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Visualization of Physiological Responses  
by Observing 2D and 3D Liposome Dynamics

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博士論文

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令和5年3月

## Abstract

### 【Background】

Cells sense the extracellular environment and initiate physiological responses to maintain homeostasis. Membrane receptors convert extracellular information into intracellular information by interacting with signal molecules. Dysregulation of signal transduction causes various diseases. Obesity and aging, which are abnormal states of lipid quality and quantity, are major risk factors for various diseases. Thus, it is possible that changes in lipidome affect the interaction between lipids and biological membrane properties and disturb signal transduction, eventually causing various diseases. However, the effect of lipid interactions and membrane properties on signal transduction is poorly understood. Lipid interactions and properties affect membrane dynamics. There are many kinds of membrane dynamics. We have classified these membrane dynamics into 2 types; 3D membrane dynamics (endocytosis, autophagy, exocytosis) and 2D membrane dynamics (lipid rafts). However, the biological membrane is not suitable for the analysis of lipid interaction because the components of the biological membrane are very complicated. Thus, in this thesis, we used biomimetic membranes (liposomes) because they can be prepared with simple compositions and are large enough to be observed by microscopy. We have studied 2D and 3D liposome dynamics. We have shown that liposomes cause deformation by adding surfactants and classified the 3D membrane dynamics depending on the strength of irritation. The evaluation method enables us to evaluate the irritancy of surfactants even when the irritancy of the surfactants is low. Liposomes can be changed their lipid compositions and phase-separated structures can be formed; liquid-ordered phase (Lo) rich in saturated lipids and cholesterol, liquid-disordered (Ld) phase rich in unsaturated lipids, and solid-ordered (So) phase rich in saturated lipids. This phase separation can be found in living cells. The So phase occurs in cholesterol (Chol)-poor endoplasmic reticulum (ER) and the Lo phase occurs in cholesterol-rich membranes such as cell membranes.

### 【Objective】

The purpose of this study was to visualize physiological responses using biomimetic membranes.

In chapter 2, we investigated the irritation of sodium cocoyl glutamate by an irritating evaluation system with 3D membrane dynamics. Furthermore, we examined the correlation between the irritancy evaluation system and the stinging test. In particular, the relationship between the carbon chain length of sodium glutamate and irritancy was examined. In chapter 3, we regarded So/Ld and Lo/Ld liposomes as ER membrane-model and raft model, respectively, and investigated the effect of two forms of vitamin E,  $\alpha$ -Tocopherol(Toc) and  $\alpha$ -Tocotrienol(Toc3), on amyloid  $\beta$  adsorption on these model membranes.

### 【Results】

In chapter 2, by observing membrane dynamics after adding amino acid surfactant to liposome solution, we found that comparing the irritancy of sodium cocoyl glutamate with that of its main component, sodium lauroyl glutamate, it was found in both liposome and stinging test that sodium lauroyl glutamate was the more irritating. The irritancy of sodium lauroyl glutamate was reduced by amphoteric surfactants. Furthermore, we established an evaluation system for Flip-Flop rate, which is important for membrane deformation. In chapter 3, we found that Toc and Toc3 do not affect the Lo/ Ld phase separation. DSC measurements suggested that affinity between Chol and VE (Toc and Toc3) is low, and VE is mainly incorporated into Ld phase in raft model membrane. Furthermore, DPH anisotropy measurement shows that VE increased the order of Ld phase. As the result, line tension of Lo/Ld phase boundary was decreased by adding VEs. Toc and Toc3 decreased the phase separation of So/Ld phase separation. DSC measurement using DPPC/VE binary system suggested that VE decreased the interaction between DPPCs. The effect was more strongly for Toc3 than Toc. Thus, the amount of Toc which is incorporated into So phase was larger than Toc3. We found that inhibition of So phase by Toc and Toc3 decreases amyloid  $\beta$  adsorption onto ER membrane model. Our experimental results show that changes in lipids interactions are closely related to physiological responses, and that visualization by 2D and 3D membrane dynamics can serve as a model system for various physiological responses. Furthermore, combined with superior image processing systems, we will be able to understand physiological responses in even greater detail.

### 【Keyword】

Liposome, Membrane deformation, Surfactant, Phase separation, Amyloid- $\beta$

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# Chapter 1

## General Introduction

## 1-1 Signal transduction

Cells sense their extracellular environment and adapt to its changes by triggering physiological responses such as proliferation, cell death, and homeostasis control (Galluzzi et al., 2018; Schwarz and Blower, 2016; Villaseñor et al., 2015). These responses are initiated by the activation of receptors embedded in biological membranes. Activation of receptors is caused by the interaction with ligands and activated receptors convert extracellular information into intracellular. This series of pathways is called signal transduction. Abnormalities in signaling pathways cause a variety of diseases, thus, it is important to develop a better understanding of the regulation of these pathways.

It has been reported that the membrane environment influences the activity of ion channels (Wiggins and Phillips, 2004). In addition, the existence of signaling pathways initiated by membrane lipid components has been demonstrated (Funk, 2001). The role of biological membranes in signal transduction is thought to be important. However, little is known about it.

## 1-2 Biological membrane and lipid raft

Signal transduction is primarily mediated by proteins, which are localized in biological membranes. The biological membrane serves as a boundary between environments and assigns a specific role to each compartment. Biological membranes have lipid bilayers structure composed mainly of phospholipids, cholesterol, and membrane proteins (Fig. 1-1) (Jacquemyn et al., 2017; van Meer et al., 2008).

In 1972, G. L. Nicolson and S. J. Singer proposed a “fluid mosaic model” in which the components of the biological membrane diffuse freely across the membrane (Singer and Nicolson, 1972). In this model, biological membranes are simply considered scaffolds for membrane proteins that initiate signaling pathways. Recently it has been suggested that a relatively highly ordered lipid micro-domain forms on the plasma membrane. These regions are called “lipid raft” and is thought to be rich in saturated lipids and cholesterol (Fig. 1-2) (Rajendran and Simons, 2005; Simons and Ikonen, 1997). The lipid raft is considered insoluble in Triton X-100 due to its characteristic lipid composition (Parton and Simons, 1995; Schuck et al., 2003). When cells were treated with Triton X-100 and the insoluble fraction was obtained, various receptors were found to be present, such as glycosylphosphatidylinositol (GPI)-anchored proteins and epidermal growth factor receptor (EGFR) (Brown and Rose, 1992; Puri et al., 2005). Therefore, lipid raft is thought to accumulate these proteins and function as important platforms for signal transduction.

Recently, the relationship between membrane properties and physiological responses has become elucidated. It has become clear that changes in lipid composition and membrane properties affect various physiological responses such as cell differentiation,



protein transport, receptor activation, and effector protein recruitment(Capolupo et al., 2022; Fuentes et al., 2021; Schuhmacher et al., 2020; Sezgin et al., 2017). However, biological membranes are non-equilibrium systems that undergo constant metabolism and constantly change their composition. Furthermore, lipid rafts are thought to be small and disappear quickly. Therefore, the formation of rafts and their effects on physiological responses are difficult to elucidate.

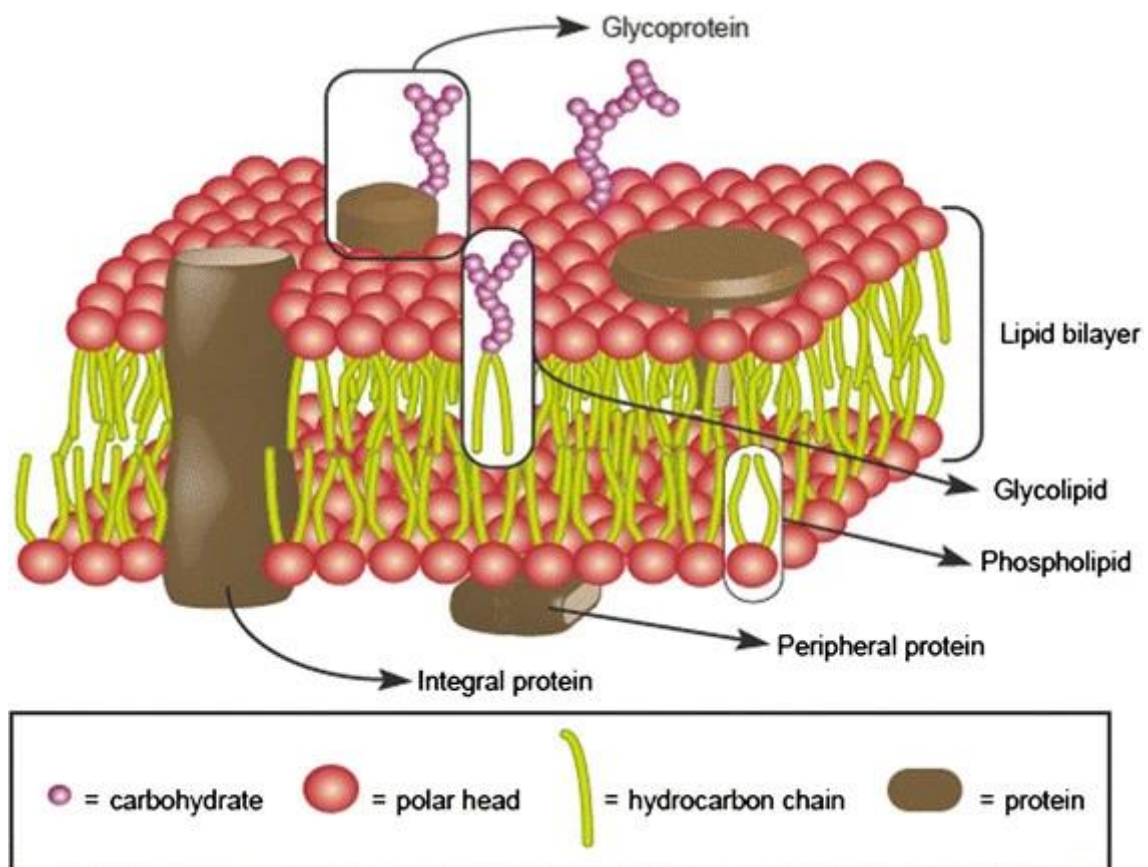


Fig. 1-1 Scheme of biological membrane(Lombard, 2014)

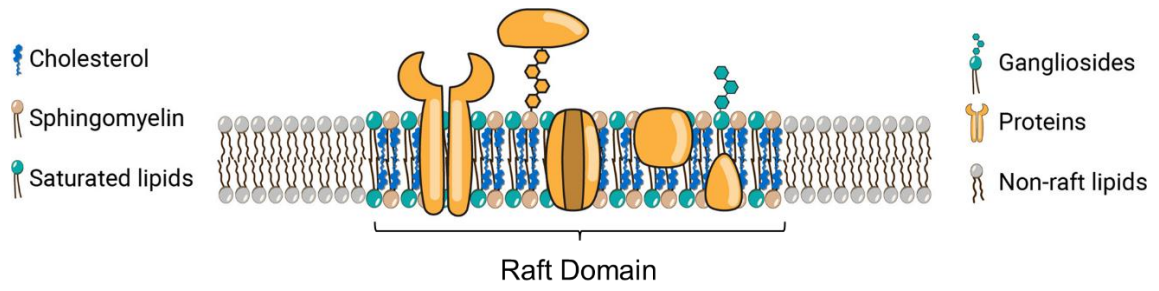


Fig. 1-2 Scheme of lipid raft(Nieto-Garai et al., 2022)

### 1-3 Cell membrane damage

Signal transduction occurs not only by proteins but also by lipid molecules (Bagga et al., 2003; Funk, 2001). Eicosanoids, produced from arachidonic acid, are a group of lipids that act as signaling molecules (Funk, 2001). The production of arachidonic acid has a pathway that proceeds with increased intracellular  $\text{Ca}^{2+}$  in the cytoplasm and originates in plasma membrane damage. Free fatty acids and plasma membrane-disrupting peptides are present extracellularly. These cell membrane-damaging molecules perforate the plasma membrane. The plasma membrane forms a concentration gradient of biomolecules. Because the biological membrane is a hydrophobic environment, ionic molecules cannot pass through the biological membrane. When this concentration gradient is disrupted by disruption of the biological membrane, various physiological responses occur. One of the molecules that trigger these physiological responses is  $\text{Ca}^{2+}$ .  $\text{Ca}^{2+}$  are kept at higher concentrations outside the cell than inside the cell. Therefore, they enter the cytoplasm during membrane pore formation. If the membrane pore is too large due to membrane damage, too many  $\text{Ca}^{2+}$  flow in and the cell undergoes necrosis, a non-programmed cell death. Necrosis cells release cell contents into the surrounding area, activating the innate immune system, including PPARs, and causing inflammation. When membrane pores are small (~100nm), influx  $\text{Ca}^{2+}$ -dependent membrane repair occurs (Jimenez et al., 2014; Zhen et al., 2021). On the other hand,  $\text{Ca}^{2+}$  trigger the arachidonic cascade. The influx of  $\text{Ca}^{2+}$  is taken up by calmodulin and changes the structure of calmodulin (Fig. 1-3). It then activates phospholipase A2, which degrades plasma membrane phospholipids and produces arachidonic acid.

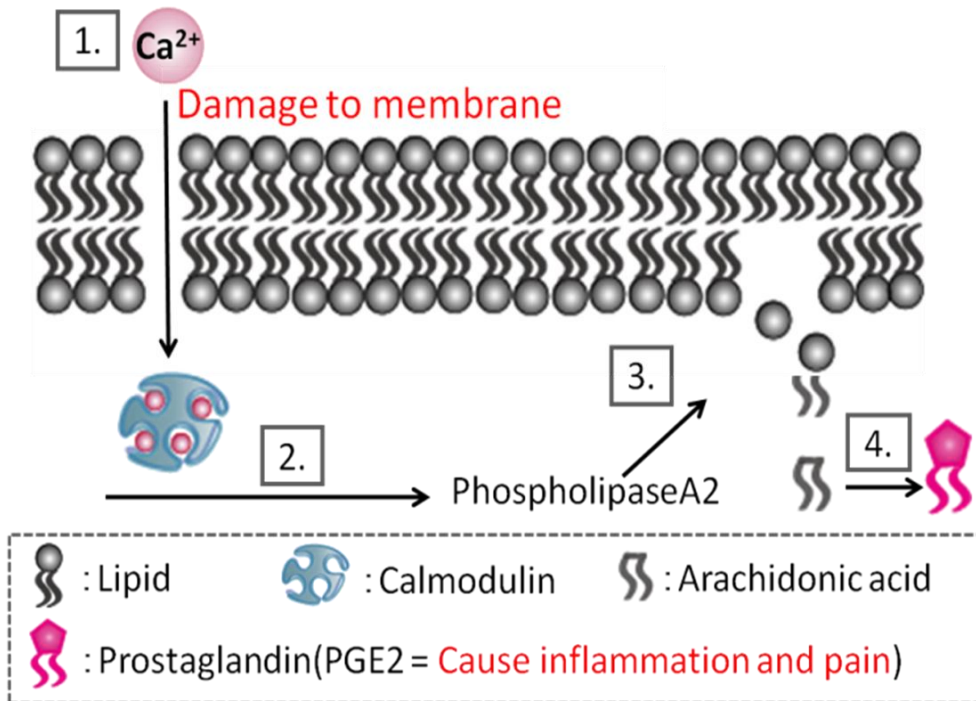


Fig. 1-3 Scheme of the mechanism of skin irritation

## 1-4 Endoplasmic Reticulum stress

ER is the largest membrane-bound organelle in the cell. ER is responsible for protein and lipid synthesis and is important for cell function (Schwarz and Blower, 2016). Furthermore, ER is responsible for controlling homeostasis in the quality and quantity of proteins and lipids, called proteostasis and homeoviscuous, respectively (Radanović and Ernst, 2021). Disruption of these homeostases is called ER stress. Under ER stress, cells initiate a variety of adaptive responses called unfolding protein responses (UPR) (Fig. 1-4) (Adams et al., 2019; Almanza et al., 2019; Sano and Reed, 2013).

The correct three-dimensional conformation of proteins is necessary for their proper function, and secretory and membrane proteins are properly folded in the ER prior to localization to their target compartments. The ER contains a variety of proteins involved in folding, including folding-mediating chaperones and folding-checking mechanisms, which control folding quality. Misfolding proteins are formed depending on the state of the cell. Misfolding proteins are cytotoxic, and cells have an evasive response. As a starting point for the avoidance response, the ER membrane contains three sensor proteins that are activated in the presence of misfolded proteins. The sensor proteins are Inositol requiring enzyme 1 (IRE1), PKR-like endoplasmic reticulum kinase (PERK), and activating transcription factor 6 (ATF6). Downstream of these sensor proteins are pathways involved in chaperone synthesis, degradation pathways (ubiquitin-proteasome pathway), and cell death, which can reduce ER stress or cause cell death in response to excessive stress.

ER stress has been shown to be activated not only by misfolded proteins but also by lipids (Ariyama et al., 2010; Halbleib et al., 2017; Piccolis et al., 2019; Promlek et al.,

2011; Radanović and Ernst, 2021; Thibault et al., 2012; Volmer et al., 2013). Previous studies showed that ER membrane abnormalities such as increased phosphatidyl ethanolamine/phosphatidylcholine, increased saturated lipids, and inositol depletion cause activation of IRE1 and PERK. in a denatured protein-independent manner.

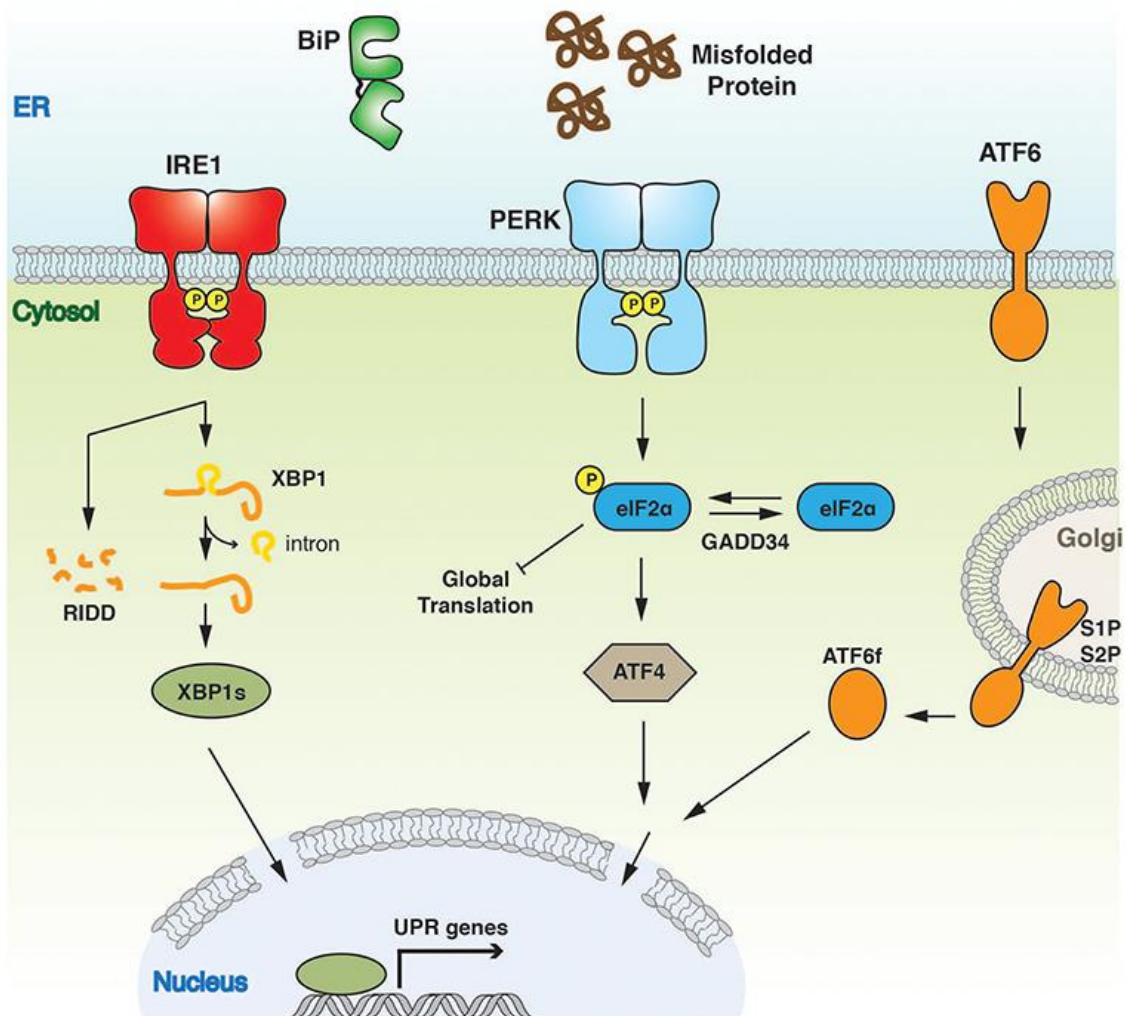


Fig. 1-4 Scheme of The UPR pathway initiated by a sensor protein embedded in the ER membrane (Adams et al., 2019).

