of the difference in the interaction parameter with the plasticizer  
14 between PLA and EVA. Because the transfer of low-molecular-weight compounds such  
15 as plasticizers is also expected in blends, this information will be useful for the future  
16 development of functional immiscible blends.

17

## 1 **Acknowledgements**

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4

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