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# **Outline Background** The Focus Problem: A Fundamental Issue in Automatic Verification automatic proof search the focus problem an example John Slaney The Soft-SCOTT algorithm John.Slaney@nicta.com.au the SCOTT project using soft constraints NATIONAL **Experimental results** ICT AUSTRALIA some algebraic problems the set theory example Conclusion and future work NAPIONAL-O **Automated deduction Automated deduction** Show $\Gamma \vdash B$ . Two fundamental techniques. **Automated deduction Automated deduction** Show $\Gamma \vdash B$ . Two fundamental techniques. Show $\Gamma \vdash B$ . Two fundamental techniques. 1. Bottom-up methods: 1. Bottom-up methods: $\langle A_1, A_2, \dots B \rangle$ $\langle A_1, A_2, \dots B \rangle$ Search in space of formulae to extend proof fragments.

# **Automated deduction**

Show  $\Gamma \vdash B$ . Two fundamental techniques.

1. Bottom-up methods:

$$\langle A_1, A_2, \dots B \rangle$$

Search in space of formulae to extend proof fragments.

2. Top-down methods:

$$\Delta_1 \vdash A_1 \quad \dots \quad \Delta_k \vdash A_k$$



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Search in space of sequents for provable subgoals.



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# **The Given Clause Loop**

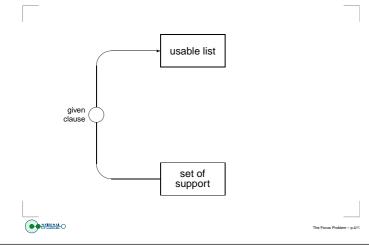


usable list

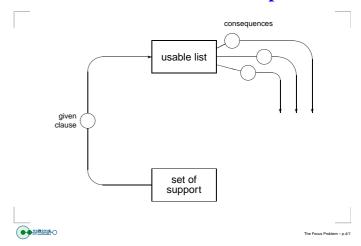
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# **The Given Clause Loop**



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# given clause Loop set of support The Food Problem -- p.4/1.

## **Application of Automatic Deduction**



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#### Software certification

First order provers now powerful enough to be used for software certification in industry

- SafeLogic (Sweden)
- Escher Technologies (UK) "Perfect Developer"
- NASA (USA) using SETHEO and other provers

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**Application of Automatic Deduction** 

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- Escher Technologies (UK) "Perfect Developer"
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#### **General technique**

- Use e.g. Hoare to reduce to small proof obligations
- Prove these without human intervention
- Require extensions e.g. for numbers
- Most are easy, a few are hard



The Focus Problem – p.

## **The Focus Problem (Wos)**

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## A difficulty

Many proof obligations have:

- Short and simple proofs
- Hundreds or thousands of (irrelevant) assumptions



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# The Focus Problem (Wos) The Focus Problem (Wos) A difficulty A difficulty Many proof obligations have: Many proof obligations have: Short and simple proofs Short and simple proofs Hundreds or thousands of (irrelevant) assumptions Hundreds or thousands of (irrelevant) assumptions How to choose the relevant ones? How to choose the relevant ones? Fundamental open problem in theorem proving Fundamental open problem in theorem proving Sources: John Harrison (INTEL) David Crocker (Escher) Bernd Fischer (NASA) NATIONAL O **Example (not from verification) Example (not from verification)** Virtual set theory **Example (not from verification) Example (not from verification)** Virtual set theory Virtual set theory Simple language (4 predicates, 7 function symbols) Simple language (4 predicates, 7 function symbols) 33 axioms Formulated without equality Formulated without equality Require many trivial theorems ■ ∩ and ∪ idempotent, commutative, associative set equality is transitive $\emptyset \cup x = x$ etc.

# **Example (not from verification)**

#### Virtual set theory

- Simple language (4 predicates, 7 function symbols)
- 33 axioms
- Formulated without equality
- Require many trivial theorems
  - ∩ and ∪ idempotent, commutative, associative
  - set equality is transitive

  - etc.

#### **Exhibits focus problem**

Simple examples e.g.  $x\cap y=y\cap x$  too hard for OTTER



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## **Results**

plain OTTER without any guidance

**topic focus** OTTER with term weighting to make it prefer clauses about  $\cap$  to clauses about  $\cup$  or  $\emptyset$  etc

formula focus OTTER with topic focus plus a weighting scheme to make it prefer clauses containing actual subterms of the goal

	$x\cap y=y\cap x$			$x\cap y\subseteq y\cap x$		
	plain	topic	formula	plain	topic	formula
iterations	_	_	128	766	350	66
clauses generated	_	_	1729	12742	6593	1018
time (seconds)	_	_	0.2	4.4	1.3	0.1



## **False Preference Strategy**

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The Focus Problem - p



The Focus Problem – p.9

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The Focus Problem – p.9/1:

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# **False Preference Strategy**

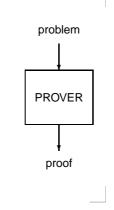
- 1. Suppose S is a set of clauses all true in a model M.
- 2. Suppose c is a clause inconsistent with S.
- 3. Then there are proofs of a contradiction from S and c together, and c occurs in all of them.
- 4. So if M makes most of the usable list true, and c is in the set of support, it is good to take c as the next given clause.