## 1-5 Liposome

Biological membranes contain a lot of kind of lipids. Furthermore, there are numerous membrane proteins embedded in biological membranes and biological membranes interact with cytoskeletal proteins at the surface(Sezgin et al., 2017). In addition to the complexity of the membrane components, biological membranes are non-equilibrium environment whose components and external environment are constantly changing(Fanning et al., 2019; Shen et al., 2017). Thus, it is difficult to analyze lipid interactions in such a complex environment. For these reasons, liposomes are used as a biological membrane mimetic system to make intermolecular interactions clearer. Liposomes are composed mainly of phospholipids and have a lipid bilayer structure same as biological membranes (Fig. 1-5). The lipid composition of liposomes can be freely selected. We can mimic not only plasma membrane but also membrane bound organelle, which has unique lipid component(Jacquemyn et al., 2017; van Meer et al., 2008). This ease of handling liposomes makes it possible to analyze the effect of hydrophobic molecules on membrane properties(Ko Sugahara et al., 2017; Shimokawa et al., 2017; Wongsirojkul et al., 2022). Previously, using liposomes, membrane lipid interactions have been analyzed under various environmental conditions with temperature, osmotic pressure, and pH as variables(Guo et al., 2021; Ko Sugahara et al., 2017; Wongsirojkul et al., 2020).

The phospholipids that constitute liposomes are amphiphilic, so when phospholipids are dissolved in a polar solvent, bilayer structures are formed, with the hydrophilic groups moving toward the solvent and the hydrophobic groups facing each other. Various methods have been developed for liposome preparation, such as natural swelling method, solvent dispersion method, and extruder methods, etc.(Akbarzadeh et al., 2013).

Liposomes larger than 1  $\mu\text{m}$  are called giant unilamellar vesicles (GUVs), liposomes smaller than 1  $\mu\text{m}$  are called large unilamellar vesicles (LUVs), and liposomes smaller than 100 nm are called small unilamellar vesicles (SUVs)(Fig. 1-6)(van Swaay and deMello, 2013).

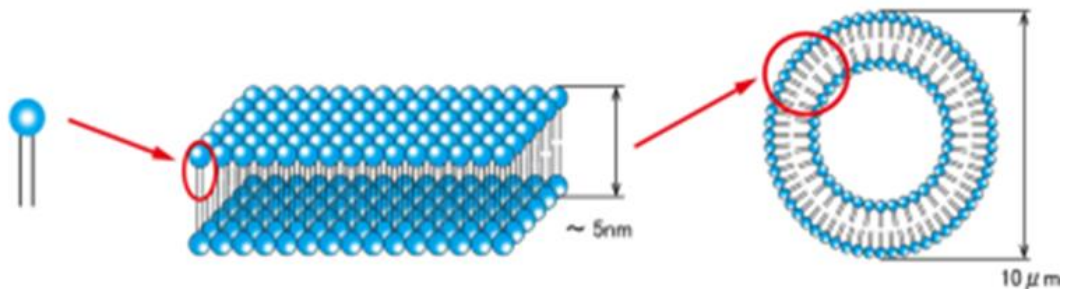


Fig. 1-5 The structure of liposomes.

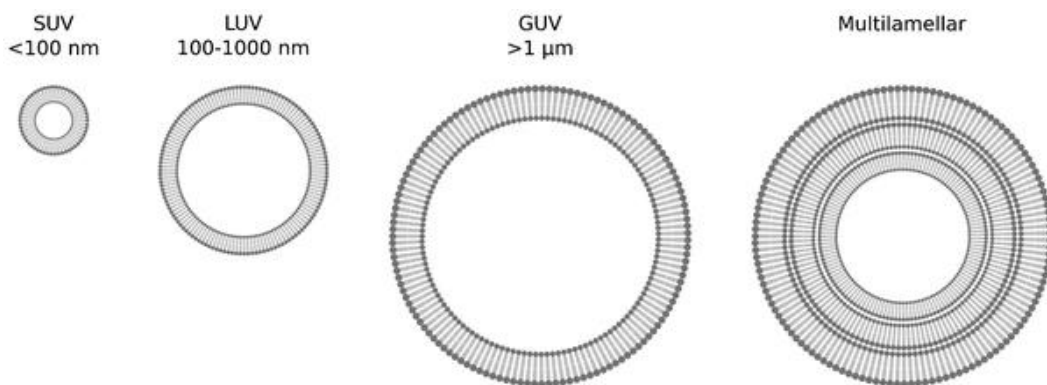


Fig. 1-6 Liposome classification(van Swaay and deMello, 2013).



## 1-6 Membrane dynamics

As mentioned above, cells are exposed to a variety of factors that can damage cell membranes. We have attempted to mimic the membrane damage induced by surfactant using GUVs(Hamada et al., 2012). We found that membrane deformation caused by surfactants varies depending on the stimulus intensity of the surfactant (Fig. 1-7). This classification method can be an alternative stimulus evaluation test to animal experiments. It can classify weakly and no irritation, which has been difficult to evaluate in conventional animal and live cell experiments(Wilson et al., 2015).

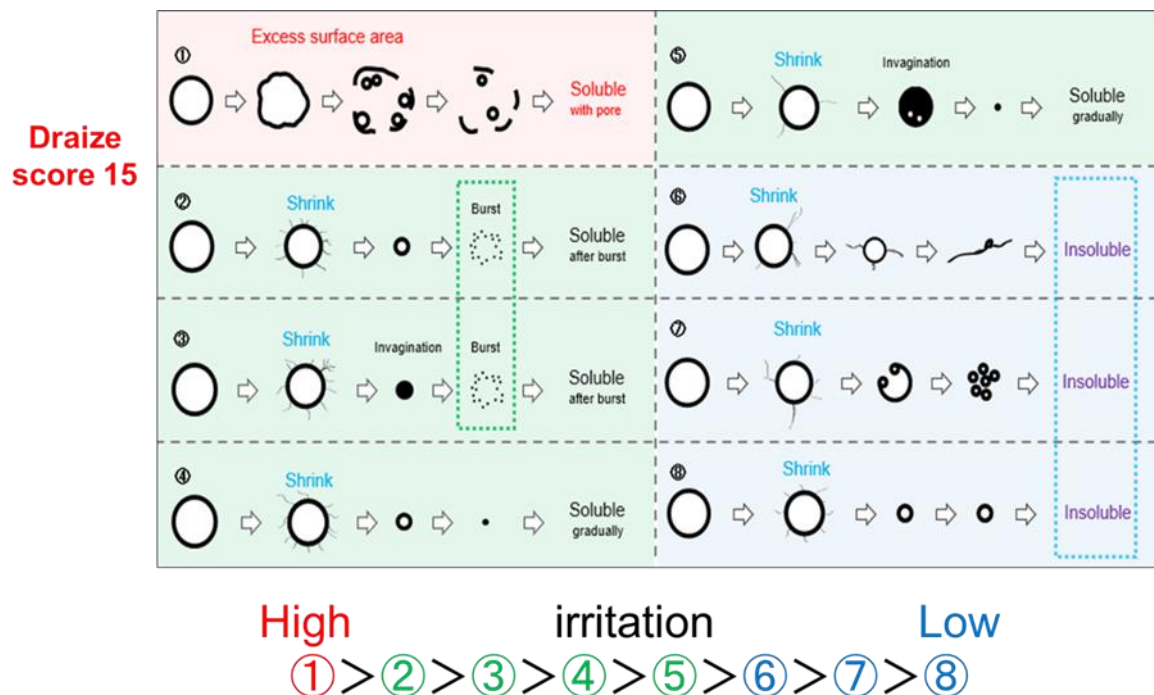


Fig. 1-7 Membrane dynamics induced by surfactants

### • Dynamics①

The addition of surfactant causes the spherical liposomes to fluctuate. The membrane intrusion then occurs, and the liposomes eventually solubilize.

- Dynamics②

The addition of surfactant shrank liposomes while maintaining their spherical shape. The liposomes, then, burst and eventually solubilize.

- Dynamics③

The addition of surfactant shrank liposomes while fluctuating their spherical shape. The liposomes, then, burst and eventually solubilizes.

- Dynamics④

The addition of surfactant shrank liposomes, then eventually solubilize them.

- Dynamics⑤

The addition of surfactant shrank liposomes, then membrane intrusions and fluctuations occur. The liposomes eventually solubilize.

- Dynamics⑥

The addition of surfactant shrank liposomes, eventually the liposomes become a thread-like insoluble structure.

- Dynamics⑦

The addition of surfactant shrank liposomes and form membrane pores. Membrane fission then occurs, and finally, forming insoluble structures.

- Dynamics⑧

The addition of surfactant shrank liposomes and eventually they remain insoluble while maintaining their spherical structure.

## 1-7 Phase separation

Lipid rafts formed in cell membranes are thought to be important in signal transduction (Sezgin et al., 2017; Simons and Ikonen, 1997). The structures in which specific lipids accumulate are called phase-separated structures. Phase-separated structures have also been reported to form in the ER membrane (King et al., 2020; Shen et al., 2017; Tian et al., 2022). The ER membrane contains little cholesterol and forms a phase separated structure rich in saturated lipids (Jacquemyn et al., 2017; van Meer et al., 2008). This structure is also surfactant-resistant, but ER membrane proteins accumulate in this fraction is not known. Microscopic studies have shown that anchor proteins that form organelle contact regions accumulate in the phase-separated structures found in the ER membrane (King et al., 2020). Thus, the formation and degradation of phase-separated structures may have important functions in physiological reactions, and their elucidation is important.

Liposomes exhibit various phase-separated structures by changing their lipid composition (Fig. 1-8) (Veatch and Keller, 2005, 2003). These phase-separated structure can be reproduced with liposomes. In the three-component (saturated lipid/unsaturated lipid/cholesterol), the coexistence of liquid-ordered ( $L_o$ ) phase, rich in saturated lipid and cholesterol, and liquid-disordered ( $L_d$ ) phase, rich in unsaturated lipid, was found. In the binary-component (saturated lipid/unsaturated lipid), the coexistence of solid-ordered ( $S_o$ ) phase, rich in saturated lipid, and liquid-disordered ( $L_d$ ) phase, rich in unsaturated lipid, is found.  $L_o$  and  $S_o$  phase can be regarded as models of lipid raft and phase separated structure found on ER membrane, respectively.

The occurrence of phase separation can be explained by the equation; free energy

$F=U-TS$  ( $U$ = internal energy,  $T$ = temperature,  $S$ = entropy). At a given temperature, whether phase separation occurs or not is determined by which of  $U$ , which represents the interaction between lipids, and  $S$ , which represents entropy, is dominant. Lipid molecules behave in such a way that the free energy  $F$  is lower. If  $U$  is dominant, phase separation occurs, and if  $S$  is dominant, the lipids are uniformly dispersed in a mixed state. As can be seen from the free energy equation, the entropy term is proportional to temperature, so the entropy term becomes dominant at high temperatures. Therefore, when the temperature is higher than the phase separation disappearance temperature,  $S$  becomes dominant over  $U$ , resulting in a mixed state. At temperatures below the phase separation structure disappearance temperature, phase separation occurs because  $U$  predominates over  $S$ .

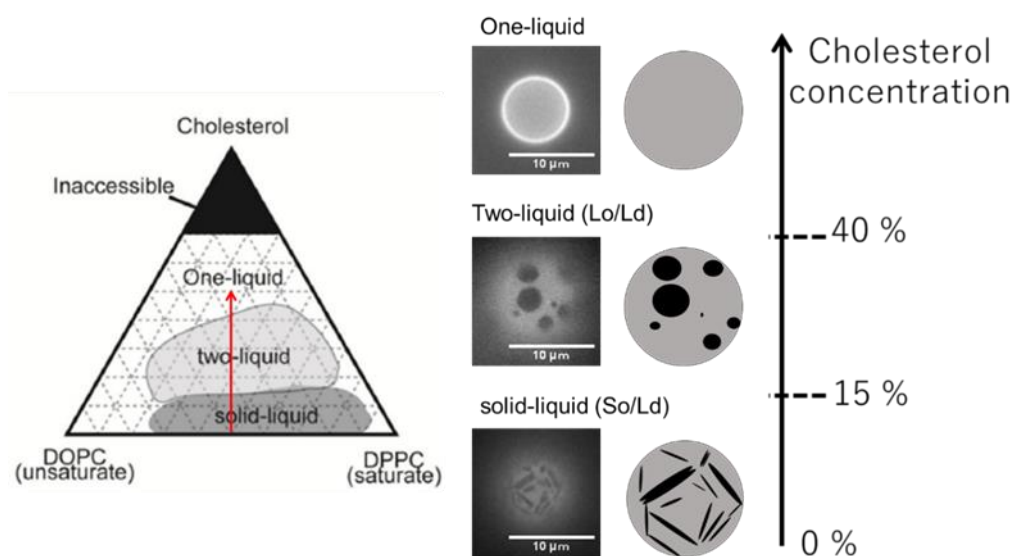


Fig. 1-8 Phase diagrams of ternary membranes (DOPC/DPPC/Chol) at 20°C and images of phase separated GUVs (Veatch and Keller, 2003).

## 1-8 Research purpose

The biological membrane, which is the starting point of signal transduction, is important, and understanding its damage and phase separation is crucial to understanding signal transduction. Therefore, the purpose of this study was to examine the relationship between physiological responses and membrane dynamics.

In Chapter 2, we focused on the relationship between 3D membrane dynamics and irritant property. The irritancy of amino acid surfactants was examined in a model system of cell membrane damage. In addition, the correlation between membrane test results and human puncture test results was examined. In addition, the rate of transbilayer movement of phospholipids (Flip-Flop) upon surfactant addition was examined in a LUV-based system to further reveal the relationship between irritancy and transbilayer movement of lipids.

In Chapter 3, we focused on the relationship between 2D membrane dynamic (phase separation) and physiological responses.  $\alpha$ -Tocopherol (Toc) and Tocotrienol (Toc3), forms of Vitamin E, have different functions in ER stress-induced neuronal cell death induced by 24SOHC (Kimura et al., 2018; Nakazawa et al., 2017; Urano et al., 2019). Toc and Toc3 differ only in the degree of unsaturation of their hydrocarbon chains. Therefore, we hypothesized that because of the difference in degree of unsaturation, they interact differently with the membrane, resulting in differential physiological responses. We reproduced in liposomes the phase-separated structures that are thought to form in cell and ER membranes and investigated the effects of Toc and Toc3 on phase separation. Toc and Toc3 lateral localization in phase separation is discussed by microscopic observations, thermal analysis, and fluorescence anisotropy studies. In

addition, the effects on membrane interactions with the misfolded protein Amyloid  $\beta$  ( $A\beta$ ) was investigated and we discussed the relationship between  $A\beta$  membrane adsorption and the ER stress.

## Chapter 2

# Cell membrane damage model

## 2-1 Introduction

Cells are exposed to various kinds of irritating molecules. These molecules damage cells and induce inflammation. Surfactants are one of the irritating molecules around us. Like phospholipid, surfactants have hydrophobic tails and hydrophilic head groups. Thus, they can interact with and solubilize biological membrane. The partial disruption of lipid bilayer structure causes the influx or efflux of biomolecules depending on their concentration gradients. The concentration of cytosolic  $\text{Ca}^{2+}$  is kept low compared with that of outer environment. Thus, damage to the cell membrane causes  $\text{Ca}^{2+}$  to flow into the cell (Burgos et al., 2011; Dalal et al., 2020). These  $\text{Ca}^{2+}$  bind to calmodulin and activate phospholipase A2 on the cell membrane (Moskowitz et al., 1985; Shimizu et al., 2008, p. 15). Phospholipase A2 esterifies phospholipids in the biological membrane, releasing arachidonic acid. Arachidonic acid is oxidized by cyclooxygenase to produce a variety of prostaglandins, which are causative agents of pain and inflammation. In particular, prostaglandin E2 and prostaglandin I2 enhance the inflammatory effects of plasma kinin by increasing vascular permeability. This sequence of pathways is called the arachidonic acid cascade, and damage to cell membranes is the starting point of the cascade (Jang et al., 2020; Meirer et al., 2014).

It is difficult to predict the irritancy of surfactants correctly, thus, their evaluation is important. The Draize test is one of the most common methods (Wilhelmus, 2001). The Draize test is a method of evaluating skin and eye irritation, in which surfactants are applied directly to rabbit eyes and the irritation score (Draize score) is determined. In the Draize test, the degree of irritation is determined visually by human observers and scored.



The results obtained from the Draize test are useful for the development of cosmetics and detergents. In particular, hypoallergenic surfactants with a Draize score of 0-15 (non-irritant/practically non-irritant/slightly irritating) are very difficult to subdivide because they are unlikely to cause obvious irritation in the Draize score.

In addition, the Draize test has been banned for animal welfare reasons since the test substance is administered directly into the eye of a live rabbit, and the OECD (Organization for Economic Cooperation and Development) has adopted two alternative methods for the Draize test: the bovine corneal enucleation test method and the chicken eye separation test method (Vinardell and Mitjans, 2008). These alternative methods correlate strongly with the Draize test and are considered valid alternatives. However, since these methods also use animals, they remain cruel tests on laboratory animals. Considering the above, there is a need to develop an alternative method to the Draize test that does not sacrifice animals and that can obtain high quantitative results even with hypoallergenic surfactants.

Since stimulation is induced by damage to cell membranes, we have been developing stimulation evaluation methods focusing on the interaction between phospholipids and surfactants. It is difficult to analyze the interaction of surfactants with cell membranes because they contain a variety of lipid and protein species. Therefore, we attempted to reproduce cell membrane damage using liposomes, which have a similar structure to the cell membrane and can be produced with a simple composition.

Our laboratory studies the correlation between surfactant stimulation and membrane dynamics in cell-sized liposomes (Hamada et al., 2012). And we have shown that there are membrane dynamics depending on the stimulation intensity (unpublished). Therefore, in this study, we will compare the results of liposome and stinging tests

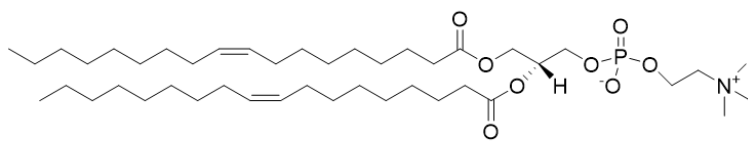
developed by our laboratory using amino acid surfactants, which are hypoallergenic surfactants. In addition, the effect of surfactant on Flip-Flop rate is examined in a test system that measures the rate of lipid transbilayer movement.

## 2-2 Materials and Methods

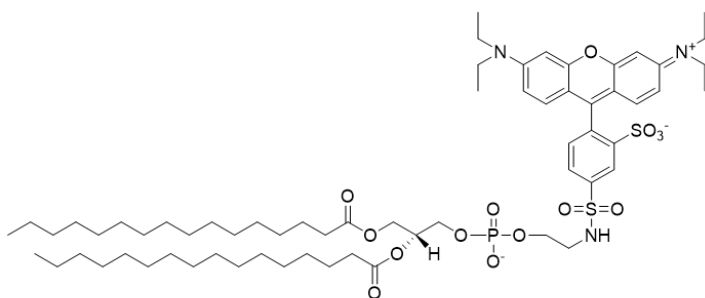
### 2-2-1 Materials

Unsaturated lipid, 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (DOPC), was purchased from Avanti Polar Lipid (Alabaster, USA). Lissamine<sup>TM</sup> rhodamine B 1,2-dihexadecanoyl-*sn*-glycero-3-phosphoethanolamine, triethylammonium salt (Rhodamine-DHPE) ( $\lambda_{ex} = 560$  nm,  $\lambda_{em} = 580$  nm) was used to label Giant unilamellar vesicles (GUVs). Rhodamine-DHPE was purchased from Thermo Fisher (Waltham, USA). 1,2-Dipalmitoyl-*sn*-glycero-3-phosphoethanolamine-N-(7-nitro-2-1,3-benzoxadiazol-4-yl) (ammonium salt) (NBD-DPPE) was used to label LUVs. Triton X-100 was purchased from MP biochemicals (California, USA). Tween 20 was purchased from Santa Cruz Biotechnology (California, USA). Other surfactants were kindly provided by COTA CO., LTD.

DOPC



Rhodamine-DHPE



NBD-DPPE

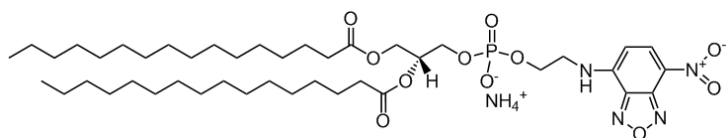
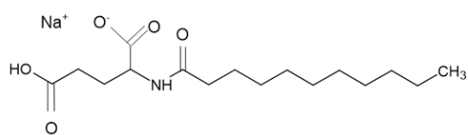
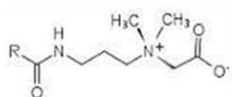


Fig. 2-1 Chemical structures of DOPC and fluorescence probes.

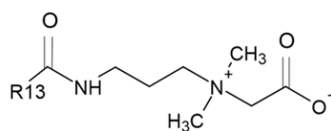
### Sodium lauroyl glutamate



### Cocamid propyl betaine



### Lauramydopropyl betain



### Tween 20

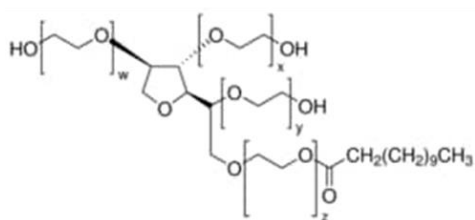


Fig. 2-2 Chemical structures of surfactants

## 2-2-2 GUV preparation for observation

In this study, GUVs were used for microscopy observation. GUVs were prepared by the natural swelling method (Fig. 2-3). DOPC was dissolved in chloroform/methanol (2: 1 v/v) to 2mM. Glucose was dissolved in chloroform was dissolved in methanol to 10mM. Rhodamine-DHPE was dissolved in chloroform to 0.1mM. Firstly, GUVs solution components were mixed with 20 $\mu$ l of DOPC, 12 $\mu$ l of glucose solution, and 8 $\mu$ l of Rhodamine-DHPE in an acetone-washed durham tube. Secondly, to make lipid films, the mixture was dried under a current of N<sub>2</sub> gas and leave them under a vacuum for more than 3hrs to volatilize the rest of the organic solvent completely. Finally, to form GUVs, the lipid film was added with 200 $\mu$ l of Milli Q water and incubated at 37°C for more than 3hrs.

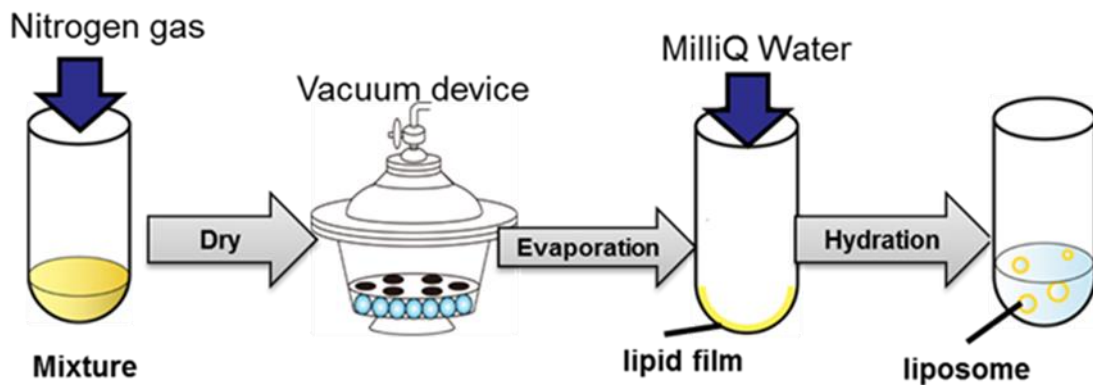


Fig. 2-3 Schematic of liposome preparation

### 2-2-3 Chamber preparation and liposome dynamics observation

Silicon rubber film (0.2 mm, AS ONE) and silicon rubber sheet (2.0 mm, AS ONE) were cut into 1.5 cm squares and 3 cm squares, respectively. Then, a 5 mm diameter hole was punched in the center of them. A 0.4 $\mu$ m pore filter (0.4  $\mu$ m, Nucleopore, Whatman) was put between two pieces of silicon rubber film. This was then placed on a rubber sheet so that the holes overlap. 8 $\mu$ l of GUV solution was added to the hole from the silicon rubber film side and was covered with a slide glass (30  $\times$  40 mm, MATSUNAMI) (Fig. 2-4). The chamber was placed with the slide glass down and the GUVs solution was observed using a confocal laser scanning microscopy (FV-1000, Olympus, Tokyo, Japan) (Fig. 2-5). After finding an appropriate GUV, we started to record the dynamics of the GUV. Ten seconds after the start of recording, 32  $\mu$ l of surfactant which is adjusted to pH 6.2 was added to the hole from the rubber sheet side. The existence of a 0.4  $\mu$ m pore filter help surfactants diffuse slowly and slows the movement of a GUV in the field of view. This record was finished when membrane dynamics reached equilibrium.

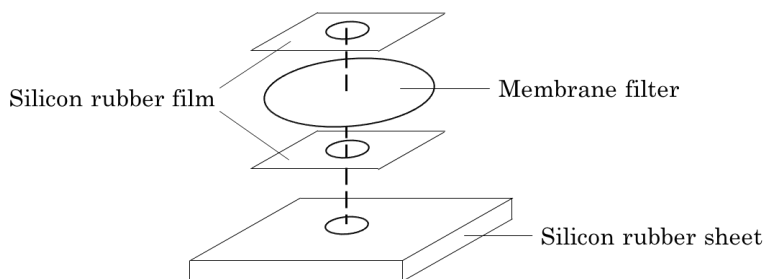


Fig. 2-4 Preparation of chamber for the observation

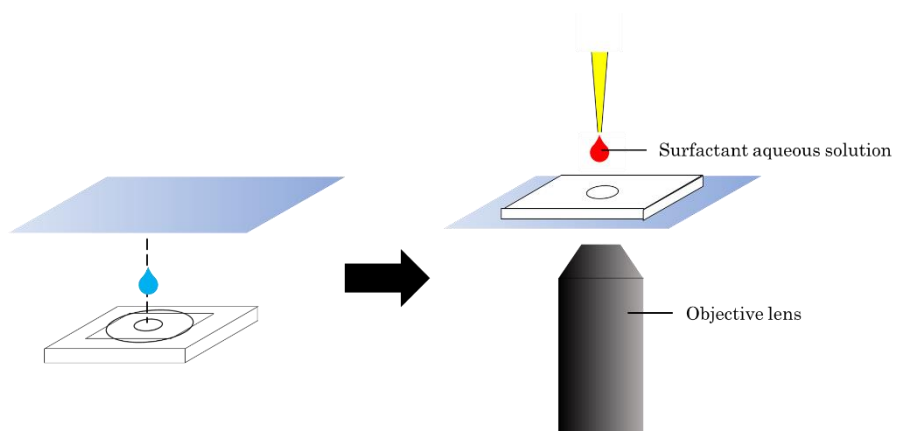


Fig. 2-5 Microscopy method



## 2-2-4 Liposome Dynamics Method for Evaluation of Stimulation

Membrane dynamics depending on the stimulus intensity are shown below (Fig. 2-6).

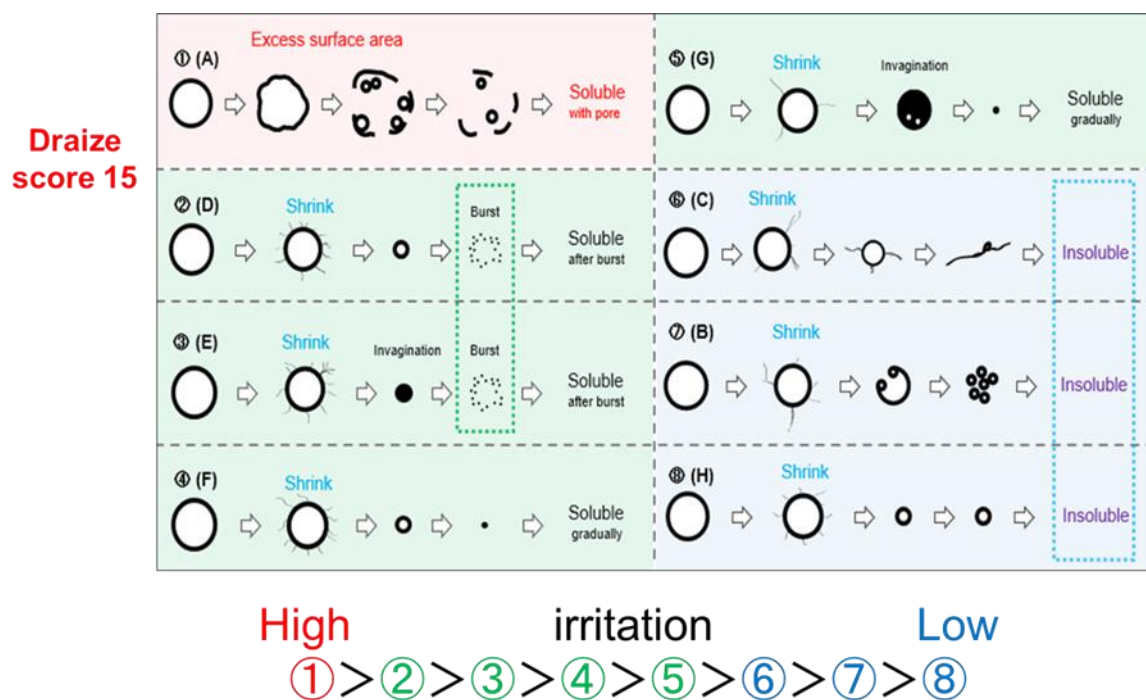


Fig. 2-6: Eight different membrane dynamics corresponding to strength of irritation

## 2-2-5 Stinging test

A non-woven gauze soaked with 1 mL of various surfactants was applied to the subject's posterior neck with surgical tape, and immediately thereafter, a hearing was conducted every 1 minute. Hearings were recorded up to 10 minutes after the gauze was applied.

## 2-2-6 LUV preparation for the measurement of the rate of Flip-Flop

Large unilamellar vesicles (LUVs) were prepared for measuring the rate of flip-flop ( $V_{\text{Flip-Flop}}$ ) (Fig. 2-6). DOPC and tween 20 were dissolved in chloroform to 60mM and 30mM, respectively. NBD-DPPE was dissolved in chloroform to 0.6 mM. First, we prepared a lipid mixture composed of 40 $\mu$ l DOPC and 40 $\mu$ l NBD-DPPE in a durham tube. Tween 20 was added there in any proportion, and we mixed them. Secondly, the lipid mixtures were dried with N<sub>2</sub> gas to make lipid films and we keep them under vacuum for more than 3 hours to eliminate the rest organic solvent. Thirdly, lipid films were added with 400 $\mu$ l 10mM Tris/154mM NaCl buffer (pH 7.4) to the final concentration 6mM (DOPC) and 0.06mM (NBD-DPPE), respectively, then vortexed and sonicated at room temperature at which is higher than the main transition temperature of DOPC(-17°C) for 1 hrs to obtain multi-lamellar vesicles (MLVs). After sonication, the MLVs were extruded 13 $\times$  successively through a series of three different-sized (1.0, 0.4, and 0.1  $\mu$ m) nucleopore filters using a mini-extruder.

After extrusion, LUVs were exposed to 1M sodium dithionate in Tris aq and gently mixed by pipetting to quench the NBD on the outer leaflet of LUVs (Fig. 2-7). The dithionate quenches NBD by chemical modification, which is an irreversible reaction. Since dithionate can diffuse across the bilayer and quench NBD in the inner leaflet, dithionate in the quenched LUVs solutions was immediately removed with the desalting column superdex 200(Armstrong et al., 2003; John et al., 2002). Desalted LUVs have fluorescent NBD-DPPE on their inner leaflet and quenched NBD-DPPE in their outer leaflet. We called them “asymmetric LUVs (ALUVs)”.

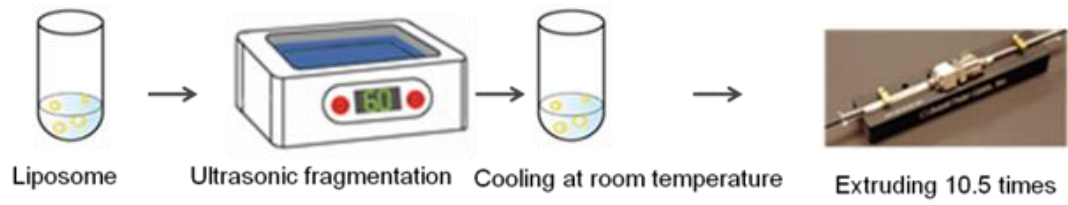


Fig. 2-6 Schematic of LUV preparation

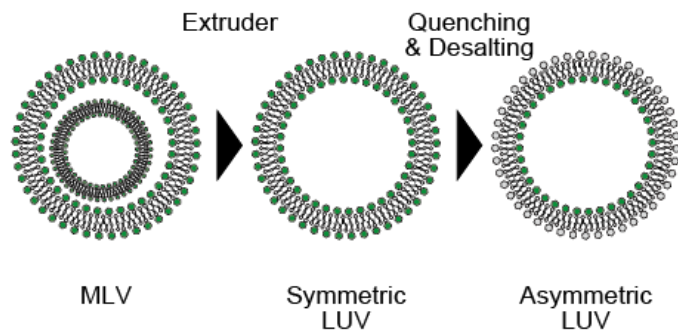


Fig. 2-7 Schematic of liposome preparation

## 2-2-7 Fluorescence measurements for Flip-Flop

We measured the ratio of fluorescence intensity before and after adding sodium dithionate at each time (Fig. 2-8 a). The detailed procedure is shown below. (i) Fluorescence intensity of ALUVs incubated indicated time ( $I_0$ ) was measured for 200 sec. (ii) 5 $\mu$ l Sodium dithionate (1M; Tris aq) was added to the sample and mixed by pipetting for 40 sec. (iii) Fluorescence intensity of ALUVs containing no fluorescent NBD on their outer leaflet ( $I$ ) was measured for 300sec. We calculated  $I/I_0$  and plotted it at each time. Then the plots were fitted using the following equation (Fig. 2-8 b). The rate of NBD-DPPE flip-flop is given by

$$\frac{dn_i}{dt} = -k_o n_i + k_i n_o \quad (1)$$

where  $n_i$  and  $n_o$  are the numbers of NBD-DPPE molecules in the inner leaflet and outer leaflet, respectively, and  $k_i$  and  $k_o$  are rate constants of flip (movement from outer leaflet to inner leaflet) and flop (movement from inner leaflet to outer leaflet) respectively. ALUVs incubated 0 min have  $n_o = 0$  and  $n_i = N$  of fluorescence NBD-DPPE.  $N (=n_i + n_o)$  does not change during flip-flop. Under these conditions, we can solve the differential equation (Eq. (1)) analytically and obtain the following expression.

$$n_i = \frac{1}{2} N (1 + e^{-(k_i + k_o)t}) \quad (2)$$

where  $k = k_i + k_o$  is the total flip-flop rate constant.

Since fluorescence intensity is proportional to the number of molecules, it can be rewritten as follows

$$I = \frac{1}{2}I_0(1 + e^{-(k_i+k_o)t}) \quad (3)$$

To correct the delay caused by the operation of quenching and desalination, a time  $T$  was introduced.

$$I = \frac{1}{2}I_0(1 + e^{-(k_i+k_o)(t+T)}) \quad (4)$$

The half-time of this process ( $t_{1/2}$ ) was given by

$$t_{1/2} = \frac{\ln 2}{k_i+k_o} \quad (5)$$

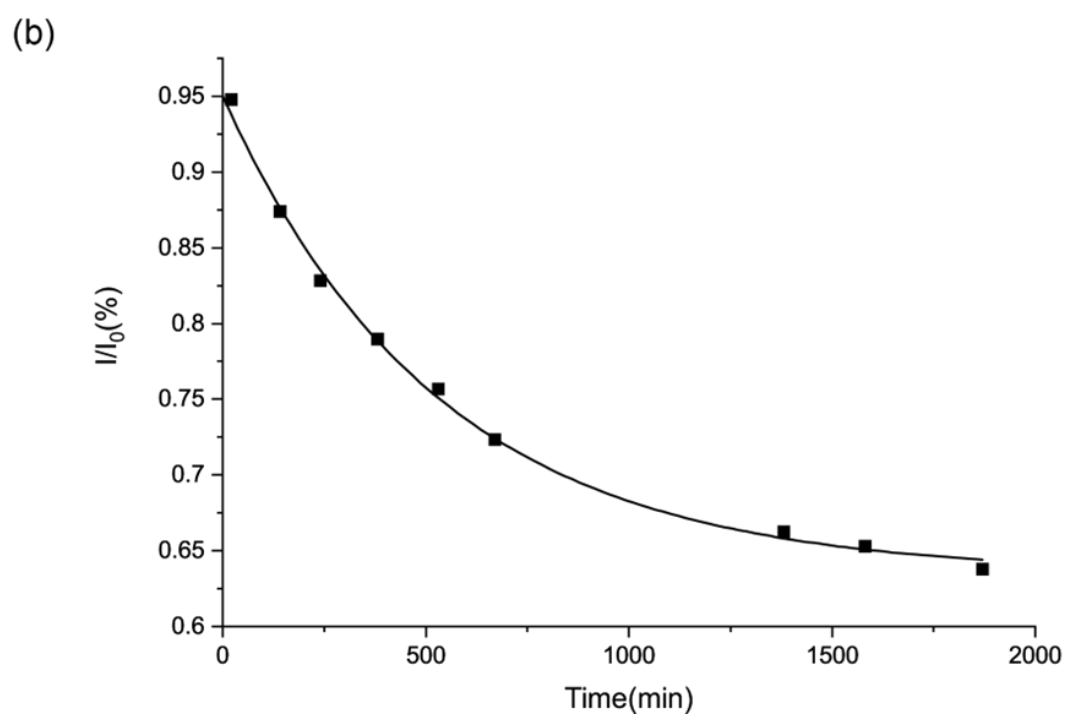
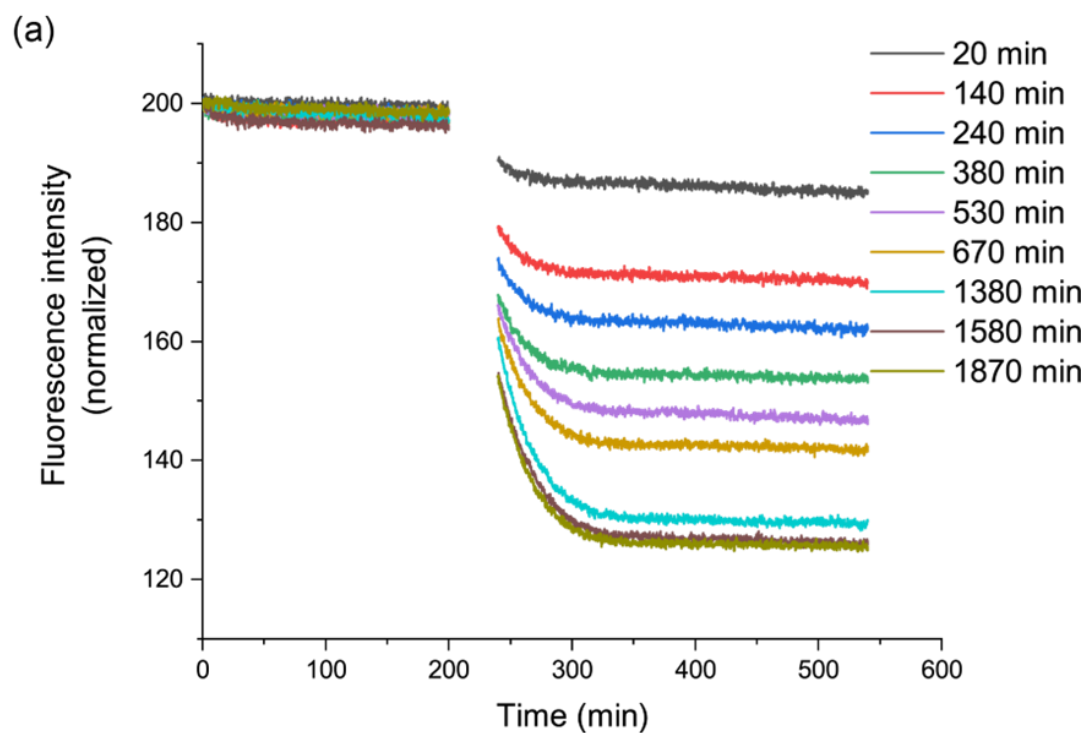


Fig. 2-8 NBD-DPPE in DOPC LUV containing 5% tween20 (a) An example of fluorescence measurement of time drives course after incubating ALUVs for the indicated time (b) Best fit to data obtained at each time

## 2-3 Results

### 2-3-1 Membrane dynamics induced by surfactants

#### 2-3-1-1 Amino acids surfactants

(A)

We conducted irritancy tests on sodium lauroyl glutamate, which is a main component of sodium cocoyl glutamate (Fig. 2-9). By adding 14mM sodium lauroyl glutamate, the GUV shrank then, an insoluble structure was formed (Dynamics ⑧). By adding 28mM sodium lauroyl glutamate, GUVs showed swelling and fluctuation. Subsequently, a small vesicle was formed inside the GUV. Although this is an unclassified dynamics, we considered it to be more stimulating than the shrank dynamics because it is similar to dynamics ①, showing an increase in excess membrane surface area.