In fact we don't know whether c is inconsistent with S, but if we choose a clause that is false in M we have a better chance than if we choose arbitrarily.

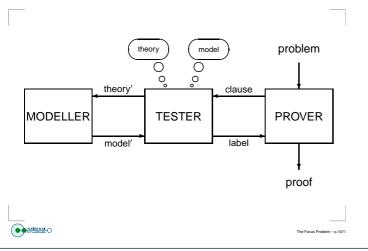


The Focus Problem - p.9/1

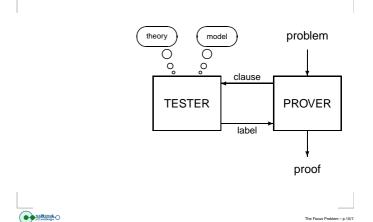
## **SCOTT Architecture**



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## **History of SCOTT**



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#### First phase 1991-3

Single model used to constrain the logical inferences

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Multiple models used for false preference strategy

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- Very slow: often minutes for a few clauses



The Focus Problem - p.11/

## **History of SCOTT**

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#### Second phase 1997-2001

Multiple models used for false preference strategy

- Complete and relatively robust
- Very slow: often minutes for a few clauses

#### Third phase 2003-2004

Single approximate model instead of many exact ones.



The Focus Problem – p.1

## **Soft Constraints**



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The Focus Problem - p 11

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- For SCOTT, treat any initially usable clauses as hard and all later activated clauses as soft
- Gives an approximate model of all of the usable list rather than an exact model of just part of it
- Gains speed because only one model, and robustness because all usable clauses modelled together regardless of activation order





# **Implementation**

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**Underlying theorem prover OTTER (McCune)** 

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## **Implementation**

#### **Underlying theorem prover OTTER (McCune)**

- Existing high-performance prover
- Changed as little as possible

#### **Constraint solver FINDER**

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Big issue: tradeoff



The Focus Problem - p.

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Model search versus proof search



The Focus Problem - p.13/

## **Implementation**

## Underlying theorem prover OTTER (McCune)

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#### Big issue: tradeoff

- Model search versus proof search
- Time in model generator versus quality of guidance



The Focus Problem – p

## **Example 1: GRP200-4**



The Focus Problem – p.1

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#### Problem

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#### **Statistics**

	with models	without
Input clauses	20	20
Clauses generated	3149	397803
Clauses kept	1649	30179
Clauses given	57	587
Clauses in proof	36	_
Models generated	13	0
Time	4.28 sec	600.65 sec



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# Example 2: FLD049-4

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#### Problem

In a field, for nonzero b and d, if  $ab^{-1}=cd^{-1}$  then ad=bc



The Focus Problem - n 15/1:

# **Example 2: FLD049-4**

#### Problem

In a field, for nonzero b and d, if  $ab^{-1}=cd^{-1}$  then ad=bc

#### Statistics

	with models	without models
Input clauses	38 (61)	38 (61)
Clauses generated	56831	129125
Clauses kept	27071	21709
Clauses given	184	249
Clauses in proof	25	25
Models generated	142	0
Time	417.44 sec	3.01 sec



## Results on set theory problem

	$x\cap y=y\cap x$			x (	$\cap y\subseteq y$	$\cap x$
without guidance	plain	topic	formula	plain	topic	formula
iterations	_	_	128	766	350	66
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	$x\cap y=y\cap x$			x	$\cap y \subseteq y$	$\cap x$
with guidance	plain	topic	formula	plain	topic	formula
iterations	_	3009	169	496	241	85
clauses generated	_	80239	2430	9576	3520	1426
time (seconds)	_	90.0	3.2	6.7	2.4	0.6



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## **Conclusions and Future Work**

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Achieved:



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## **Conclusions and Future Work Conclusions and Future Work Achieved: Achieved:** New theorem prover guided by soft models New theorem prover guided by soft models More robust than SCOTT-1 Faster than SCOTT-2 – SCOTT-5 NATIONAL O **Conclusions and Future Work Conclusions and Future Work Achieved: Achieved:** New theorem prover guided by soft models New theorem prover guided by soft models More robust than SCOTT-1 More robust than SCOTT-1 Faster than SCOTT-2 – SCOTT-5 Faster than SCOTT-2 – SCOTT-5 Reasonable performance in CASC 2004 Reasonable performance in CASC 2004 But: NATIONAL ICT AUSTRAUA **Conclusions and Future Work Conclusions and Future Work** Achieved: Achieved: New theorem prover guided by soft models New theorem prover guided by soft models More robust than SCOTT-1 More robust than SCOTT-1 Faster than SCOTT-2 – SCOTT-5 Faster than SCOTT-2 – SCOTT-5 Reasonable performance in CASC 2004 Reasonable performance in CASC 2004 **But: But:** Syntax/semantics tradeoff still a big issue Syntax/semantics tradeoff still a big issue

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Large cardinality soft constraints (local search?)



The Focus Problem - p.17

## **Conclusions and Future Work**

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The Focus Problem - p.1

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- Applications (software certification?)



The Focus Problem - p.1