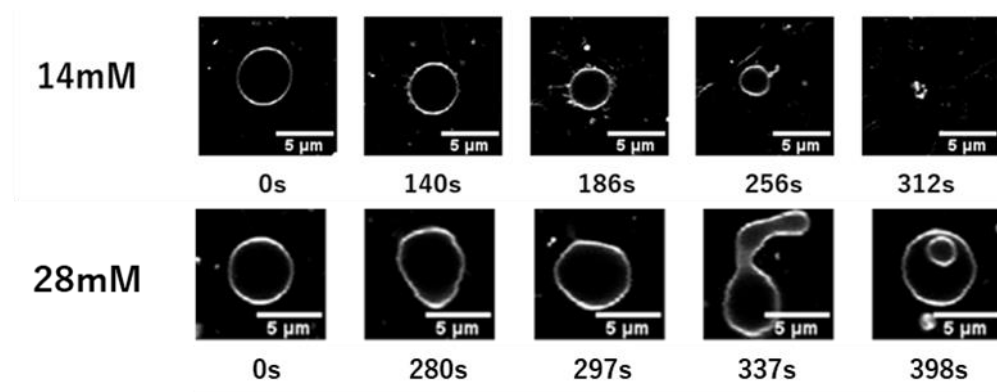


Fig. 2-9 Microscopic observation images with sodium lauroyl glutamate (pH 6.2)



## 2-3-1-2 Amino acids surfactants + Amphoteric surfactant

Next, we investigated the effect of amphoteric surfactants on the irritation of amino acid surfactants.

### 2-3-1-2 (A) Sodium Lauroyl Glutamate+Cocamidopropyl Betaine

Cocamidopropyl betaine was added to sodium lauroyl glutamate in any ratio and adjusted to pH 6.2. At sodium lauroyl glutamate/cocamidopropyl betaine mixtures(= 3:1 and 2:1), the GUVs increase in excess surface area, followed by equilibrium (Fig. 2-10). Although these dynamics are unclassified dynamics, we considered them to be more stimulating than the shrank dynamics because it is similar to dynamics ①, showing an increase in excess membrane surface area. The ratio of cocamidopropyl betaine increasing (to sodium lauroylglutamate/cocamidopropyl betaine (= 1:1)), GUVs shrank and dynamics reached equilibrium (dynamics ⑧).

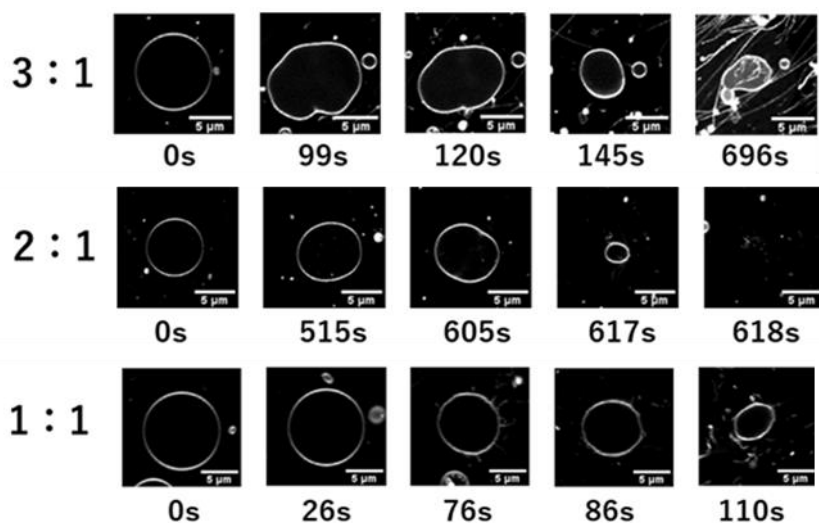


Fig. 2-10 Microscopic observation images with sodium lauroyl glutamate and cocamidopropyl betaine (pH 6.2)

## 2-3-1-2 (B) Sodium Lauroyl Glutamate + Lauramidopropyl Betaine

Sodium cocoyl glutamate was adjusted to pH 6.2 by adding lauramidopropyl betaine in any ratio. By adding sodium cocoylglutamate/lauramidopropyl betaine = 3:1, the excess surface area increased and then dynamics ceased (Fig. 2-11). By adding sodium cocoylglutamate/lauramidopropyl betaine = 2:1, GUVs shrank and then dynamics ceased. By adding sodium cocoylglutamate/lauramidopropyl betaine=1:1, the GUVs expands, deformation and finally shrank and ruptured. Although these dynamics are unclassified dynamics, we considered them to be more stimulating than the shrank dynamics because it is similar to dynamics ①, showing an increase in excess membrane surface area.

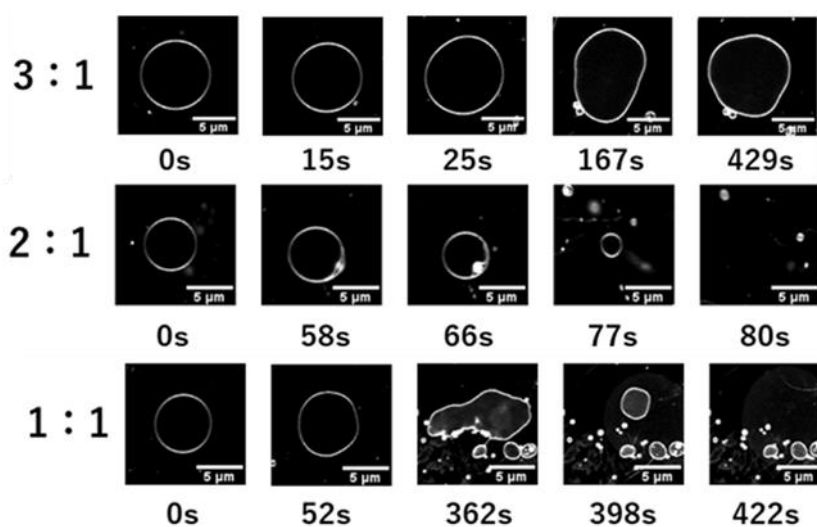


Fig. 2-11 Microscopic observation images with sodium lauroyl glutamate and lauramidopropyl betaine (pH 6.2)

## 2-3-2 Stinging test

Stinging tests were performed using surfactants with the same composition as in the liposome test. 0.5% sodium lauroyl glutamate had a maximum irritation score of 14.0. Next, 0.5% sodium lauroyl glutamate and cocamidopropyl betaine were mixed at the ratios shown in table. 1 and examined for irritation. As the amount of cocamidopropyl betaine was increased, the irritancy decreased. The maximum irritation score was 9.0 when the ratio of sodium lauroyl glutamate to cocamidopropyl betaine was 1:1, and the minimum irritation score was 9.0 when the ratio of sodium lauroyl glutamate to cocamidopropyl betaine was 1:1.

Next, the irritancy was examined by mixing 0.5% sodium lauroyl glutamate and lauramidopropyl betaine in the ratios shown. As lauramidopropyl betaine was increased, the irritancy became stronger. The maximum irritation score was 9.0 when the ratio of sodium lauroyl glutamate to lauramidopropyl betaine was 3:1, and the lowest when the ratio of sodium lauroyl glutamate to lauramidopropyl betaine was 3:1.

Mixed systems of amino acid surfactants and amphoteric surfactant					
Specimen		Anion: amphoteric	Maximum stimulus score	Maximum non- itch irritation score	Maximum itch score
Anion	amphoteric				
0.50% Sodium Lauroyl Glutamate		1 : 0	14.0	11.0	9.0
0.50% Sodium Lauroyl Glutamate	0.17% Cocamidopropyl Betaine	3 : 1	11.0	10.0	3.0
0.50% Sodium Lauroyl Glutamate	0.25% Cocamidopropyl Betaine	2 : 1	10.0	6.0	4.0
0.50% Sodium Lauroyl Glutamate	0.50% Cocamidopropyl Betaine	1 : 1	9.0	8.0	1.0
0.50% Sodium Lauroyl Glutamate	0.17% lauramidopropyl Beitaine	3 : 1	9.0	7.0	2.0
0.50% Sodium Lauroyl Glutamate	0.25% lauramidopropyl Beitaine	2 : 1	14.0	11.0	3.0

Table. 1 Results of stinging test

### 2-3-3 The rate of Flip-Flop

Membrane deformation is caused by a change in the number of molecules in the outer and inner leaflets of the bilayer. We hypothesized that the addition of surfactant to the LUV solution would increase the  $V_{\text{Flip-Flop}}$ . The  $V_{\text{Flip-Flop}}$  was measured using ALUVs. ALUVs were incubated for any time, and we obtained the ratio of fluorescence intensity of NBD-DPPE before and after quenching by dithionate ( $I/I_0$ ). As Flip-Flop progresses, the apparent fluorescent NBD-DPPE moves to the outer leaflet of the bilayer, and the decrease in  $I/I_0$  becomes greater. When Flip-Flop reaches equilibrium,  $I/I_0$  becomes stable. As a control, the  $V_{\text{Flip-Flop}}$  of NBD-DPPE in DOPC LUVs was measured (Fig. 2-12). The rate of  $V_{\text{Flip-Flop}}$  was  $0.068 \pm 0.006 \text{ (h}^{-1}\text{)}$  (Table 2).

Next, to reveal the effect of tween 20 on the transbilayer movement of NBD-DPPE in DOPC LUV, the  $V_{\text{Flip-Flop}}$  was measured using DOPC LUVs containing tween 20 (Fig. 2 and Table2). Tween20 2.5% and 5% slightly increased the  $V_{\text{Flip-Flop}}$ ,  $0.11 \pm 0.011 \text{ (h}^{-1}\text{)}$  and  $0.14 \pm 0.014 \text{ (h}^{-1}\text{)}$ , respectively. Adding 20% tween20 significantly increased the  $V_{\text{Flip-Flop}}$  ( $3.29 \pm 1.2 \text{ (h}^{-1}\text{)}$ ). The  $V_{\text{Flip-Flop}}$  was increased with higher tween20 concentrations.

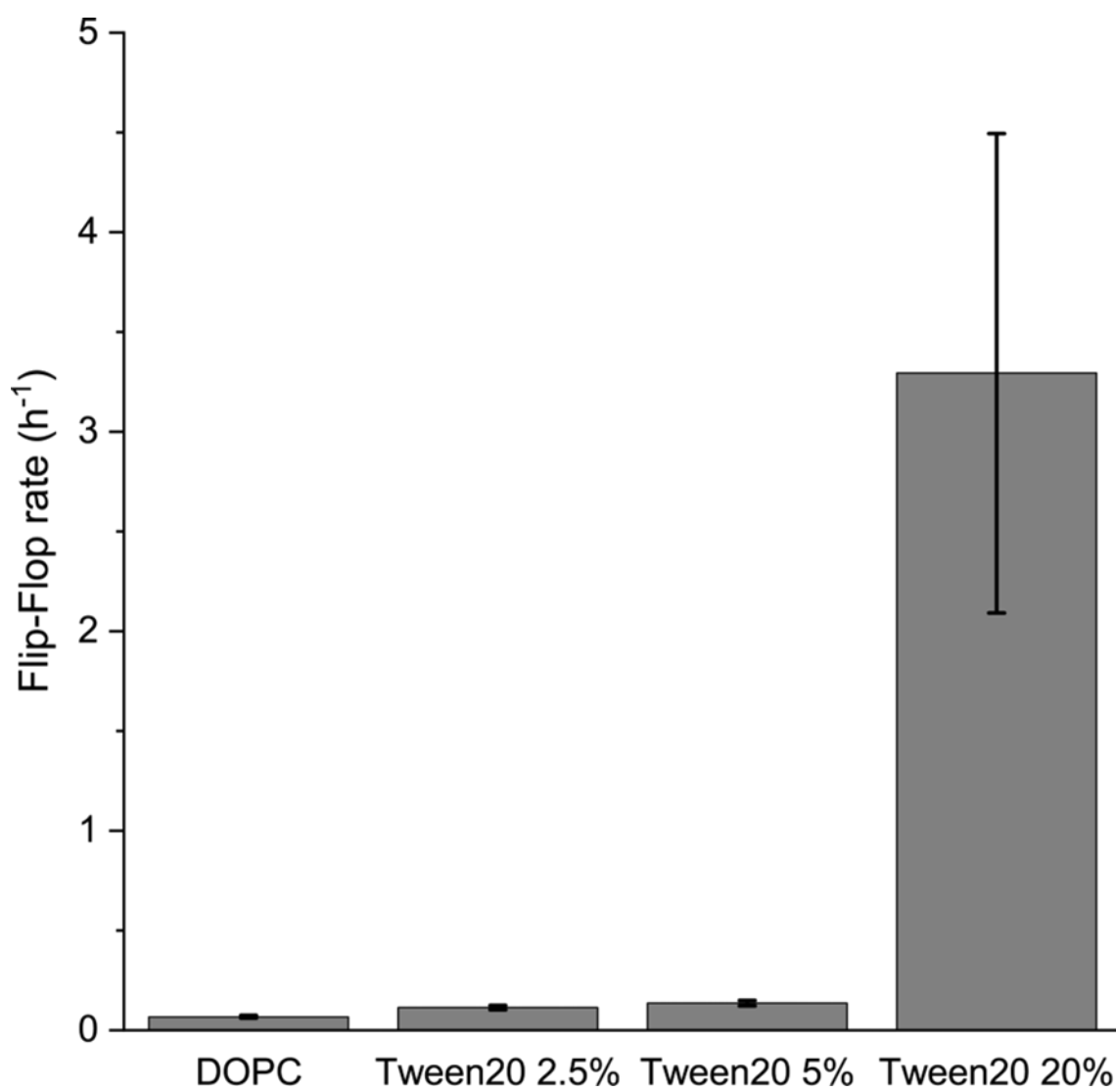


Fig. 2-12 Flip-Flop rate of NBD-DPPE in LUVs containing tween20. The measurements were conducted at room temperature.

	$t_{1/2}$ (h)	$V_{\text{flip-flop}}(\text{h}^{-1})$
DOPC	10.9±0.99	0.068±0.006
Tween20 2.5%	6.15±0.56	0.11±0.011
Tween20 5%	5.22±0.54	0.14±0.014
Tween20 20%	0.29±0.11	3.29±1.20

Table 2 Parameters obtained from the fluorescence measurement for the rate of transbilayer movement of NBD-DPPE in LUVs containing tween20

## 2-4 Discussion

### 2-4-1 Surfactant-induced membrane dynamics

Surfactant-induced dynamics, with high-irritating surfactants, increasing the excess surface area and low-irritating and non-irritating surfactants shrinking the liposomes.

To discuss membrane dynamics, we consider the following three rates (Fig. 2-13)

$V_{in}$  : The rate at which the surfactants are incorporated into the liposome

$V_{Flip-Flop}$  : Flip Flop rates of surfactant and membrane lipid

$V_{out}$  : Rate at which lipid and surfactant form micelles and solubilize

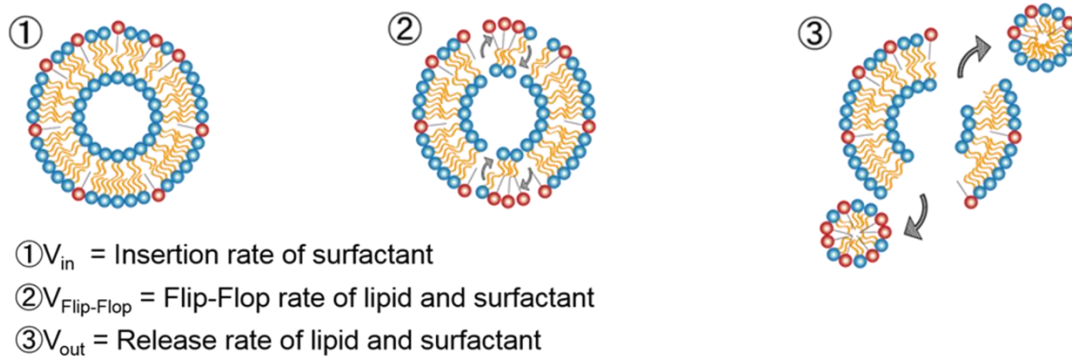


Fig. 2-13 Definition of  $V_{in}$ ,  $V_{out}$  and  $V_{Flip-Flop}$

#### ○Sodium Lauroyl Glutamate

[14mM] Membrane shrank was observed. This indicated that  $V_{out}$  is fast rather than  $V_{in}$  and  $V_{Flip-Flop}$ .  $V_{out} > V_{Flip-Flop} > V_{in}$

[28mM] An increase in excess film surface area was observed. This indicates that the surfactant inserted into the outer membrane immediately migrated to the inner membrane. Thus,  $V_{in}$  and  $V_{Flip-Flop}$  are likely to be fast.  $V_{in} \approx V_{Flip-Flop} \geq V_{out}$ .

○Sodium Lauroyl Glutamate+Cocamidopropyl Betaine

[3: 1 and 2: 1]

The relationship between the velocities of  $V_{in}$   $V_{out}$   $V_{Flip-Flop}$  changes with time.

Initially,  $V_{in}$  and  $V_{Flip-Flop}$  may be faster than  $V_{out}$  because the membrane is expanding.

Subsequently, GUV's shrink was observed. This indicates that  $V_{out}$  became faster than  $V_{in}$ .

①  $V_{in} \doteq V_{Flip-Flop} > V_{out}$

②  $V_{out} > V_{in} > V_{Flip-Flop}$

[1: 1]

$V_{out}$  is the fastest because the membrane shrank, and  $V_{in}$  and  $V_{Flip-Flop}$  are slow.

$V_{out} > V_{in} > V_{Flip-Flop}$



○Sodium Lauroyl Glutamate+Lauramidopropyl Betaine

[3: 1]

The membrane is fluctuating, so  $V_{in}$  is the fastest, and  $V_{out}$  and  $V_{Flip-Flop}$  are slow.

$$V_{in} \gg V_{Flip-Flop} \doteq V_{out}$$

[2: 1]

$V_{out}$  is fastest and  $V_{in}$  and  $V_{Flip-Flop}$  are slowest because the membrane is shrinking and disappearing.

$$V_{out} > V_{in} > V_{Flip-Flop}$$

[1: 1]

Initially, the membrane is fluctuating, so  $V_{in}$  is the fastest. Subsequently,  $V_{out}$  becomes fastest and  $V_{in}$  and  $V_{Flip-Flop}$  become slow because the membrane is shrinking and disappearing.

①  $V_{in} \gg V_{Flip-Flop} > V_{out}$

②  $V_{out} > V_{in} > V_{Flip-Flop}$

## 2-4-2 The rate of Flip-Flop

We showed that  $V_{\text{Flip-Flop}}$  was increased in the presence of tween20. Flip-Flop was faster as the concentration of tween 20 was increased. Previous studies have shown that a decrease in membrane order increases the  $V_{\text{Flip-Flop}}$ . Therefore, it was suggested that tween20 inserts into the membrane and decreases the intermolecular interactions of phospholipids.

In this study, we have shown that even surfactants that do not cause membrane rupture are irritating in stinging tests. Lipid bilayers are not permeable to ions. Since these surfactants cause an increase in the excess membrane surface area of GUVs, it is possible that Flip-Flop or the distribution of surfactant molecules into the membrane may cause  $\text{Ca}^{2+}$  influx. The relationship between lipid membrane order and  $\text{Ca}^{2+}$  influx, which triggers an inflammatory response, needs further investigation.

## Chapter 3

# ER stress model membrane

### 3-1 Introduction

Cells maintain homeostasis and perform their roles properly. The endoplasmic reticulum (ER) plays an important function in protein and lipid homeostasis. When the homeostasis is disrupted, ER stress occurs, causing various diseases. Highly conserved, ER stress sensor proteins are present in the ER membrane. They are activated by increased misfolding in the ER lumen, and saturation of ER membrane, which initiates unfolded protein responses (UPR)(Radanović and Ernst, 2021; Szegezdi et al., 2006; Tabas and Ron, 2011). The UPR copes with misfolded protein by increasing protein folding ability, decreasing newly protein synthesis, and promoting ER associated degradation. If these processes cannot alleviate ER stress, cell death was induced.

Amyloid- $\beta$  ( $A\beta$ ) is a misfolding protein and accumulation of  $A\beta$  causes ER stress, leading to Alzheimer's disease (AD)(Nakagawa et al., 2000; Umeda et al., 2011).  $A\beta$  has 40-42 amino acid residues, including amino acids in the transmembrane region of amyloid precursor protein (APP). Since the transmembrane region is highly hydrophobic,  $A\beta$  prone to polymerize spontaneously in cells. Aggregation of  $A\beta$  consisting of 42 amino acids ( $A\beta_{42}$ ) is faster than that of  $A\beta_{40}$  and is reported to be highly toxic. The toxicity of  $A\beta$  also varies depending on the degree of polymerization, with intermediates to the fibrillar structure being highly toxic.

Previous studies have shown that  $A\beta$  oligomer and protofibril, highly toxic  $A\beta$  species, have a greater impact on membrane dynamics than monomer and fibril, less toxic species(Korshavn et al., 2017; Sciacca et al., 2012). Furthermore, our previous study found that highly toxic oligomer and protofibril of  $A\beta$  tend to accumulate on solid-ordered ( $S_o$ ) phase, rich in saturated lipid, rather than liquid-disordered ( $L_d$ ) phase, rich

in unsaturated lipids(Hamada et al., 2010; Morita et al., 2012; Phan et al., 2014). Surprisingly, oligomer and protofibril of A $\beta$  tend to accumulate on L<sub>d</sub> phase rather than liquid-ordered (L<sub>o</sub>) phase, rich in saturated lipids and cholesterol (Chol).

Unlike cell membrane, ER membranes are known to have low Chol content and form the S<sub>o</sub> phase(Jacquemyn et al., 2017; Tian et al., 2022; van Meer et al., 2008). Therefore, the formation of S<sub>o</sub> phase at the ER membrane may cause accumulation of denatured proteins, leading to ER stress.

Plasma Vitamin E (VE) concentration is thought to be associated with a variety of diseases such as Alzheimer's disease (AD) which is induced by ER stress(Browne et al., 2019; Mangialasche et al., 2012, 2010). Many epidemiological studies have reported that blood VE levels are decreased in AD patients. On the other hand, some reports show no difference in blood VE levels between AD patients and healthy subjects. A possible reason for the discrepancy in results is that the onset of AD is multifactorial.

VE, discovered as a factor that inhibits infertility in 1922 by Evens and Bishop, is a fat-soluble molecule(Evans and Bishop, 1922). Vitamin E has a chromanon ring with hydrocarbon groups on the side chain. There are four methylation states of chromanon rings:  $\alpha$  -,  $\beta$ -,  $\gamma$ -, and  $\delta$ -. Side chains include phytyl or farnesyl groups. Those with phytyl groups are called tocopherols and those with farnesyl groups are called tocotrienols. The phytyland farnesyl groups have different degrees of double bond saturation. The phytyl group is saturated, while the farnesyl group has three double bonds. Previous study showed that this difference makes tocotrienols more hydrophilic than tocopherols if the methylation state of chromanon rings is the same(Kamal-Eldin et al., 2000).

Recently, it has been reported that  $\alpha$ -tocopherol (Toc), but not  $\alpha$ -tocotrienol (Toc3),

reduces ER stress caused by 24S-hydroxycholesterol (24S-OHC), which is related to AD(Kimura et al., 2018; Nakazawa et al., 2017; Urano et al., 2019). Toc and Toc3 are present in biological membranes and have different effects on ER membrane properties.

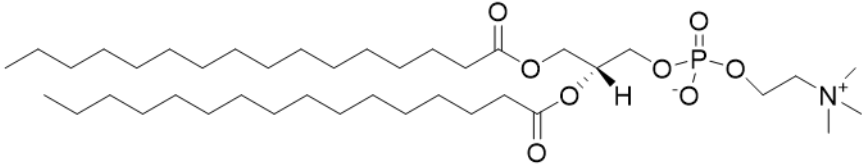
Unlike cell membrane, ER membranes are known to have low Chol content and form the  $S_o$  phase, rich in saturated lipids(Jacquemyn et al., 2017; Tian et al., 2022; van Meer et al., 2008). Biomimetic membranes can form a variety of phase states such as  $S_o$ ,  $L_d$ , and  $L_o$  phases(Veatch and Keller, 2005, 2003). Therefore, we prepared a Chol-free biomimetic membrane that forms  $S_o$  phase as a model for the ER stress membranes and Chol containing biomimetic membrane that forms  $L_o$  phase as a model for lipid raft. In this study, we clarify the effect of Toc and Toc3 on the  $A\beta$  adsorption onto ER stress mimicking membranes and raft mimicking membrane.

## 3-2 Materials and Methods

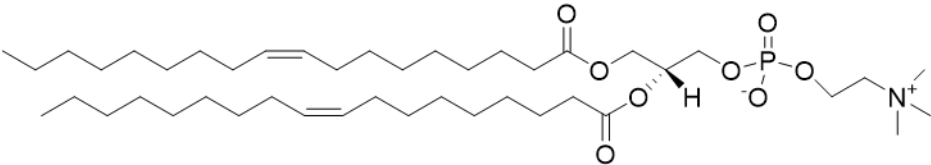
### 3-2-1 Material

Unsaturated lipid, 1,2-dioleoyl-*sn*-glycero-3-phosphocholine (DOPC), saturated lipid, 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC) and Cholesterol (ovine) (Chol) were purchased from Avanti Polar Lipid (Alabaster, USA). To label unsaturated lipid rich, liquid disordered ( $L_d$ ) phase, lissamine<sup>TM</sup> rhodamine B 1,2-dihexadecanoyl-*sn*-glycero-3-phosphoethanolamine, triethylammonium salt (Rhodamine-DHPE) ( $\lambda_{ex} = 560$  nm,  $\lambda_{em} = 580$  nm) was used. Rhodamine-DHPE was purchased from Thermo Fisher (Waltham, USA). To measure lipid membrane order, 1,6-diphenyl-1,3,5-hexatriene (DPH) was used. DPH was purchased from Sigma Aldrich (Missouri, USA).  $\beta$ -Amyloid (1-42, human) ( $A\beta$ ) was purchased from Peptide Institute Inc. (Japan). The amino acid sequence of  $A\beta$  was as follows: NH<sub>3</sub>-Asp-Ala-Glu-Phe-Arg-His-Asp-Ser-Gly-Tyr-Glu-Val-His-His-Gln-Lys-Leu-Val-Phe-Phe-Ala-Glu-Asp-Val-Gly-Ser-Asn-Lys-Gly-Ala-Ile-Ile-Gly-Leu-Met-Val-Gly-Gly-Val-Val-Ile-Ala-OH,  $A\beta$  (1-42, human) HiLyte Fluor 488 Conjugate ( $A\beta$ 488) ( $\lambda_{ex} = 503$  nm,  $\lambda_{em} = 528$  nm) was purchased from Anaspec, Inc. (USA). Hilyte<sup>TM</sup> Fluor488 was conjugated to N-terminus of  $A\beta$  (1-42). Toc and Toc3 were kindly provided by Dr. Noriko Noguchi and Dr. Yasuomi Urano, in Doshisha university.

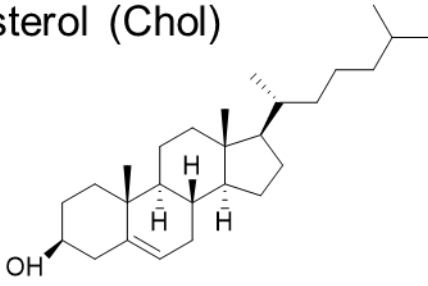
DPPC



DOPC

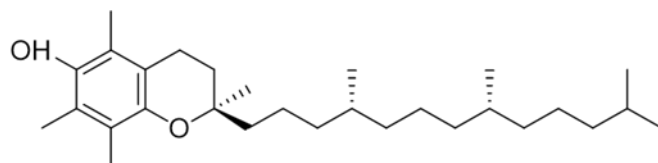


Cholesterol (Chol)

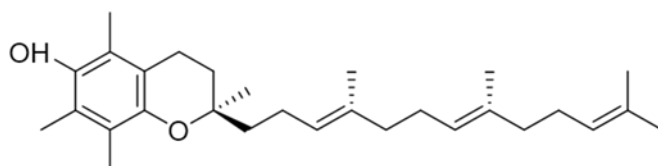




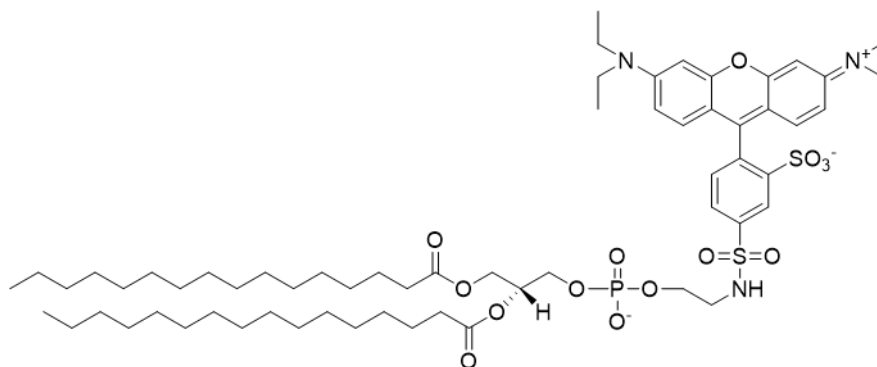
### $\alpha$ -Tocopherol (Toc)



### $\alpha$ -Tocotrienol (Toc3)



### Rhodamine-DHPE



### DPH

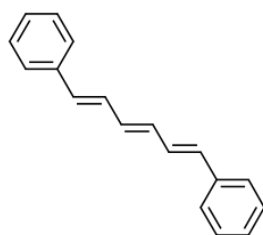


Fig. 3-1 Chemical structures of lipids, VEs, and fluorescence probes

### 3-2-2 GUV preparation for microscopy observation

In this chapter, giant unilamellar vesicles (GUVs) were used for microscopy observation and prepared by the natural swelling method (Fig. 3-2). Lipids (DOPC, DPPC, Chol) and VE (Toc, Toc3) were dissolved in chloroform/methanol (2: 1 v/v) to 2mM. Rhodamine-DHPE,  $L_d$  phase marker, was dissolved in chloroform to 0.1mM. Firstly, we prepared lipid mixtures composed of DPPC, DOPC, Chol, Toc, Toc3, and  $2\mu\text{l}$  Rhodamine-DHPE (0.1mM) in a durham tube. In the ternary system, the ratio of DOPC/DPPC/Chol (1:1:0) was fixed and Toc or Toc3 was added in any ratio, making the total volume 20  $\mu\text{l}$ . In the quaternary system, the ratio of DOPC/DPPC/Chol (2:2:1) was fixed and Toc or Toc3 was added in any ratio, making the total volume 20  $\mu\text{l}$ . Secondly, the lipid mixtures were dried with  $\text{N}_2$  gas to make lipid films and we keep them under vacuum for more than 3 hours. The vacuum environment was prepared with a vacuum pump and a desiccator. Finally, lipid films were added with 200 of Milli Q water and leave them in the incubator in which the temperature was kept at  $37^\circ\text{C}$  for more than 3 hours to spontaneously induce GUVs.

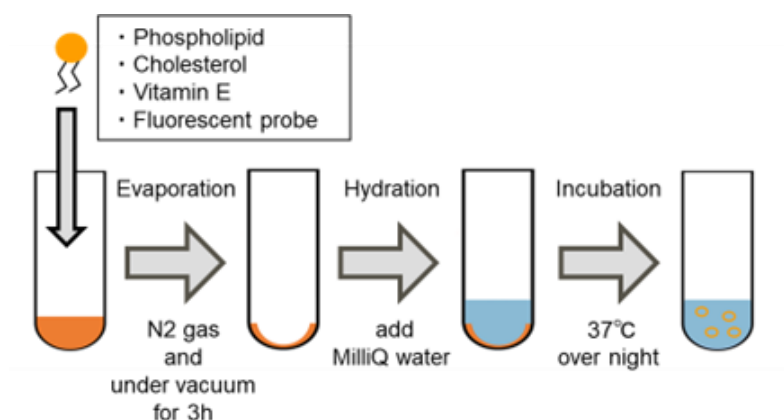


Fig. 3-2 Schematic of natural swelling method

### 3-2-3 GUV observation at room temperature

To observe GUV, we prepared a chamber (Fig. 3-3). A silicone film with holes was attached to a slide glass. Then GUV solution was added to the hole of silicon film and the hole was covered with cover glass. GUV solution was observed at room temperature using a fluorescent microscope (IX 71, Olympus, Tokyo, Japan). All observation was conducted within 90 sec to avoid photo-induced lipid oxidization. We counted GUVs more than 100 in each condition.

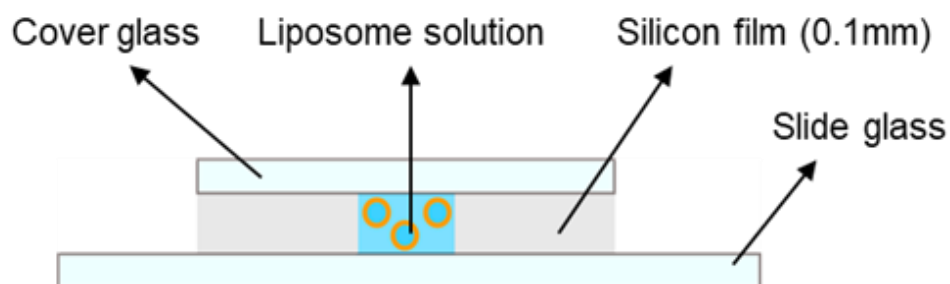


Fig. 3-3 Schematic of observation sample

### 3-2-4 Temperature control for microscopic observation

To observe GUVs, at any temperature, thermo-plate (MATS-555ORA-BT, TOKAI HIT) was used (Fig. 3-4). The observation chamber was placed on the thermo-plate and GUV solution was adjusted to the desired temperature. We used thermocouple thermometer (AS ONE) and measured the temperature of a cover glass. The temperature on the cover glass was regarded as GUV solution temperature in this measurement. After the GUV temperature reached the desired temperature, we maintained the temperature ( $\pm 0.5^{\circ}\text{C}$ ) for 5 min until the sample reached the equilibrium state. Following the temperature control, observation was started with a fluorescence microscopy (IX 71, Olympus) and counted more than 60 GUVs for 90 sec.

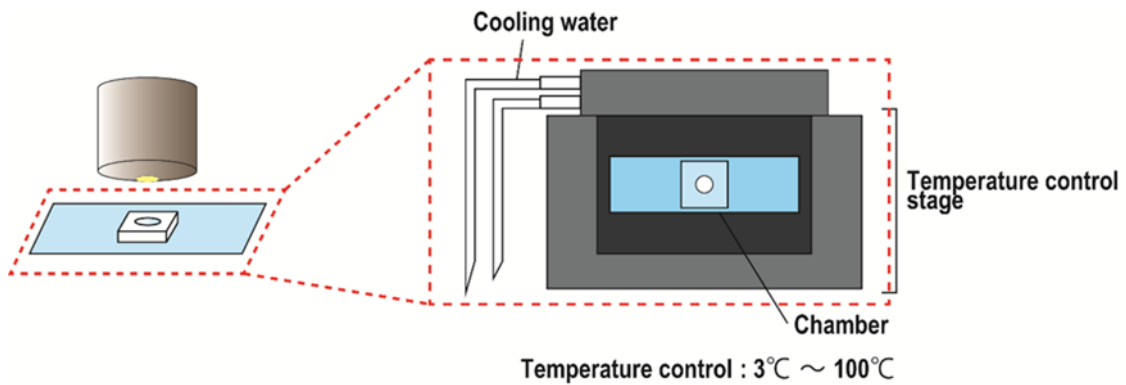


Fig. 3-4 Schematic of temperature control

### 3-2-5 Miscibility temperature measurement

To understand the thermal stability of phase separated structure, we calculated miscibility temperature ( $T_{mix}$ ).  $T_{mix}$  is defined as the temperature at which the fraction of phase separated GUVs is 50%. To obtain  $T_{mix}$ , we measured the fraction of phase separated GUVs at each temperature and the fractions were plotted. The plotted data were fitted with a sigmoid Boltzmann function, and  $T_{mix}$  was calculated. The sigmoid Boltzmann function is shown below.

$$P = \frac{1}{1 + \exp[(T - T_{mix})/dt]}$$

where P is the fraction of phase separated GUVs, T is the temperature, and dt is the slope of the sigmoidal curve

### 3-2-6 MLV preparation for differential scanning calorimetry

Multi lamellar vesicles (MLVs) were used for differential scanning calorimetry (DSC) (Fig. 3-5). Lipids (DPPC, Chol), Toc, and Toc3 were dissolved in chloroform to 300 mM. First, we prepared lipid mixture composed of DPPC, DOPC, Chol, Toc, and Toc3 in durham tube. In the binary system, DPPC and Toc or Toc3 were mixed in any ratio, making the total volume 30  $\mu$ l. In the ternary system, the ratio of DPPC/Chol (9:1) was fixed and Toc or Toc3 was added in any ratio, making the total volume 30  $\mu$ l. Secondly, the lipid mixtures were dried with N<sub>2</sub> gas to make lipid films and we keep them under a vacuum for more than 3 hours. The vacuum environment was prepared with a vacuum pump and a desiccator. Finally, lipid films were added by MilliQ water to the final concentration 150 mM, vortexed and sonicate at T>60°C which is higher than the main transition temperature of DPPC ( 42°C) for 1 hr.

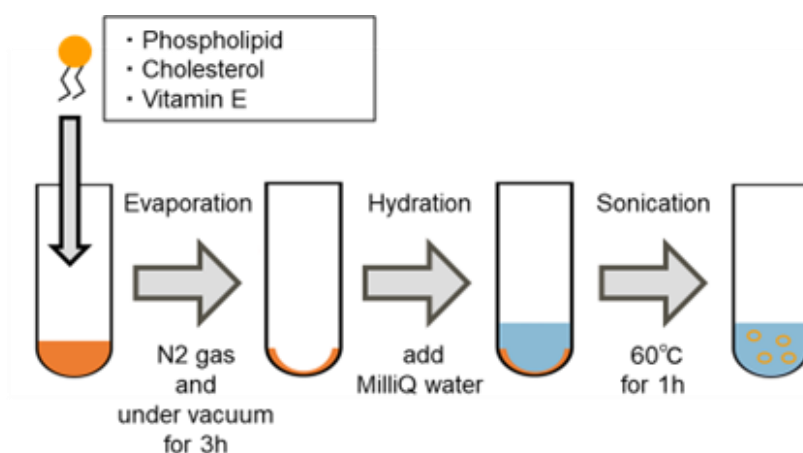


Fig. 3-5 Schematic of MLV preparation for DSC measurement

### 3-2-7 Differential scanning calorimetry

To investigate the thermal stability of lipid membranes containing VE, we used DSC to measure the change in phase transition temperature ( $T_m$ ). Lipids undergo a phase transition from the gel phase to the liquid crystal phase when the temperature is increased from the low temperature side. The phase transition is accompanied by an endothermic reaction, which can be detected by DSC.

13 ( $\pm 0.2$ mg) mg of MLV solution was placed in an aluminum pan (ME-27331). As the reference sample pan, an aluminum sample pan was filled with the same amount of Milli-Q water as contained in the sample. The sample and reference sample pans were set in a DSC822 (Mettler Toledo) and subjected to three heating and cooling cycles. Heating and cooling were conducted under the following conditions: 20~60°C (heating/cooling temperature 5°C/min) and  $N_2$  gas inflow 75 ml/min. Heating and cooling were performed as follows: (1) 10°C was maintained for 5 minutes, (2) then heated to 60°C at 5°C/min, (3) maintained at 60°C for 3 minutes, and (4) cooled at 5°C/min. Three cycles were performed with (1) to (4) as one cycle. As the representative result, the data from the third cycle of heating was used. The same measurements were performed at least three times to ensure data reproducibility. Thermographs were created with STARe software (Mettler Toledo). Origin 2018 was used to analyze the asymmetric thermographs obtained (Fig. 3-6).

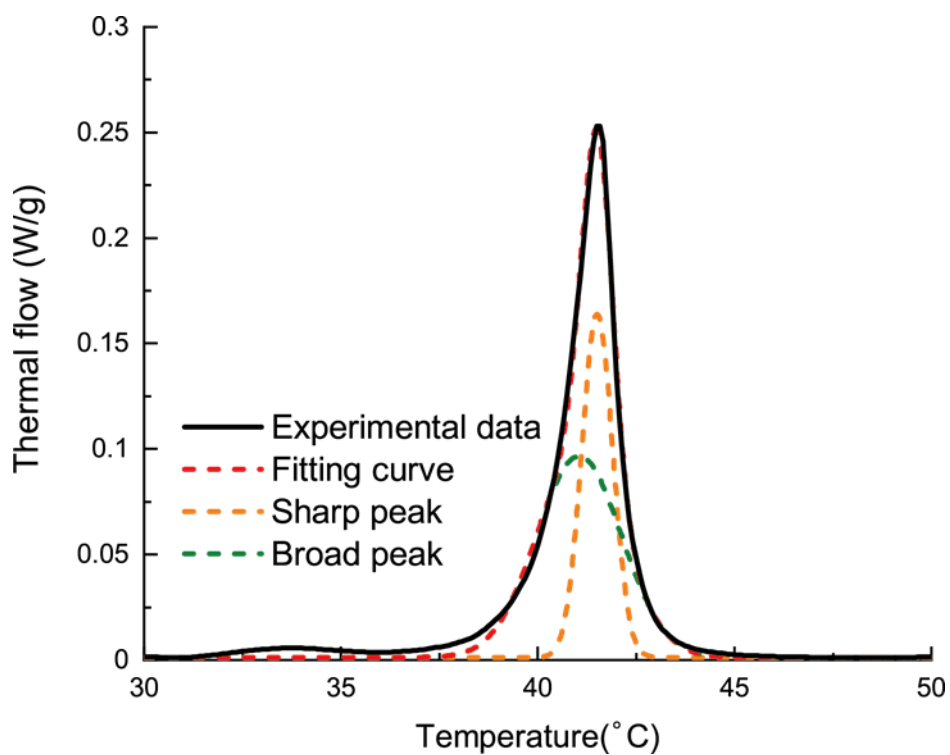


Fig. 3-6 An example of peak deconvolution for DPPC/Toc=97.5: 2.5. Black line represents the experimental data. The experimental data was deconvoluted into two symmetric peaks which were indicated by orange and green dashed lines. The sum of these two lines which was indicated by red dashed line was the fitting curve.



### 3-2-8 Line tension of L<sub>o</sub> phase boundary

To calculate line tension, flicker spectroscopy was used (Esposito et al., 2007). In this method, we record the fluctuation of L<sub>o</sub> domain boundary with 30 frames/sec for more than 3 sec, thus more than 90 frames. We did not measure the line tension of L<sub>o</sub> domain which occur the coalescence with other domains and/or do not have clearly visible domain boundary during the recording. By using image J software, we obtained the binarized images. From the binarized images, we obtained the radial fluctuation  $r$  as a function of the polar angle  $\psi$  and used Fourier series expansion to represent the radial fluctuation.

$$r(\psi) = r_{av} \left[ 1 + a_0 + \sum_{k=1}^{\infty} a_k \cos(k\psi) + \sum_{k=1}^{\infty} b_k \sin(k\psi) \right]$$

where  $r_{av}$  is the average domain radius,  $\psi$  is the polar angle,  $k$  is the mode number,  $a_k$  and  $b_k$  are Fourier coefficients. The excess energy  $\Delta F$  generated by the fluctuations can be expressed as,

$$\Delta F = \frac{\pi r_{av}}{2} \gamma \sum_{k=2}^{\infty} (k^2 - 1)(a_k^2 + b_k^2)$$

where  $\gamma$  is the line tension. According to the generalized equipartition theorem, free

energy for each independent mode is  $k_B T$  ( $k_B$  is the Boltzmann constant). Thus, the following expression is obtained as,

$$\left( \langle a_k^2 \rangle + \langle b_k^2 \rangle \right) r_{av} = \frac{2k_B T}{\pi \gamma} \left( \frac{1}{k^2 - 1} \right)$$

where  $\langle a_k^2 \rangle$  and  $\langle b_k^2 \rangle$  are the averaged Fourier coefficients of all images. According to Equation, the value of line tension can be derived by the linear regression equation between  $k$  and the Fourier coefficients  $\langle a_k^2 \rangle$  and  $\langle b_k^2 \rangle$ .

### 3-2-9 MLV preparation for DPH anisotropy measurement

Multi lamellar vesicles (MLVs) were used for DPH measurement (Fig. 3-7). Lipids (DOPC) and VEs (Toc and Toc3) were dissolved in chloroform to 20 mM. DPH was dissolved in chloroform to 0.2 mM. First, we prepared a lipid mixture composed of DOPC, Chol, Toc, Toc3, and 30ml DPH in durham tube. DOPC, Chol, and VEs (Toc or Toc3) were mixed in any ratio, making the total volume 65  $\mu$ l. Secondly, the lipid mixtures were dried with N<sub>2</sub> gas to make lipid films and we keep them under vacuum for more than 3 hours. The vacuum environment was prepared with a vacuum pump and a desiccator. Thirdly, Lipid films were added by 250 $\mu$ l MilliQ water to the final concentration 5.2 mM (lipids) and 0.0012 mM (DPH), respectively, vortexed and sonicated for 1 hr. After sonication, the MLVs were extruded 13 $\times$  successively through 0.4  $\mu$ m Nucleopore filters.

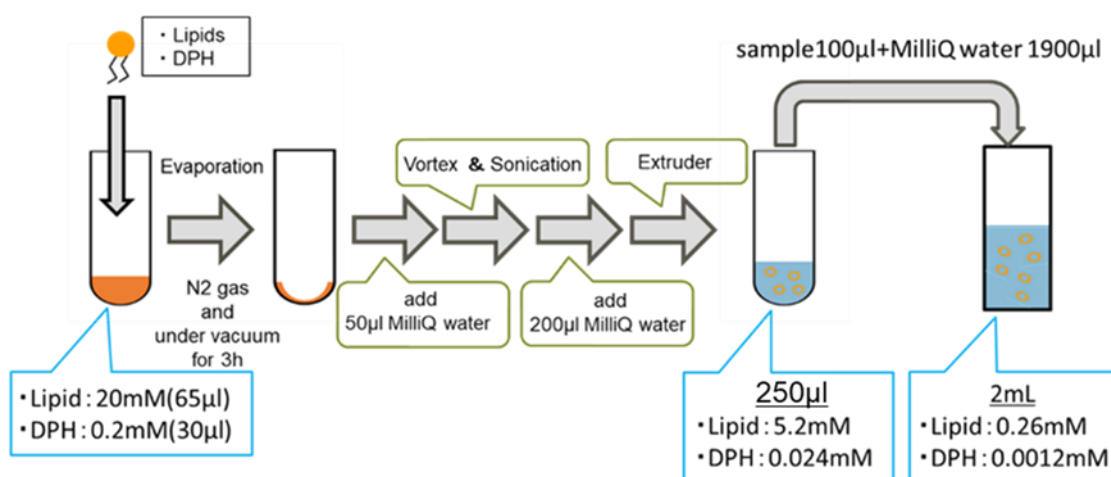


Fig. 3-7 Schematic of MLV preparation for DPH anisotropy measurement

### 3-2-10 DPH anisotropy

To compare the effect of Toc and Toc3 on  $L_d$  phase order, we measured the fluorescence anisotropy of DPH in lipid bilayers composed of DOPC and VE. DPH is inserted into the membrane and changes the velocity of molecular rotation depending on membrane order. In highly ordered membranes, DPH moves less, and in low-ordered membranes, the molecular motion of DPH is greater. Therefore, the fluorescence anisotropy changes depending on the membrane order. Highly ordered membranes have high fluorescence anisotropy, while low order membranes have low fluorescence anisotropy.

DPH measurement was performed using an FP-6500 spectrofluorometer (JASCO Co. Tokyo, Japan) at room temperature. Polarizers (JASCO Co. Tokyo, Japan) were attached to the excitation and emission sides.

Firstly, the vertical excitation light was selected, and the vertical fluorescence was detected ( $I_{vv}$ ). Secondly, the vertical excitation light was selected, and the horizontal fluorescence was detected ( $I_{vh}$ ). Thirdly, horizontal excitation light was selected and fluorescence in the horizontal direction was detected ( $I_{hh}$ ). Last, the excitation light in the horizontal direction was selected and fluorescence in the vertical direction was detected ( $I_{hv}$ ). Each plot represents the average of three measurements. This measurement was performed at least three times for each condition. The excited wavelength was  $\lambda_{ex}=357$  nm, and the fluorescence intensity was monitored at  $\lambda_{em}=430$ nm. Anisotropy values  $r$  was calculated as,

$$r = \frac{I_{VV} - GI_{VH}}{(I_{VV} + GI_{VH})}$$

$G$  is the correction factor,  $G = \frac{I_{HV}}{I_{HH}}$  and need to calculate for each sample.

Lipid membrane order can be discussed using  $r$  obtained by the above equation. Higher values of  $r$  indicate a more ordered state of the bilayer.

### 3-2-11 A $\beta$ protofibril preparation

A $\beta$  protofibril was prepared by using the same method as in the previous studies (Hamada et al., 2010; Morita et al., 2012; Phan et al., 2014). A $\beta$  and A $\beta$ -488 were dissolved in ammonia aq to 200  $\mu$ M and stored at -80°C. A $\beta$  and A $\beta$ -488 were mixed in a ratio of 2:1 and diluted to 80  $\mu$ M with Tris-HCL buffer (20mM, pH 7.4). The diluted A $\beta$  mixture was incubated for 12 hrs at 37°C to form A $\beta$  protofibril (Fig. 3-8). A $\beta$  protofibril (80  $\mu$ M) was diluted with the GUV solution to 5  $\mu$ M and. We prepared an observing chamber using the liposomal solution containing A $\beta$  protofibril and observed them with a confocal laser scanning microscopy (FV-1000, Olympus, Tokyo, Japan) for less than 90 sec.

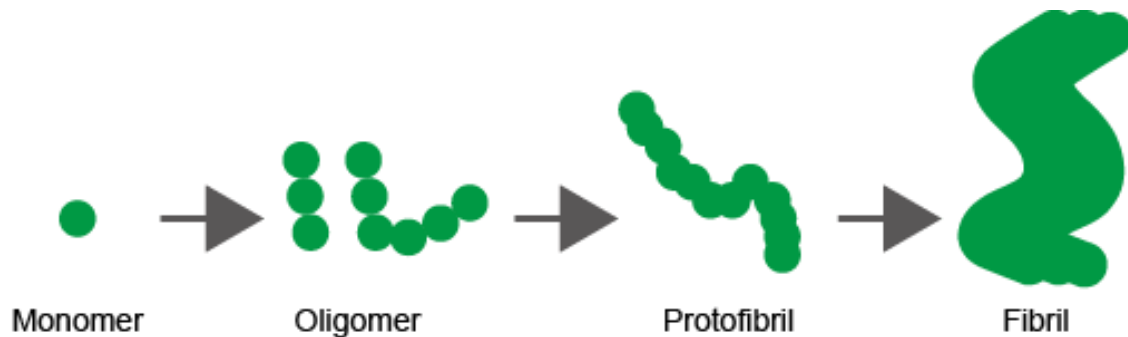


Fig. 3-8 Schematic of A $\beta$  protofibril preparation

### 3-3 Results

#### 3-3-1 The effect of Toc and Toc3 on the L<sub>o</sub>/L<sub>d</sub> phase separation

To understand the effect of Toc and Toc3 on the L<sub>o</sub>/L<sub>d</sub> phase separated GUVs, raft mimicking GUVs composed of DOPC/DPPC/ Chol (=40: 40: 20) and 0~10% Toc or Toc3 were observed using fluorescence microscopy at room temperature. We used Rhodamine-DHPE for staining L<sub>d</sub> phase. L<sub>o</sub> phase is a dark circular region rich in DPPC and Chol. As previously reported, The GUVs without VE showed L<sub>o</sub> /L<sub>d</sub> phase separation (Fig. 3-9 (a)). Then, we added Toc or Toc3 before hydration and prepared raft mimicking GUVs. Raft mimicking GUVs containing 5% or 10% VE also showed L<sub>o</sub>/ L<sub>d</sub> phase separation and did not affect the fraction of phase separated GUVs (Fig. 3-9 (b)). These data suggest that VEs do not affect the L<sub>o</sub>/ L<sub>d</sub> phase separation at room temperature. To further understand the effect of VEs (Toc and Toc3) on the L<sub>o</sub> phase formation, we measured the miscibility temperature  $T_{mix}$  of L<sub>o</sub>/L<sub>d</sub> phase separate GUVs. Raft mimicking GUVs were observed under temperature control. We counted more than 60 GUVs and measured the fraction of phase separated GUVs at each temperature. At lower temperatures, GUVs often showed L<sub>o</sub>/ L<sub>d</sub> phase separation, whereas at higher temperatures, L<sub>o</sub> phase often disappeared. Without VEs,  $T_{mix}$  was 35.7°C. Next, Toc and Toc3 were added to ternary GUVs and measure  $T_{mix}$ . Addition of Toc or Toc3 resulted in a  $T_{mix}$  of 35.8°C(5% Toc), 35.1°C(10% Toc), 35.1°C(5% Toc3), and 35.7°C(10% Toc3) for the L<sub>o</sub> phase, respectively.  $T_{mix}$  was not affected by Toc and Toc3(Fig. 3-9 (c, d)).

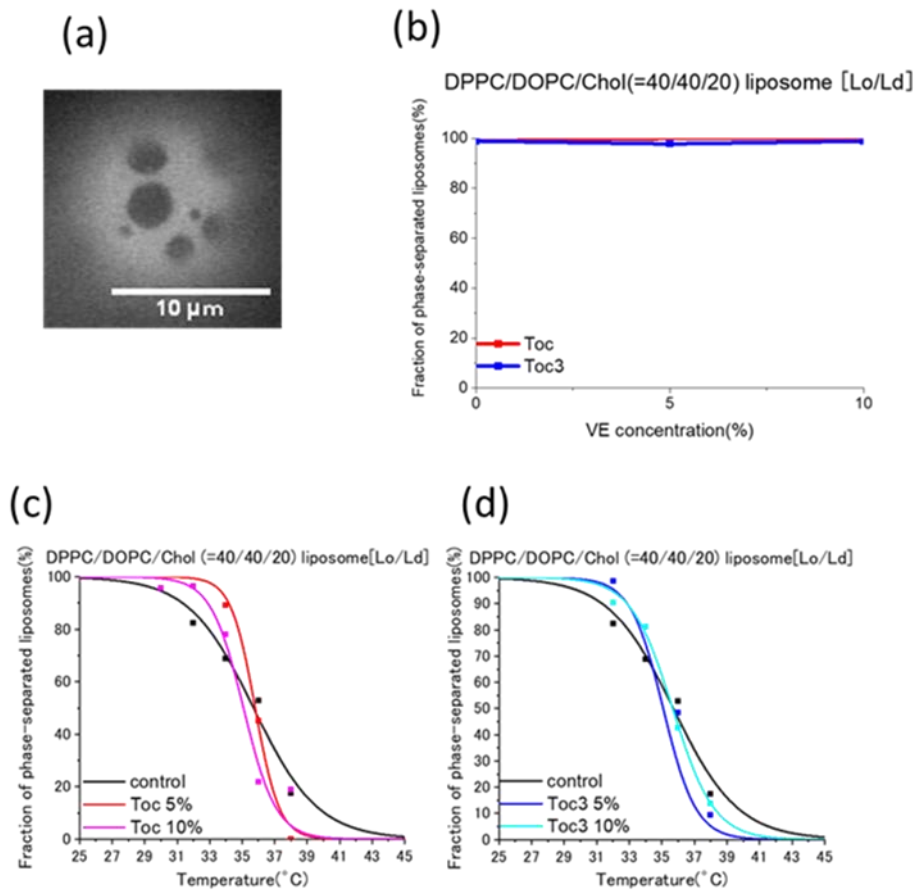


Fig. 3-9 (a) Microscopic images of  $L_o/L_d$  phase separation at DOPC/DPPC/Chol=40:40/0 (VE 0%), (b) Fraction of phase-separated GUVs as a function of VE concentration. (c, d) Fraction of phase-separated GUVs containing Toc and Toc3, respectively, as a function of temperature.



### 3-3-2 DSC measurement in L<sub>o</sub> phase mimicking membranes

To quantify the effect of VEs in the thermal stability of L<sub>o</sub> phase, DSC was conducted, and T<sub>m</sub> was measured (Fig.3-10). MLVs were prepared with/without VEs (Toc and Toc3) 0~10% of the total, while keeping DPPC/Chol=90/10 fixed, and DSC measurements were performed. In this system, we obtained asymmetric thermographs indicative of multiple peaks and heterogeneous distribution of Chol in DPPC membrane(Fig. 3-10 (a, b)). This is consistent with previous work. The previous report has shown that the asymmetric peak can be divided into two peaks. The sharp and broad peaks can be regarded as DPPC-rich S<sub>o</sub> phase and a DPPC and Chol-rich L<sub>o</sub> phase, respectively(Ko Sugahara et al., 2017). We analyzed the asymmetric thermographs with Origin 2018 and resolved into broad and sharp peaks, which were considered to be the L<sub>o</sub> and S<sub>o</sub> phases, respectively (Fig. 3-10 (c~f)). Both Toc and Toc3 were found to lower the T<sub>m</sub> of the L<sub>o</sub> and S<sub>o</sub> phases (Fig. 3-10 (g)). Thus, Toc and Toc3 were incorporated into both phases. The T<sub>m</sub> of the L<sub>o</sub> phase was significantly lower than that of the S<sub>o</sub> phase. Furthermore, the T<sub>m</sub> of the L<sub>o</sub> and S<sub>o</sub> phases were significantly lower for Toc3 than for Toc.

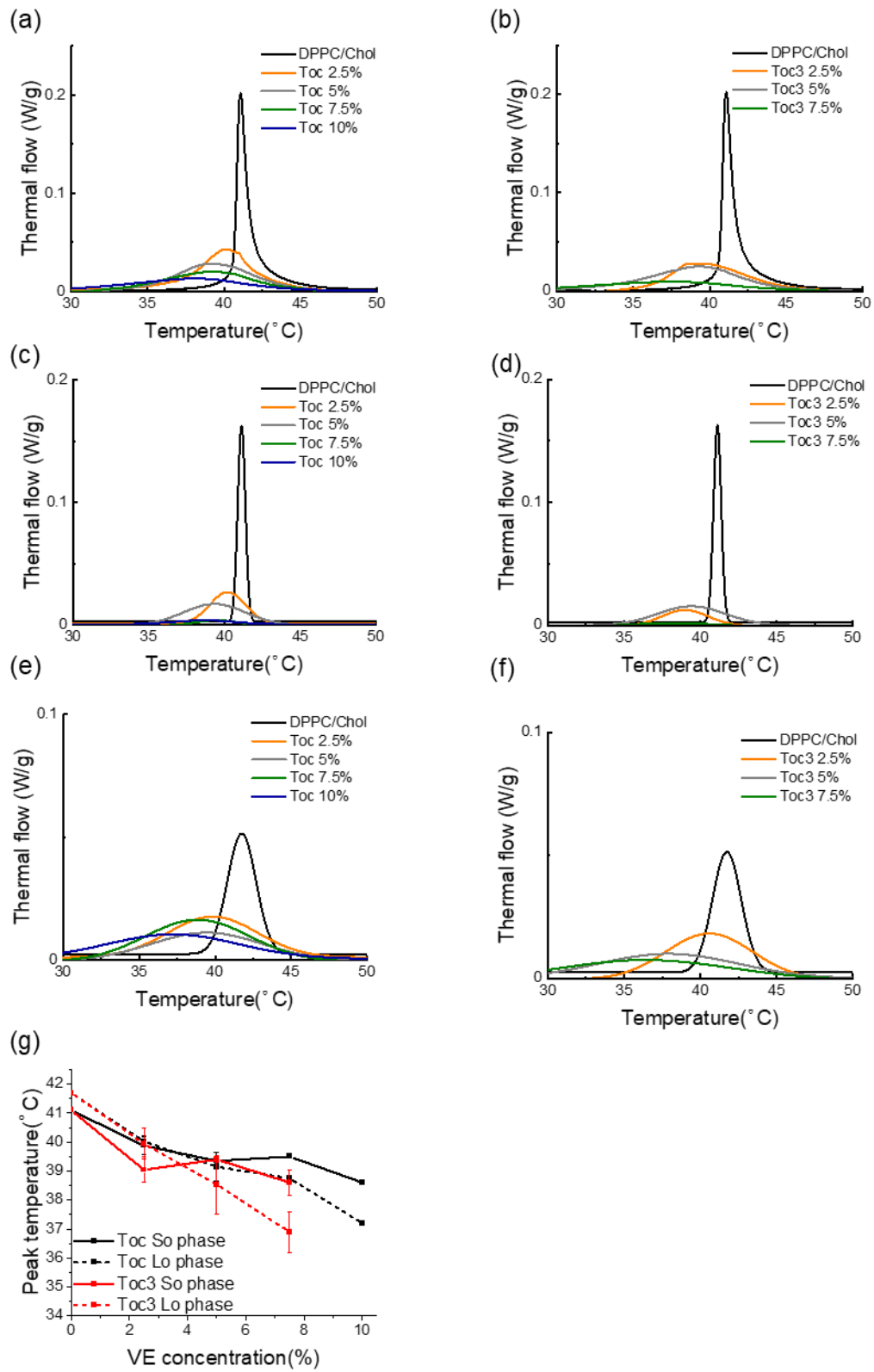


Fig. 3-10 DSC thermograph of membranes composed of (a)(c)(e)DPPC/Chol (=9/1)/Toc and (b)(d)(f)DPPC/Chol (=9/1)/Toc3. Row data were shown in (a)(b). Deconvoluted data were shown in (c~f). Sharp peaks were shown in (c, d). Broad peaks were shown in (e, f). (g) Peak temperature changes of deconvoluted DSC thermographs as a function of VE concentration.

### 3-3-3 Line tension of $L_o$ phase boundary in VE containing membranes

The two phase-separated regions have different lipid compositions and therefore different thicknesses;  $L_d$  phase is mainly composed of DOPC which has a double bond in the hydrophobic region, forming a thinner region compared to  $L_o$  phase, rich in DPPC and Chol. The thickness mismatch is energetically unfavorable because part of the hydrophobic region of DPPC is exposed to water. To reduce that energetic disadvantage, the  $L_o$  phase maintains a circular shape, with the shortest boundary interface length. The greater the thickness mismatch between the two phases, the greater the linear tension and the smaller the interfacial fluctuations. On the other hand, if the mismatch between the two is small, the linear tension is small, and the interfacial fluctuations are large.

Line tension is an important indicator of the  $L_o$  phase stability. To further gain insights into the effect of Toc and Toc3 on  $L_o$  phase, we measured line tension with and without Toc and Toc3. Previous studies showed that Toc decreases the line tension of the  $L_o$  phase, by using GUVs containing DOPC/DPPC/Chol (=35/35/30). Without VE, the line tension of  $L_o$  phase boundary was 1.89 pN. A previous study showed that line tension of  $L_o$  phase boundary was approximately 2 pN (Wongsirojkul et al., 2022). Thus, we regarded this as correct data. Next, we measured the line tension of the  $L_o$  phase boundary with Toc and Toc3. Line tension was decreased with Toc and Toc3. The line tension was 1.20pN (5% Toc), 1.43pN (10% Toc), 1.37pN (5% Toc3), 1.14pN (10% Toc3), respectively (Fig. 3-11). These data indicated that VEs decrease  $L_o$  phase stability, inconsistent with the data above. The reasons for this are discussed in detail in the discussion section.

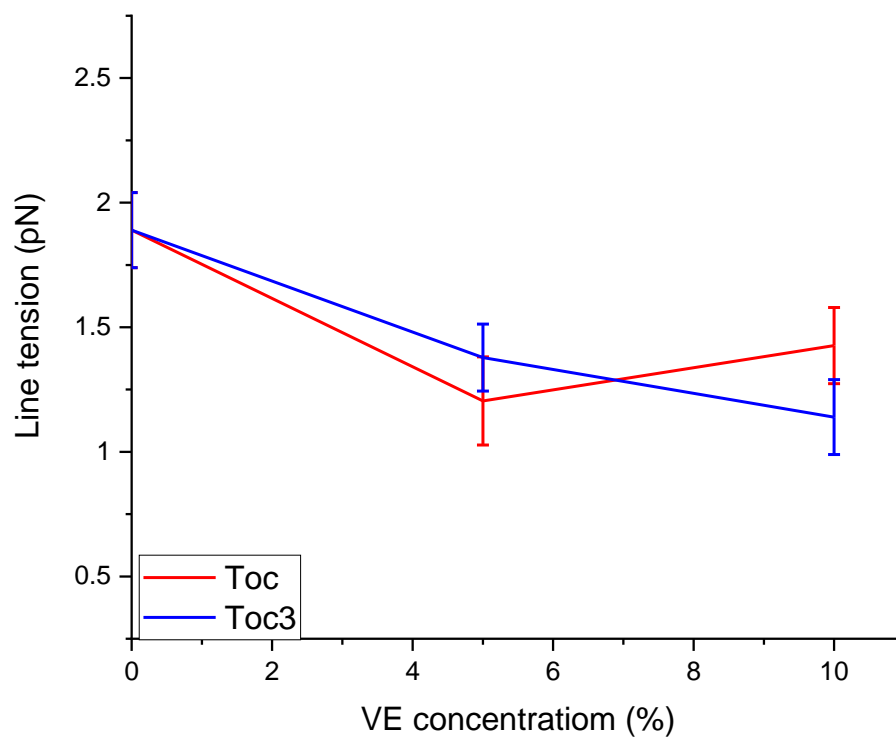


Fig. 3-11 Line tension of  $L_o$  phase boundary in the DOPC/DPPC/Chol (=40/40/20) GUVs with/ without Toc and Toc3

### 3-3-4 DPH anisotropy of DOPC membranes

Although Toc and Toc3 did not affect the formation of  $L_o$  domain, Toc and Toc3 decreased line tension. Thus, Toc and Toc3 may incorporate into  $L_d$  phase of raft mimicking GUVs and did not affect the  $L_o/L_d$  phase separation. However, Toc and Toc3 slightly decreased line tension of  $L_o$  domain boundary. We hypothesize that Toc and Toc3 were incorporated into  $L_d$  phase and increased  $L_d$  phase order. To reveal the effect of Toc and Toc3 on the order of  $L_d$  phase, DPH anisotropy was measured using MLVs composed of DOPC with/without VEs (Toc and Toc3) at room temperature. Toc and Toc3 increased the DPH anisotropy of DOPC, indicative of increasing membrane order (Fig. 3-12). We cannot detect the difference between Toc and Toc3 on membrane order.

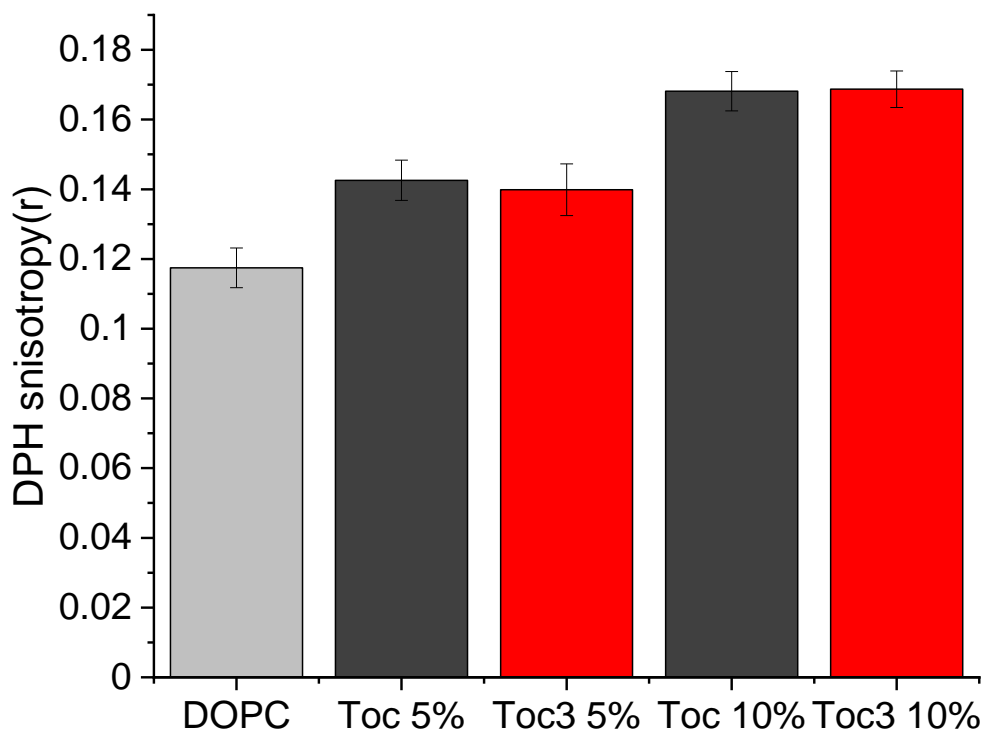


Fig. 3-12 Mean DPH anisotropy (r) in MLVs composed of DOPC/Toc and DOPC/Toc3.

These measurements were conducted at room temperature.

### 3-3-5 Observation of ER mimicking membranes containing Toc and Toc3

To understand the effect of Toc and Toc3 on the  $S_o$  phase. Toc and Toc3 were hydrophobic, and they were incorporated into ER mimicking GUVs. We made ER mimicking GUVs composed of DOPC/DPPC (=50: 50) and 0~10% Toc or Toc3 and observed them using fluorescent microscopy at room temperature. ER mimicking GUVs containing 0% VE showed non-circular domain which indicate that the domain was not liquid phase but solid phase (Fig. 3-13 (a)). This domain structure was consistent with previous works. ER mimicking GUVs containing 3 and 5% Toc and Toc3 showed domain shrink, not showing domain disappearance (Fig. 3-13 (b, c)). ER mimicking GUVs containing 8% and 10% Toc and Toc3 destabilize  $S_o$  phase and showed a heterogeneous phase (Fig. 3-13 (d)). Unlike previous studies which reported more effect of Ton3 on membrane property than Toc, Toc3 had stronger effect on  $S_o$  phase formation (Fig. 3-13 (e)).

Next, to investigate the effect of phase-separated structure on thermal stability, microscopic observations were made during temperature change to determine the phase-separation ratio. First, ER mimicking GUVs were prepared from a DPPC/DOPC=50/50 lipid solution and the  $T_{mix}$  of the  $S_o$  phase was determined; the  $T_{mix}$  of the  $S_o$  phase without VE was 37.8°C (Fig. 3-13(f, g)). Then, the DPPC/DOPC = 50/50 ratio was fixed, and Toc or Toc3 was added to make GUVs at 5% and 10%. The  $T_{mix}$  of the  $S_o$  phase was determined under each condition by fluorescence microscopy. Addition of Toc or Toc3 resulted in a  $T_{mix}$  of 34.9°C (5% Toc), 21.3°C (10% Toc), 36.5°C (5% Toc3), and 23.4°C (10% Toc3) for the  $S_o$  phase, respectively. In both cases, the  $T_{mix}$  was lower than that of



the control.

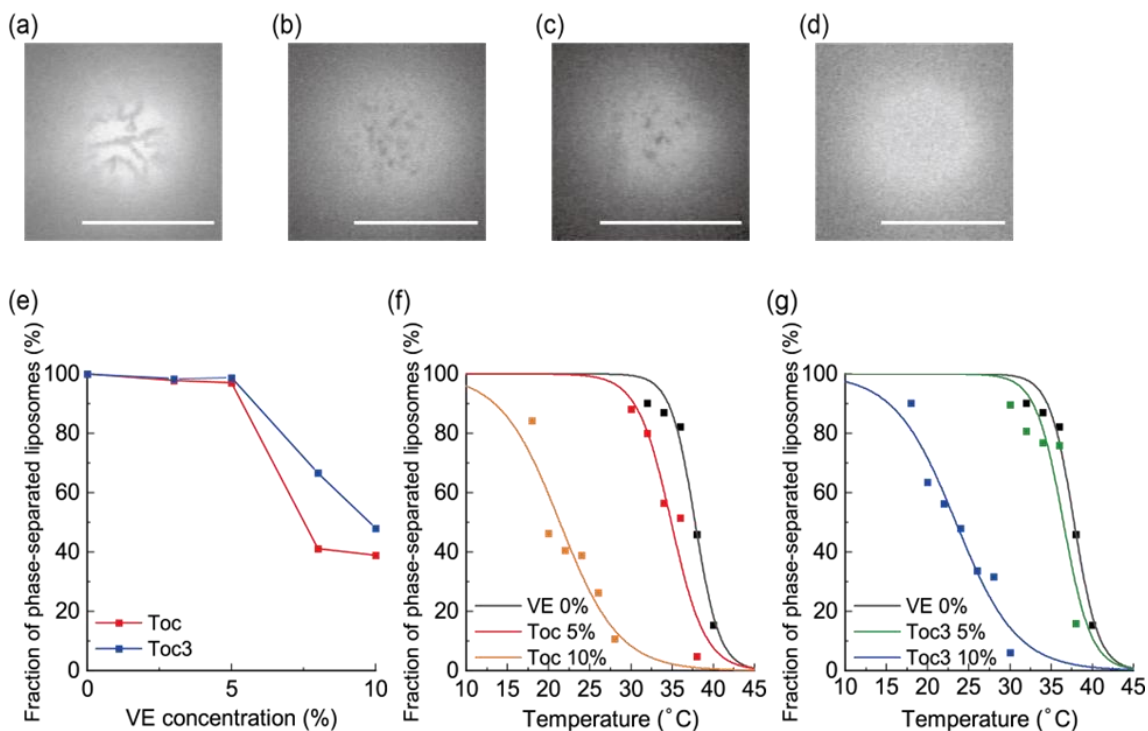


Fig. 3-13 (a) Microscopic images of  $S_o/L_d$  phase separation at DOPC/DPPC=50:50 (VE 0%), (b) at DOPC/DPPC/Toc=47.5:47.5:5 (Toc 5%), and (c) at DOPC/DPPC/Toc3=47.5:47.5:5 (Toc3 5%). (d) Microscopic image of homogeneous GUVs at DOPC/DPPC/Toc=45:45:10 (Toc 10%). Scale bars are 10  $\mu$ m. (e) Fraction of phase-separated GUVs as a function of VE concentration. (f, g) Fraction of phase-separated GUVs containing Toc and Toc3, respectively, as a function of temperature.

### 3-3-6 DSC measurement for $S_o$ phase mimicking membrane containing Toc and Toc3

Next, we investigated the effect of Toc and Toc3 on DPPC intermolecular interactions by adding 0-10% of Toc or Toc3 to the DPPC solution, making MLV, and performing DSC measurements. The peak temperature represents the phase transition of DPPC from the gel phase to the liquid crystal phase. In the case of Toc containing MLV, the peak temperature shifted to the lower temperature as shown in the previous literature (Fig. 3-14 (a))(Neunert et al., 2018). We showed that Toc3 also lowers the peak temperature (Fig. 3-14 (b)). Therefore, the peak shift by Toc and Toc3 indicates that Toc and Toc3 were incorporated into DPPC membranes and the intermolecular interaction of DPPC is weakened and destabilized.

Furthermore, we found that VE containing thermograph was asymmetric, indicative of containing multiple peaks and heterogeneous distribution of Toc and Toc3 in DPPC membranes (Fig. 3-14 (a, b)). The thermograph without VE is sharp and symmetrical in shape. We analyzed the thermograph and found two peak, sharp peaks and broad peak (Fig. 3-14 (c~f)). The sharp peak at higher temperatures and the broad peak at lower temperatures corresponded to the VE-poor  $S_o$  phase and VE-rich  $S_o$  phase, respectively. These two peaks shifted toward a lower temperature as the concentration of VE increased (Fig. 3-14 (c~f)). As previously reported, Toc showed a concentration-dependent decrease in the phase transition temperature of DPPC (Neunert et al., 2018). In this study, we found that Toc3 slightly destabilized these phases compared with Toc. Therefore, VE reduced the thermal stability of both phases.

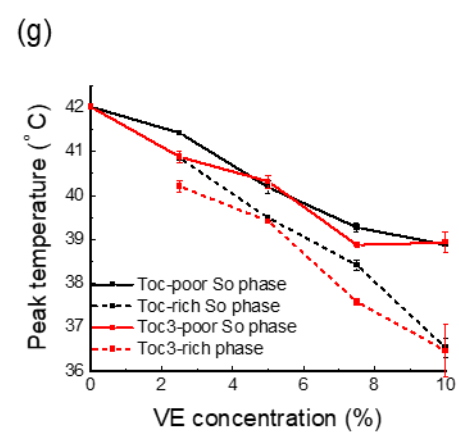
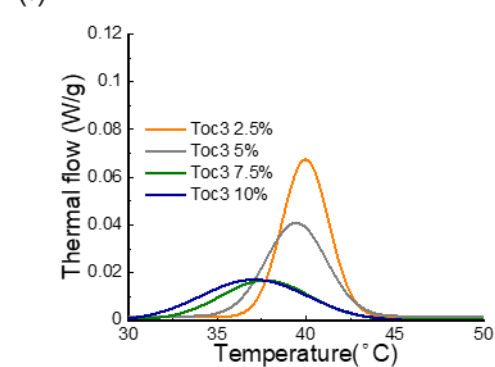
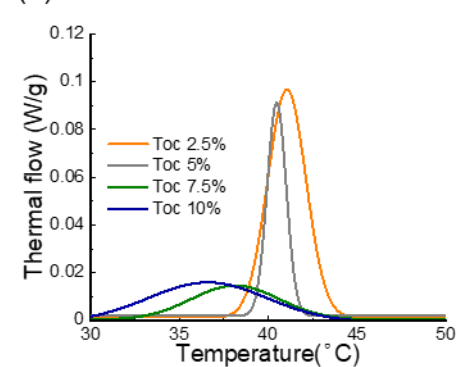
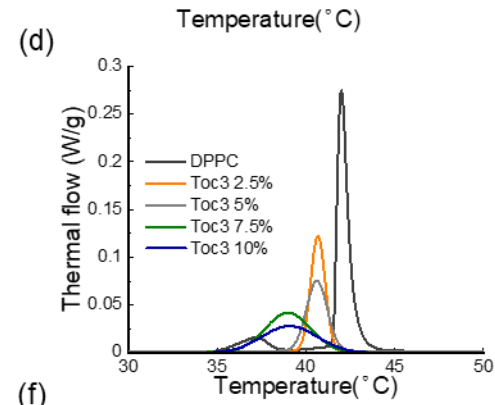
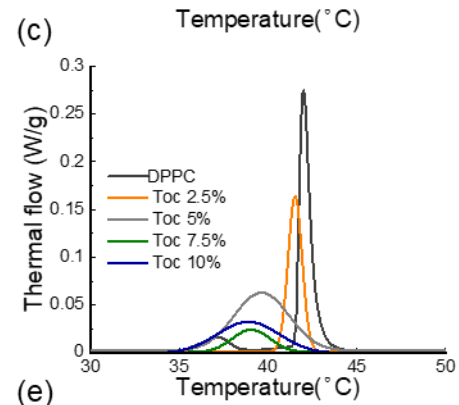
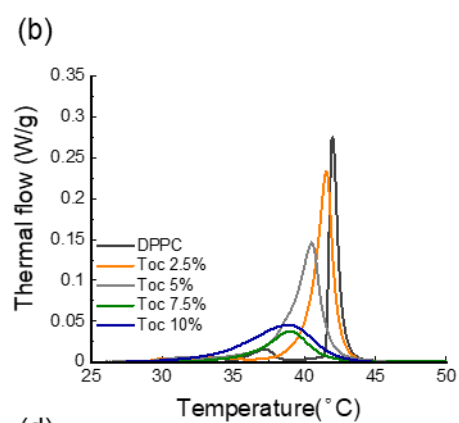
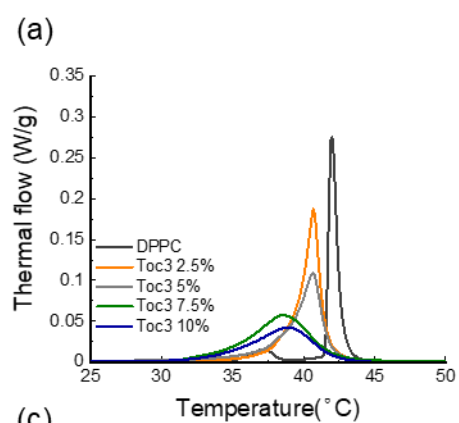


Fig. 3-14 DSC thermograph of membranes composed of (a)(c)(e)DPPC/Toc and (b)(d)(f)DPPC/Toc3. Row data were shown in (a)(d). Deconvoluted data were shown in (b, c, e, f). Sharp peaks were shown in (b, e). Broad peaks were shown in (c, f). (g) Peak temperature changes of deconvoluted DSC thermographs as a function of VE concentration.

### 3-3-7 Adsorption of A $\beta$ on ER-mimicking membrane containing Toc and Toc3

Next, we added A $\beta$  protofibril to ER mimicking GUVs containing Toc and Toc3 and observed them at room temperature by confocal laser scanning microscopy. We used A $\beta$  peptide with 42 amino acid residues and fluorescence-labeled A $\beta$  (Hylite Fluor 488, A $\beta$ -488) and mixed them at a ratio of 2:1. The mixed A $\beta$  was incubated for 12 h to spontaneously aggregate into protofibrils (Morita et al., 2012). The detailed sample preparation can be found in the supporting information. At VE 0%, the S<sub>o</sub>/L<sub>d</sub> phase-separated GUVs were found and the regions with higher and lower Rhod-DHPE fluorescence intensities correspond to the L<sub>d</sub> and S<sub>o</sub> phases, respectively. The fluorescence intensity of A $\beta$ -488 was higher in the S<sub>o</sub> phase. This indicated that A $\beta$  protofibril selectively adsorbed onto the S<sub>o</sub> phase and this result is consistent with some previous studies (Fig. 3-14 (a)) (Hamada et al., 2010; Morita et al., 2012; Phan et al., 2014). On the other hand, at VE 10%, half of the GUVs exhibited the homogeneous phase as we have already mentioned in Fig. 3-14 (b, c). In such ER mimicking GUVs, we could not observe the A $\beta$  adsorption onto the surface of GUVs. We concluded that the A $\beta$  adsorption correlated with the S<sub>o</sub> phase formation. Since Toc strongly suppressed the formation of the S<sub>o</sub> phase than Toc3 from microscopic observation, Toc prevented the A $\beta$  adsorption onto the ER-mimicking membranes than Toc3.

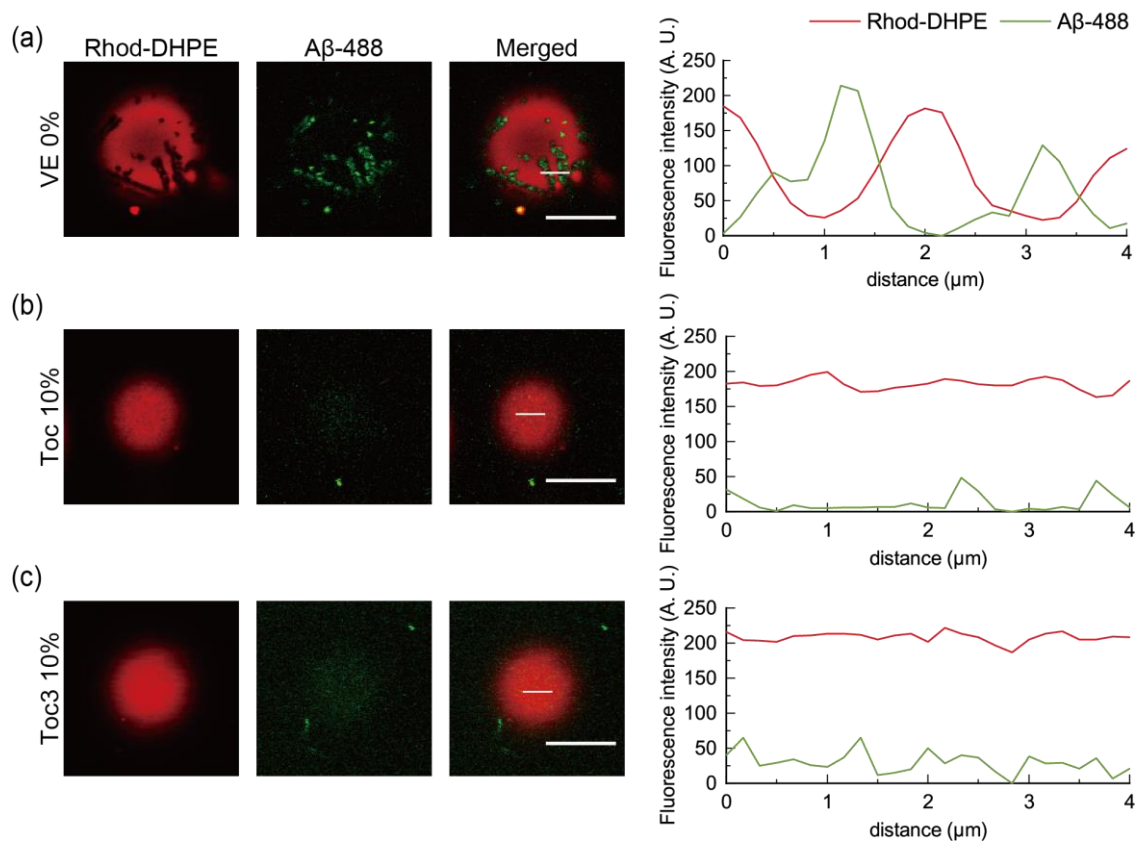


Fig. 3-15 Microscopic images obtained by confocal laser scanning microscopy and fluorescence intensity profiles along the white lines in merged images. Aβ protofibril absorption onto GUVs containing (a)VE 0%; (b)Toc 10%; (c)Toc3 10%. Red and green fluorescence represent Rhod-DHPE and Aβ-488, respectively. Red and green lines in the fluorescence intensity profiles indicate the intensities of Rhod-DHPE and Aβ-488, respectively. Scale bars are 10μm.

## 3-4 Discussion

### 3-4-1 Distribution of VE in $L_o/L_d$ phase separated membrane

No effect of VE on  $L_o/L_d$  phase separation was found in the raft model. From the DSC results, if VE distributes to the  $L_o$  phase, it inhibits  $L_o$  phase formation and causes a decrease in the fraction of phase separated GUVs and  $T_{mix}$ . Thus, VE is considered to be partitioned into the  $L_d$  phase. This result differs from previous results (DiPasquale et al., 2020; Muddana et al., 2012). Some reports showed that VE inhibits  $L_o$  phase formation. A possible reason for the difference from the present study may be due to the different lipid composition in GUVs. In previous reports, DOPC/DPPC/Chol is 37.5/37.5/25, 1/1/0.7. Therefore, compared to the present experimental system (40/40/20), proportion of the  $L_d$  phase is small and the capacity for VE entry is reduced. As a result, VE is more likely to be distributed to the  $L_o$  phase and the  $L_o$  phase is more easily destabilized. VE slightly decreased the line tension of the  $L_o$  phase boundary. DPH experiments showed that VE increases the membrane order in the  $L_d$  phase. Therefore, for  $L_o/L_d$  GUVs, VE may distribute to the  $L_d$  phase, increasing membrane order and thus decreasing the line tension of the  $L_o$  phase boundary. The reason why no difference was observed between Toc and Toc3 is suggested by the fact that they exhibit the same behavior in this model membrane.

### 3-4-2 Distribution of VE in $S_o/L_d$ phase separated membrane

Since both Toc and Toc3 decreased the thermal stability of DPPC membranes representing the  $S_o$  phase in the DSC measurements, they may be mainly partitioned into  $L_d$  phase in the  $S_o/L_d$  phase-separated membranes. However, some amount of VE was incorporated into DPPC-rich  $S_o$  phase owing to mixing entropy. We considered that the amount of Toc in  $S_o$  phase was larger than that of Toc3, since Toc3 destabilized DPPC membranes than Toc from the DSC measurements. On the other hand, Toc destabilized the phase-separated structures at room temperature and decreased  $T_{mix}$  as compared to Toc3. VE incorporated into the  $S_o$  phase diluted the favorable interaction between DPPC molecules and decreased  $T_{mix}$  (Allender and Schick, 2017). The effect of destabilization of by Toc was stronger than Toc3, since the amount of Toc in the  $S_o$  phase was larger. In future, it is important to measure the exact amount of VE in  $S_o$  phase by some experiments such as nuclear magnetic resonance (NMR).

The difference of partitioning between Toc and Toc3 arose from the chemical structure difference. From normal-phase high-performance liquid chromatography (HPLC), it was reported that Toc3 with the unsaturation side chain is more polar than Toc (Kamal-Eldin et al., 2000). In other words, Toc3 is more hydrophilic than Toc. The DOPC-rich  $L_d$  phase is loosely packed than the DPPC-rich  $S_o$  phase, making it easier to incorporate water molecules into the  $L_d$  phase. Therefore, Toc3 is more likely to be partitioned into the hydrophilic- $L_d$  phase than Toc. In contrast, the amount of Toc in the  $S_o$  phase became larger than that of Toc3. Similar behavior has been observed with the addition of fatty acids to lipid membranes, and a saturated fatty acid (palmitic acid) has higher affinity to the  $S_o$  phase than a trans-fatty acid (elaidic acid) (Shimokawa et al., 2017).



### 3-4-3 VE and 24S-OHC induced ER stress

There are various conflicting reports regarding Toc and Toc3 (Boccardi et al., 2016; Sen et al., 2006). The chromanone ring structure responsible for the antioxidant effect is the same, and only the hydrocarbon chains are different between them. This may result in their different localization in organelle membranes and membrane subdomains and then, cause a different effect on diseases. In this study, we found that Toc inhibits  $S_0$  phase formation more strongly than Toc3. This suggests that local lipid composition affects the localization of Toc and Toc3. We have shown that 25(S)-hydroxycholesterol (25S-OHC) induces ER stress-induced cell death via changes in membrane properties (Sharma et al., 2017). 24S-OHC, which has a similar structure to 25S-OHC, also induces neuronal cell death via ER stress (Urano et al., 2019). Toc can inhibit this 24S-OHC induced cell death (Kimura et al., 2018). However, the mechanism by which Toc inhibits cytotoxicity by 24S-OHC is poorly understood. 24S-OHC is esterified by acyl-coenzyme A: cholesterol acyltransferases (ACAT1) residing at ER membrane protein, to form 24S-OHC-ester, which causes loss of the ER membrane integrity (Urano et al., 2019). Our study suggests that Toc has a greater effect on ER membrane properties than Toc3, and that the change in ER membrane properties by Toc may contribute to the mitigation of the toxicity of 24S-OHC ester.

### 3-4-4 S<sub>o</sub> phase formation and ER stress-related diseases

ER is one of the most important organelles for lipid metabolism, and ER membrane changes its membrane lipidome in response to the surrounding environment. Supplementation of cultured cells with saturated fatty acids promotes synthesis of saturated lipids in ER, leading to the formation of S<sub>o</sub> phase (Shen et al., 2017). The formation of the S<sub>o</sub> phase may be involved in physiological responses inside and outside the ER. First, our results suggest that denatured proteins and endocytosis-incorporated A $\beta$  protofibril adsorption to the S<sub>o</sub> phase in the ER lumen. This may allow them to escape degradation by the ubiquitin-proteasome pathway and disrupt proteostasis.

VE is expected to be effective in inhibiting the onset of AD (Browne et al., 2019; Mangialasche et al., 2010; Nishida et al., 2009). However, it is not clear whether Toc or Toc3 is more effective in inhibiting AD. One of the reasons for this is that there are multiple mechanisms involved in the pathogenesis of AD. Using an ER-mimicking membrane, our results have clearly show that Toc and Toc3 inhibit adsorption of A $\beta$  protofibril on S<sub>o</sub> phase (Fig. 3-16). Furthermore, Toc is more effective than Toc3. This is because Toc destabilizes the S<sub>o</sub> domain more strongly than Toc3, and a similar mechanism may apply to other molecules such as docosahexaenoic acid (DHA) and eicosatetraenoic acid (EPA), which are thought to prevent Alzheimer's disease<sup>29-31</sup>.

The involvement of ER stress has been reported in diseases other than AD. Diabetes is one such example (Huang et al., 2007). VE as well as DHA is thought to be related to the development of type 2 diabetes (Badawi, 2011; De Caterina et al., 2007). Saturated

fatty acid intake is known to be a risk for diabetes(Risérus et al., 2009). DHA is known to alter cell lipidome and increases polyunsaturated lipids(Levental et al., 2020). Since the formation of phase-separated structures is influenced by lipid composition, the formation of lipids with low  $T_m$ , such as polyunsaturated lipids, may contribute in an inhibitory manner to  $S_o$  phase formation in the ER membrane(Veatch and Keller, 2003). Thus, preventing saturation of ER membrane lipids can avoid UPR and cell death and alleviate these diseases.

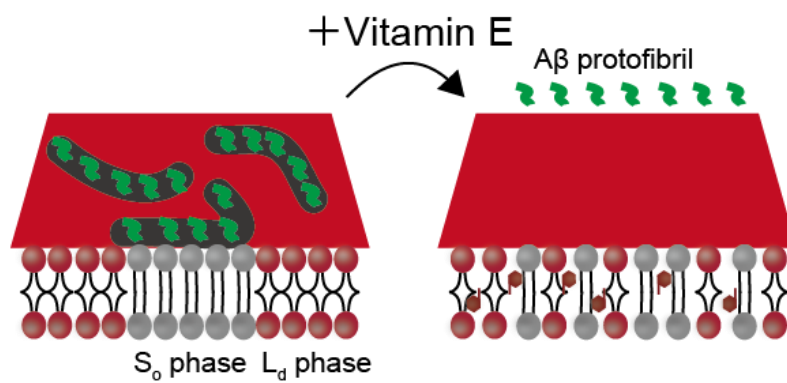


Fig. 3-16 Scheme of Aβ protofibril adsorption onto  $S_o$  phase

# Chapter 4

## General Conclusion

## 4-1 General Conclusion

In this study, we analyzed by the three-dimensional membrane behavior induced by surfactants (Chapter 2) and the effects of  $\alpha$ -Toc and  $\alpha$ -Toc3 on phase separation and the membrane adsorption of A $\beta$  (Chapter 3), by using liposomes.

In Chapter 2, GUVs consisting of DOPC were prepared and membrane dynamics induced by surfactant addition were observed. Based on the membrane dynamics, we compared the irritation classification with that of previous studies and predicted the irritancy. The results showed that the amino acid surfactant, sodium lauroyl glutamate, increased the excess surface area of the membrane and then the dynamics stopped. Although this irritancy is unclassified, its behavior is like that of hyperirritant dynamics, suggesting that it is a strong irritant. This result agrees with the results of human studies. Dynamics were also examined with a mixture of sodium lauroyl glutamate and an amphoteric surfactant, either cocamidopropyl betaine or lauramidopropyl betaine. Both were found to decrease the irritancy of sodium lauroyl glutamate. Surprisingly, even in the mixed system, there was a correlation between the irritation classification by membrane dynamics and the results of the human stinging test.

From fluorescence experiment, Lipid transfer between membrane bilayers under conditions with the addition of the nonionic surfactant tween 20 showed an increase in  $V_{\text{Flip-flop}}$  as the concentration was increased. This suggests that the entry of surfactant into the membrane increases  $V_{\text{Flip-Flop}}$  and affects the dynamics of the membrane, such as an increase in excess membrane area and shrinkage.

In Chapter 3, liposomes consisting of DOPC/DPPC/Chol = (40/40/20 or 50/50/0) were

prepared, which the  $L_o$  phase or  $S_o$  phase coexisted with the  $L_d$  phase, raft and ER membrane mimicking GUV, respectively. Microscopic observation showed that Toc and Toc3 did not affect the formation rate of  $L_o/L_d$  phase separation in GUVs. However, both Toc and Toc3 slightly decreased the line tension at the  $L_o/L_d$  boundary. The DSC results showed that both Toc and Toc3 had low affinity to Chol. This suggested that Toc and Toc3 are easily partitioned to  $L_d$ . Next, we investigated the effects of Toc and Toc3 on the  $L_d$  phase using DPH and found that both Toc and Toc3 increase the order. Therefore, Toc and Toc3 may distribute to the  $L_d$  phase of  $L_o/L_d$  and increase the membrane order, thereby slightly reducing the mismatch between  $L_o$  and  $L_d$  thicknesses and the line tension.

We investigated the phase behavior and thermal stability of the phase-separated structures in  $S_o/L_d$  GUV containing Toc and Toc3. From microscopic observations, Toc suppressed  $S_o$  phase formation in  $S_o/L_d$  GUV more strongly than Toc3. On the other hand, DSC showed that the DPPC-rich  $S_o$  phase was destabilized more by Toc3 than by Toc. It was also demonstrated that the amount of Toc in the  $S_o$  phase was larger than that of Toc3, and Toc inhibited the formation of the  $S_o$  phase more strongly than Toc3. In addition,  $A\beta$  protofibril adsorption onto  $S_o/L_d$  GUVs were also reduced by VE, especially Toc, due to the suppression of  $S_o$  phase formation. It is considered that the suppression of  $S_o$  phase formation by adding Toc has more pronounced effects on the reduction of ER stress-denatured proteins compared with the addition of Toc3.

## 4-2 Future Perspective

We have visualised physiological responses by 2D and 3D membrane dynamics in model membranes. Further detailed analysis is expected in the future.

Recently, several lipid-soluble bioactive molecules have been reported to contribute to physiological responses. The polyunsaturated fatty acid DHA inhibits K-RAS accumulation (Fuentes et al., 2021). In addition, diacylglycerols with different fatty acids accumulate different isoforms (Schuhmacher et al., 2020). A further qualitative analysis of the contribution of these bioactive molecules to the physiological response is expected by studying their effects on membrane dynamics.

In addition, a variety of membrane dynamics were observed in this study. Human studies have shown different results in stimulus evaluation, even within the same dynamics. Therefore, the relationship between the magnitude of membrane dynamics and irritation should also be considered. Future analysis of the magnitude of fine-scale dynamics would allow more detailed stimulus evaluation; AI-based analysis is expected to make this possible.

Membrane dynamics arise in response to changes in a variety of factors, including molecular interactions between membrane lipids and osmotic pressure. Studies of model membranes have analyzed these dynamics physically and mathematically. However, little is known about the relevance of mathematical models to physiological responses. Therefore, the development of mathematical models of membrane dynamics and their application to the understanding of physiological responses will lead to a better understanding and prediction of the functions of bioactive molecules.

In addition, lipidome analysis has recently been active, and it is expected that further

disease-specific lipidome changes will be found in the future. By focusing on changes in lipid composition and adjusting liposome composition, it will be possible to create ageing model liposomes and obesity model liposomes, which will lead to a better understanding of disease pathogenesis.

In this study, an ER stress model was created using amyloid-beta membrane interactions and a pain stimulus model was created using membrane dynamics. In the future, other physiological responses are expected to be modelled using liposomes. For example, temperature-sensitive receptors open and close channels in response to changes in temperature(Kim et al., 2020). Temperature has been reported to affect lipid-lipid interactions and alter membrane properties, which may contribute to heat and cold sensing. In addition, many of the molecules that stimulate olfaction are lipid soluble. Therefore, membrane properties may contribute to the activation of olfactory receptor proteins.



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## Publication list

### Journal Papers

1. Yusuke Nakatani, Naofumi Shimokawa, Yasuomi Urano, Noriko Noguchi, and Masahiro Takagi. Suppression of Amyloid- $\beta$  Adsorption on Endoplasmic Reticulum Stress-Mimicking Membranes by  $\alpha$ -Tocopherol and  $\alpha$ -Tocotrienol. *Journal of Physical Chemistry Letters* **2022**, *13*(51), 11955-11960.
2. Yusuke Nakatani, Taichi Ito, Naofumi Shimokawa, Yuriye Mandai, Gang An, Shuichi Takase, Yoshio Tsujino, Masahiro Takagi. Surfactant-induced membrane dynamics and skin irritation (in preparation)

### Presentations at academic conferences

1. Yusuke Nakatani, Taichi Ito, Naofumi Shimokawa, Yuriye Mandai, Gang An, Shuichi Takase, Yoshio Tsujino, Masahiro Takagi 『The 74th Society for Biotechnology, Japan Annual meeting』 October 2022 online
2. Yusuke Nakatani, Taichi Ito, Naofumi Shimokawa, Yuriye Mandai, Gang An, Shuichi Takase, Yoshio Tsujino, Masahiro Takagi 「Evaluation of Surfactant-Induced Irritation by Cell Membrane Model System」 『The 102<sup>nd</sup> Chemical Society of Japan Annual meeting』 March 2022 online
3. Yusuke Nakatani, Naofumi Shimokawa, Yasuomi Urano, Noriko Noguchi, Masahiro Takagi, 「Effects of Vitamin E with Different Degrees of Saturation on Membrane Interactions with Amyloid  $\beta$ 」 『The 101st Chemical Society of Japan Annual meeting』 March 2021 online (Poster)
4. Yusuke Nakatani, Naofumi Shimokawa, Yasuomi Urano, Noriko Noguchi, Masahiro Takagi 「Effects of Vitamin E with Different Saturation Levels on Membrane Phase Separation Formation and Amyloid  $\beta$  Adsorption Behavior」 『Hokuriku Chemical Society of Japan』 November 2020 online (Poster)
5. Yusuke Nakatani, Naofumi Shimokawa, Yasuomi Urano, Noriko Noguchi, Masahiro Takagi 「Domain formation and A $\beta$  adsorption behavior in biomimetic membranes containing vitamin E with different hydrophobic parts」 『The 14<sup>th</sup> Symposium on Biorelevant Chemistry』 September 2020 online (Poster)

## Award

1. Yusuke Nakatani, November 2020, 『CSJ Kinki Poster Presentation Award 2020 For Excellent Research』 ,